

## PATENT ASSIGNMENT

Electronic Version v1.1  
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SUBMISSION TYPE:	NEW ASSIGNMENT												
NATURE OF CONVEYANCE:	ASSIGNMENT												
CONVEYING PARTY DATA													
<table border="1"> <thead> <tr> <th>Name</th> <th>Execution Date</th> </tr> </thead> <tbody> <tr> <td>Larry McClintock</td> <td>08/05/2013</td> </tr> <tr> <td>Todd Wallenstein</td> <td>08/06/2013</td> </tr> <tr> <td>Peter Harris</td> <td>08/09/2013</td> </tr> <tr> <td>Kevin R. Strauss</td> <td>08/07/2013</td> </tr> <tr> <td>Megan Carnes</td> <td>08/06/2013</td> </tr> </tbody> </table>		Name	Execution Date	Larry McClintock	08/05/2013	Todd Wallenstein	08/06/2013	Peter Harris	08/09/2013	Kevin R. Strauss	08/07/2013	Megan Carnes	08/06/2013
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RECEIVING PARTY DATA													
<table border="1"> <tr> <td>Name:</td> <td>K2M, Inc.</td> </tr> <tr> <td>Street Address:</td> <td>751 Miller Drive SE</td> </tr> <tr> <td>Internal Address:</td> <td>Suite F-1</td> </tr> <tr> <td>City:</td> <td>Leesburg</td> </tr> <tr> <td>State/Country:</td> <td>VIRGINIA</td> </tr> <tr> <td>Postal Code:</td> <td>20175</td> </tr> </table>		Name:	K2M, Inc.	Street Address:	751 Miller Drive SE	Internal Address:	Suite F-1	City:	Leesburg	State/Country:	VIRGINIA	Postal Code:	20175
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CORRESPONDENCE DATA													
Fax Number: 6315013526 <i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i> Phone: 631-501-5700 Email: docket@cdfslaw.com, dglaser@cdfslaw.com Correspondent Name: Carter, DeLuca, Farrell & Schmidt, LLP Address Line 1: 445 Broad Hollow Road Address Line 2: Suite 420 Address Line 4: Melville, NEW YORK 11747													
ATTORNEY DOCKET NUMBER:	1449-94 PCT US												

CH \$40.00 12766438

NAME OF SUBMITTER:	Jason B. Scher
Signature:	/Jason B. Scher/
Date:	08/23/2013
<b>Total Attachments: 4</b> source=00480836#page1.tif source=00480836#page2.tif source=00480836#page3.tif source=00480836#page4.tif	

PATENT

For: ☒ U.S. and/or ☒ Foreign Rights  
 For: ☒ U.S. Application or ☐ U.S. Patent  
 By : ☒ Inventor(s) or ☐ Present Owner

### ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNORS:

Larry McClintock  
 Todd Wallenstein  
 Peter Harris  
 Kevin R. Strauss  
 Megan Carnes

hereby sells, assigns and transfers to

ASSIGNEE:

K2M, Inc.  
 (Type or print name of ASSIGNEE)

751 Miller Drive SE, Suite F-1  
 Address

Leesburg, VA 20175

US  
 Nationality

and the successors, assigns and legal representatives of the ASSIGNEE

☒ The entire right, title and interest

☐ An undivided \_\_\_\_\_ percent ( \_\_\_\_\_ %) interest for the United States and its territorial possessions

in and to any and all improvements which are disclosed in the invention entitled:

#### DYNAMIC CERVICAL PLATE

(Title of invention)

and which is found in

- (a) ☐ U.S. patent application executed on even date herewith.
- (b) ☐ U.S. patent application executed on \_\_\_\_\_.
- (c) ☒ U.S. application Serial No. 12/766,438 filed on April 23, 2010.
- (d) ☐ U.S. provisional application No. 61/ \_\_\_\_\_ filed on \_\_\_\_\_.
- (e) ☐ U.S. Patent No. \_\_\_\_\_ issued \_\_\_\_\_.
- (f) ☐ PCT application No. PCT/US \_\_\_\_\_ filed on \_\_\_\_\_.
- ☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.
- (g) ☒ and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, continuation-in-part, divisional, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof

PATENT

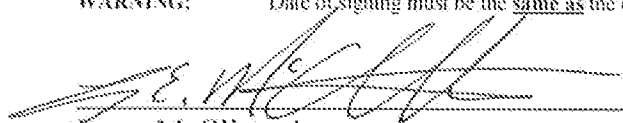
REEL: 031070 FRAME: 0972

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment:

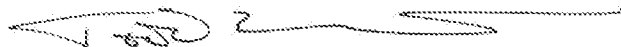
ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this \_\_\_\_\_ day of \_\_\_\_\_, 2013.  
(Date of signing)

WARNING: Date of signing must be the same as the date of execution of the application if item (a) was checked above.

  
Larry McClintock

08/05/2013  
Dated

  
Todd Wallenstein

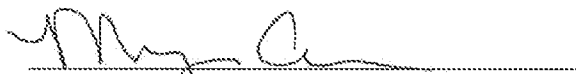
8/6/13  
Dated

\_\_\_\_\_  
Peter Harris

\_\_\_\_\_  
Dated

\_\_\_\_\_  
Kevin R. Strauss

\_\_\_\_\_  
Dated

  
Megan Carnes

8/6/13  
Dated

If ASSIGNOR is a legal entity complete the following information

\_\_\_\_\_  
Type or print the name of the above person  
authorized to sign on behalf of ASSIGNOR

Title: \_\_\_\_\_

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution 35 USC 261. Use next page if notarization is desired.

☐ Notarization or Legalization Page Added

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Dated

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\_\_\_\_\_  
Dated

\_\_\_\_\_  
Peter Harris

\_\_\_\_\_  
Dated

8/9/2013.

\_\_\_\_\_  
Kevin R. Strauss

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Dated



\_\_\_\_\_  
Kevin R. Strauss

\_\_\_\_\_  
8/7/13

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Dated

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