

08/21/2013



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AUG 19 2013

RECORDATION FORM COVER SHEET
PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached

1. Name of conveying party(ies)

1. Takahiro KAWAKATSU
2. Tetsuya AOKI
3. Kunihiro HAYAKAWA

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) August 6, 2013; August 6, 2013; August 6, 2013

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other

2. Name and address of receiving party(ies)

Name: Kurita Water Industries Ltd.

Internal Address:

Street Address: 10-1, Nakano 4-chome, Nakano-ku

City: Tokyo

State:

Country: Japan

Zip:

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document serves as an Oath/Declaration (37 CFR 1.63).

A. Patent Application No.(s)

B. Patent No.(s)

13/985,666

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: KANESAKA BERNER & PARTNERS

Internal Address:

Street Address: 2318 Mill Road, Suite 1400

City: Alexandria

State: Virginia

Zip: 22314

Phone Number: 703-519-9785

Docket Number: SHI-077

Email Address: office@uspatentagents.com

6. Total number of applications and patents involved: ONE

7. Total fee (37 CFR 1.21(h) & 3.41) \$40.00

- ☐ Authorized to be charged to deposit account
☒ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

08/20/2013 SHOHAMME 00000025 13985666
Deposit Account Number 01 FC:0021

Authorized User Name

9. Signature:

Manabu Kanetsuka

Signature

August 19, 2013

Date

Manabu KANESAKA

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 4

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

PATENT
REEL: 031172 FRAME: 0910

Ser. 13/585,666
11/8/11
72-1010

ASSIGNMENT

(Page 1 of 2)

For value received, I/we, Takahiro Kawakatsu, Tetsuya Aoki and Kunihiro Hayakawa residing respectively at c/o KURITA WATER INDUSTRIES LTD., 10-1, Nakano 4-chome, Nakano-ku, Tokyo, Japan

hereby sell, assign, and transfer to KURITA WATER INDUSTRIES LTD.

a corporation existing under the Laws of Japan

located at 10-1, Nakano 4-chome, Nakano-ku, Tokyo, Japan

and its successors, assigns, and legal representatives, the entire right, title, and interest for all countries including the United States of America, in and to certain inventions relating to

METHOD FOR IMPROVING REJECTION OF REVERSE OSMOSIS

MEMBRANE, TREATMENT AGENT FOR IMPROVING REJECTION, AND

REVERSE OSMOSIS MEMBRANE

described in an application for Letters Patent of the United States, executed by me/us on this date, and all patents which may be granted therefor, and all divisions, reissues, continuations and extensions thereof, and authorize and request the Commissioner of Patents and Trademarks to issue all patents on said improvements or resulting therefrom to said Company as an assignee of the entire interest, and covenant that I/we have full right to do so, and agree that I/we will communicate to said Company or its representatives any facts known to me/us respecting said improvements and testify in any legal proceedings, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees, to obtain and enforce proper protection for said invention in the United States.

(Page 2 of 2)

Signature Takahiro Kawakatsu
Takahiro Kawakatsu

Date August 6, 2013

Signature Tetsuya Aoki
Tetsuya Aoki

Date August 6, 2013

Signature Kunihiro Hayakawa
Kunihiro Hayakawa

Date August 6, 2013

PATENT

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