#### 09/03/2013 502481427

#### PATENT ASSIGNMENT

# Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
Alan Ostroff	08/27/2013
Paul Paspa	08/27/2013
Peter M. Jacobson	08/28/2013
Wade A. Keller	08/29/2013
Christopher Alan Hubbard	08/27/2013

#### **RECEIVING PARTY DATA**

Name:	Nanostim, Inc.
Street Address:	776 Palomar Avenue
City:	Sunnyvale
State/Country:	CALIFORNIA
Postal Code:	94085

### PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	13972828

#### **CORRESPONDENCE DATA**

502481427

Fax Number: 6502127562

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Phone: 650-212-1700 Email: info@shayglenn.com Correspondent Name: Justin P. Thomas Address Line 1: Shay Glenn LLP

Address Line 2: 2755 Campus Drive, Suite 210 SAN MATEO, CALIFORNIA 94403 Address Line 4:

ATTORNEY DOCKET NUMBER:	10494-716.200
NAME OF SUBMITTER:	Justin P. Thomas, Reg. 63301
	PATENT

**REEL: 031129 FRAME: 0655** 

Signature:	/Justin P. Thomas/
Date:	09/03/2013
Total Attachments: 4 source=10494-716-200-Assignment#page1.tif source=10494-716-200-Assignment#page2.tif source=10494-716-200-Assignment#page3.tif source=10494-716-200-Assignment#page4.tif	

PATENT REEL: 031129 FRAME: 0656

### **ATTORNEY DOCKET NO. 10494-716.200**

U.S. DEPARTMENT OF COMMERCE

# RECORDATION FORM COVER SHEET

PATENT AND TRADEMARK OFFICE

PATENTS ONLY TO THE HONORABLE DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE. PLEASE RECORD THE ATTACHED ORIGINAL DOCUMENTS OR COPY THEREOF. 1. Name of conveying party(ies): 2. Name and address of receiving party(ies): (1) Alan OSTROFF (8/27/2013) NANOSTIM, INC. Name: 776 Palomar Avenue (2) Paul PASPA (8/27/2013) Sunnyvale, CA 94085 (3) Peter M. JACOBSON (8/28/2013)(4) Wade A. KELLER (8/29/2013) (5) Christopher Alan HUBBARD (8/27/2013) Additional name(s) of conveying party(ies) attached? Yes No Name and address of receiving party(ies): 3. Nature of Conveyance: Name: Assignment Merger Street Address: Security Agreement Change of Name City: State: Zip: Other Country: Additional name(s) & address(es) attached? Yes 4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: B. Patent No.(s) Patent Application No.(s) - 13/972,828 Title: X-Ray Identification for Active Implantable **Medical Device** X No Additional numbers attached? Yes 6. Total number of applications and patents involved: 5. Name and address of party to whom correspondence concerning document should be mailed: James R. Shay Shay Glenn LLP 2755 Campus Drive, Suite 210 San Mateo, CA 94403 7. Total fee (37 CFR 3.41): \$40.00 A check is enclosed that includes the total fee. The \$40 fee is being paid by EFS. DO NOT USE THIS SPACE 8. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. September 3, 2013 Justin P. Thomas, Reg. No. 63301 Date Signature Name of Person Signing Tốtại number of pages including cover sheet, attachments, and documents: 4

> PATENT REEL: 031129 FRAME: 0657

#### ASSIGNMENT OF PATENT APPLICATION

Attorney Docket No. 10494-716.200

This Assignment of Patent Application is between **Alan OSTROFF** of Pleasanton, CA; **Paul PASPA** of Los Gatos, CA; **Peter M. JACOBSON** of Livermore, CA; **Wade A. KELLER** of Aliso Viejo, CA; and **Christopher Alan HUBBARD** of Woodridge, IL (hereinafter referred to as "Inventors") and **NANOSTIM, INC.,** a corporation of the State of Delaware, having a place of business at 776 Palomar Avenue, Sunnyvale, CA 94085 (hereinafter termed "Assignee").

WHEREAS, Inventors have invented certain new and useful improvements in:

#### "X-RAY IDENTIFICATION FOR ACTIVE IMPLANTABLE MEDICAL DEVICE"

for which an application for a United States Patent was filed on August 21, 2013, as Application No. 13/972,828, which application claims benefit of U.S. Provisional Patent Application No. 61/691,721, filed August 21, 2012.

WHEREAS, Assignee is desirous of acquiring the entire right, title and interest of each Inventor in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered by said Inventor (all collectively hereinafter termed "said invention"), and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter termed "patents") thereon granted in the United States and foreign countries.

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Inventor to have been received in full from said Assignee:

- 1. Said Inventors do hereby sell, assign, transfer and convey unto said Assignee the entire right, title and interest (a) in and to said application and said invention: (b) in and to all rights to apply for foreign patents on said invention pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all applications filed and any and all patents granted on said invention in the United States or any foreign country, including each and every application filed and each and every patent granted on any application which is a divisional, substitution, continuation, or continuation-in-part of any of said applications; and (d) in and to each and every reissue or extensions of any of said patents.
- 2. Said Inventors hereby covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and foreign countries. Such cooperation by said Inventor shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of the said applications; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (d) for filing and prosecuting applications for reissuance of any said patents; (e) for interference or other priority proceedings involving said invention; and (f) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation reissues and reexaminations, opposition proceedings, cancellation proceedings, priority contest, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventor in providing such cooperation shall be paid for by said Assignee.
- 3. The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventor, his heirs, legal representatives and assigns.

- 1 -

## Attorney Docket No. 10494-716.200

4. Said Inventors hereby warrant and represent that he/she has not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, said Inventors have executed and delivered this instrument to said Assignee:

Dated:	8/27/13	DAH .
		ALAN OSTROFF
Dated:	8/27/13	/dim/2
		PAVL PASPA
Dated:	8/28/13	Per M Jel
	( /	PETER M. JACOBSON
Dated:	8/29/13	while
		WADE A. KELLER
Dated:		
		CHRISTOPHER ALAN HUBBARD

Attorney Docket No. 10494-716.20	Attorney	Docket	No.	10494	-716.20
----------------------------------	----------	--------	-----	-------	---------

4. Said Inventors hereby warrant and represent that he/she has not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, said Inventors have executed and delivered this instrument to said Assignee:

Dated:	
	ALAN OSTROFF
Dated:	
	PAUL PASPA
Dated:	PETER M. JACOBSON
	· · · · · · · · · · · · · · · · · · ·
Dated:	WADE A. KELLER
Dated: 8/27/13	Christopher alan Hubbard

-2-