## PATENT ASSIGNMENT

Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
Zhiquan Zhang	07/08/2013
G. Burkhard Mackensen	06/26/2013
Qing Ma	07/08/2013
Mihai C. Podgoreanu	07/07/2013

### **RECEIVING PARTY DATA**

Name:	Duke University
Street Address:	Erwin Road
City:	Durham
State/Country:	NORTH CAROLINA
Postal Code:	27707

#### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	13879558

## **CORRESPONDENCE DATA**

Fax Number: 9198541401

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Phone: 9198541400

Email: kwu@myersbigel.com

Correspondent Name: Myers Bigel Sibley & Sajovec, PA

Address Line 1: 4140 Parklake Avenue

Address Line 2: Suite 600

Address Line 4: Raleigh, NORTH CAROLINA 27612

ATTORNEY DOCKET NUMBER:	5405-455TS
NAME OF SUBMITTER:	Katie Wu
Signature:	/kwu/

502481945 REEL: 031133 FRAME: 0041

40.00 138795

Date:	09/04/2013
Total Attachments: 4 source=5405-455TS Assignment#page1.tif source=5405-455TS Assignment#page2.tif source=5405-455TS Assignment#page3.tif source=5405-455TS Assignment#page4.tif	

PATENT REEL: 031133 FRAME: 0042

### **ASSIGNMENT**

THIS ASSIGNMENT, made by us, Zhiquan Zhang, a citizen of the United States of America, residing at 3805 Stoneybrook Drive, Durham, North Carolina 27705; G. Burkhard Mackensen, a citizen of Germany, residing at 608 E. Forest Hills Blvd., Durham, North Carolina 27707; Qing Ma, a citizen of China, residing at 3805 Stoneybrook Drive, Durham, North Carolina 27705; and Mihai C. Podgoreanu, a citizen of Romania, residing at 804 Oxfordshire Lane, Chapel Hill, North Carolina 27517;

WITNESSETH: That,

WHEREAS, we are inventors of certain new and useful improvements in COMPOSITIONS AND METHODS FOR THE TREATMENT OF CARDIAC SURGERY-ASSOCIATED ACUTE KIDNEY INJURY WITH ANNEXIN A1 PEPTIDE, for which United States Patent Application No. 13/879,558 was filed on April 15, 2013; PCT International Application Serial No. PCT/US2011/056400 was filed on October 14, 2011; and United States Provisional Patent Application No. 61/393,402 was filed October 15, 2010, in the United States Patent and Trademark Office; and

WHEREAS, Duke University, an educational institution having a principal place of business at Erwin Road, City of Durham, County of Durham, State of North Carolina, 27707, hereinafter referred to as assignee, is desirous of acquiring our part of the entire right, title and interest in and to the invention as described in said applications, and in and to any and all Letters Patent which shall be granted therefor in the United States of America and all foreign countries;

NOW, THEREFORE, To Whom It May Concern, be it known that for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we have sold and by these presents do hereby sell, assign, transfer, and convey unto said assignee, its successors and assigns, the entire right, title, and interest in and to said invention and applications, and in and to any and all continuations, continuations-in-part, or divisions thereof, and in and to any and all Letters Patent of the United States of America and all foreign countries or reissues or other forms of protection thereof which may be granted therefor or thereon, for the full end of the term for which said Letters Patent may be granted along with any term extensions thereon or therefor, together with the right to claim the priority of said applications in all foreign countries in accordance with the International Convention, the same to be held and enjoyed by said assignee, its successors and assigns, as fully and entirely as the same would have been held and enjoyed by us if this assignment and sale had not been made.

I hereby request that said Letters Patent be issued in accordance with this assignment.

Page 1 of 4

We further covenant and agree that, at the time of the execution and delivery of these presents, we possess a part of the right to the invention and applications above-mentioned, and that we have the unencumbered right and authority to make this assignment regarding our part of the invention.

We further covenant and agree to promptly communicate to said assignee or its representatives any facts known to us relating to said invention, to testify in any interference or legal proceedings involving said invention, to execute any additional papers which may be requested to confirm the right of the assignee, its representatives, successors, or assigns to secure patent or similar protection for said invention in all countries and to vest in the assignee complete title to said invention and Letters Patent, without further compensation, but at the expense of said assignee, its successors, assigns, and other legal representatives; and I hereby instruct, and further covenant and agree to bind our heirs, legal representatives, and assigns, to do same, without further compensation, but at the expense of said assignee or its representatives.

IN WITNESS WHEREOF, I have hereunto set our hand and seal on this 24 day of
July , 2013.
(SEAL)
Mai Jay Day Month
Witnessed by: TWWW Taxway Two Do
Printed Name: Michael Patrick Smith
Date: 07/08/2013
Witnessed by: Must flavory
Printed Name: MICHEL W. MANNING
Date: 4/8/2013

# ATTORNEY DOCKET NO. 5405-455TS APPLICATION SERIAL NO. 13/879,558

IN WITNESS WHEREOF, I have hereunto set our hand and seal on this Life day of
G. Burkhard Mackensen (SEAL) G. GOODING
3 AAR
Witnessed by: Louena f. Hoodwin  Printed Name: Louena F. Goodwin
Printed Name: Louena F. Goodwin
Date:
Witnessed by: Collin L. Steinberg  Printed Name: College L. Steinberg
Printed Name: CALERIA L. Stellalle
1/0//13
Date: 4/21/13
IN WITNESS WHEREOF, I have hereunto set our hand and seal on this <u>\$ tL</u> day of <u>July</u> , 2012: 2013 Mg
July , 2012: 2013 Mx
July , 2012: 2013 MK
(SEAL)
Of the Man (SEAL)
Witnessed by: Muham la Van Maria (SEAL)
Of the Man (SEAL)
Witnessed by: Muham la Van Maria (SEAL)
Witnessed by:  Michael Particle Sm 1h  Date:  07/08/2013
Witnessed by:  Michael Particle Sm 1h  Date:  Witnessed by:  Witnessed by:  Witnessed by:  Witnessed by:
Witnessed by:  Michael Particle Sm 1h  Date:  07/08/2013

Page 3 of 4

# ATTORNEY DOCKET NO. 5405-455TS APPLICATION SERIAL NO. 13/879,558

IN WITNESS WHEREOF, I have hereunto set our hand and seal on this	s <u> </u>
Mile Poly (SEAL)	·
Witnessed by: Millian V. Podgoreanu	
Millessed by.	
Printed Name: Michael Patrick Smith	
Date: 07/08/2013	
Witnessed by: Malfaffirm	
Printed Name: MICHIEL W MANNING	
1/0/200	