

Form PTO-1595 (Rev. 03-11)
OMB No. 0651-0027 (exp. 03/31/2015)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies):

Gunnar Henriksson (07/26/2013) and
Mikael Lindstrom (05/06/2013)

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: Heli Inovatio Handelsbolag

Internal Address: _____

Street Address: _____

c/o Henriksson
Vätmarksvägen 4

City: Solna

State: _____

Country: Sweden Zip: S-170 62

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s): in parentheses after inventor name

☒ Assignment ☐ Merger ☐ Change of Name

☐ Security Agreement ☐ Joint Research Agreement

☐ Government Interest Assignment

☐ Executive Order 9424, Confirmatory License

☐ Other _____

4. Application or patent number(s):

A. Patent Application No.(s)

13/880,798

☐ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Diane P. Tso
LERNER, DAVID, LITTENBERG,
KRUMHOLZ & MENTLIK, LLP

Internal Address: Atty. Dkt.: B&LAB 3.3-038

Street Address: 600 South Avenue West

City: Westfield

State: NJ Zip: 07090

Phone Number: 908-654-5000

Fax Number: 908-654-7866

Email Address: mcormier@ldlkm.com

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

☒ Authorized to be charged to deposit account

☐ Enclosed

☐ None required (government interest not affecting title)

8. Payment Information

Deposit Account Number 12-1095

Authorized User Name Diane P. Tso

9. Signature:

Diane P. Tso

Signature

August 8, 2013

Date

Diane P. Tso - 46,012

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

3

CH \$40.00 121095 13880798

ASSIGNMENT OF UTILITY APPLICATION	<small>former PTO/SB/15 (8-00) Modified LDLK&A</small>
	Docket Number (Optional) B&LAB 3.3-038

Whereas, I, Gunnar Henriksson of

Vätmarksvägen 4, S-170 62 Solna, SWEDEN

hereafter referred to as assignor, have with others invented certain new and useful improvements in

PROCESS FOR THE DERIVATIZATION OF CELLULOSE

☐ for which an application for a United States Letters Patent was filed on _____
Application Number _____

☐ for which an application for a United States Letters Patent was executed by me on _____

☒ for which an International Application was filed on October 26, 2011, as

PCT/SE2011/051270, designating the United States.

And

Whereas, Heli Inovatio Handelsbolag

a corporation of Sweden herein referred to as "assignee" whose mailing address is

c/o Henrikson, Vätmarksvägen 4, S-170 62 Solna, Sweden

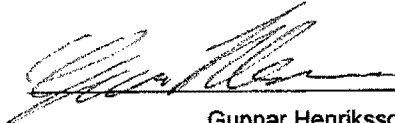
is desirous of acquiring the entire right, title and interest in the same;

NOW, THEREFORE, in consideration of the sum of one dollar (\$ 1.00), the receipt whereof is acknowledged, and other good and valuable consideration, I as assignor hereby sell, assign and set over to said assignee my entire right, title and interest for the United States of America and all other countries in and to said invention and the aforesaid utility patent application and all original, divisional, continuation, substitute or reissue applications and patents applied for or granted therefor in the United States of America and all other countries, for said invention, including without limitation all applications and patents for said invention claiming priority or benefit of the aforesaid utility application pursuant to any law or treaty, and including all claims for damages by reason of past infringement with the right to sue for and collect the same for its own use and the use of its successors, assigns and legal representatives, and including the right to claim such priority or benefit and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all patents on said improvements or resulting therefrom to said assignee herein, as assignee of the entire interest therein; and the undersigned for me and my legal representatives, heirs and assigns do hereby agree and covenant without further remuneration, to execute and deliver all original, divisional, continuation, reissue and other applications for Letters Patent on said improvements and all assignments thereof to said assignee or its assigns, to communicate to said assignee or its representatives all facts known to the undersigned respecting said improvements, whenever requested, to testify in any interferences or other legal proceedings in which any of said applications or patents may become involved, to sign all lawful papers, make all rightful oaths, and to do generally everything necessary to aid assignee, its successors, assigns and nominees to obtain patent protection for said improvements in all countries, the expenses incident to said applications to be borne and paid by said assignee.

And I do hereby authorize my attorneys to insert on this deed the filing date and application number of said application when known.

2013-07-26

Date


Gunnar Henriksson

Peng Yong Dong
Witness

Peng Yong Dong
Typed Name of Witness

former PTO/SB/15 (8-00) Modified LDLKM

ASSIGNMENT OF UTILITY APPLICATION	Docket Number (Optional) B&LAB 3.3-038
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Whereas, I, Mikael Lindstrom of Nautilusvagen 8; S-181 66 Lidingö; SWEDEN

hereafter referred to as assignor, have with others invented certain new and useful improvements in PROCESS FOR THE DERIVATIZATION OF CELLULOSE

☐ for which an application for a United States Letters Patent was filed on _____
Application Number _____

☐ for which an application for a United States Letters Patent was executed by me on _____

☒ for which an International Application was filed on October 26, 2011, as PCT/SE2011/051270, designating the United States.

And

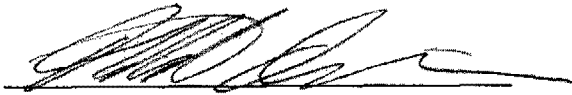
Whereas, Heli Inovatio Handelsbolag
a corporation of Sweden herein referred to as "assignee" whose mailing address is c/o Henrikson, Bygatan 15, S-171 49 Solna, Sweden
is desirous of acquiring the entire right, title and interest in the same;

NOW, THEREFORE, in consideration of the sum of one dollar (\$ 1.00), the receipt whereof is acknowledged, and other good and valuable consideration, I as assignor hereby sell, assign and set over to said assignee my entire right, title and interest for the United States of America and all other countries in and to said invention and the aforesaid utility patent application and all original, divisional, continuation, substitute or reissue applications and patents applied for or granted therefor in the United States of America and all other countries, for said invention, including without limitation all applications and patents for said invention claiming priority or benefit of the aforesaid utility application pursuant to any law or treaty, and including all claims for damages by reason of past infringement with the right to sue for and collect the same for its own use and the use of its successors, assigns and legal representatives, and including the right to claim such priority or benefit and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all patents on said improvements or resulting therefrom to said assignee herein, as assignee of the entire interest therein; and the undersigned for me and my legal representatives, heirs and assigns do hereby agree and covenant without further remuneration, to execute and deliver all original, divisional, continuation, reissue and other applications for Letters Patent on said improvements and all assignments thereof to said assignee or its assigns, to communicate to said assignee or its representatives all facts known to the undersigned respecting said improvements, whenever requested, to testify in any interferences or other legal proceedings in which any of said applications or patents may become involved, to sign all lawful papers, make all rightful oaths, and to do generally everything necessary to aid assignee, its successors, assigns and nominees to obtain patent protection for said improvements in all countries, the expenses incident to said applications to be borne and paid by said assignee.

And I do hereby authorize my attorneys to insert on this deed the filing date and application number of said application when known.

6 May 2013
Date
Vera Jovanovic
Witness

Typed Name of Witness


Mikael Lindstrom

<input checked="" type="checkbox"/>	Total of <u>2</u> forms are submitted.
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