

MD 08-22-13

08/22/2013

FORM PTO-1595  
(Rev. 06/93)  
Office



U.S. Department of Commerce  
Patent and Trademark

OMB No. 0651-0011 (Exp. 04/94)

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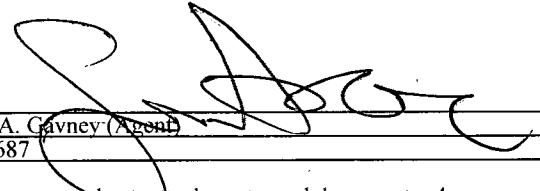
Attorney Docket No.: FL--01600

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Please record the attached original documents or copy thereof.



<p>1. Name of conveying party(ies):</p> <p>Walter Blue Clark Chuan Li</p> <p>___ Additional name(s) of conveying party(ies) attached.</p>	<p>2. Name and address of receiving party(ies):</p> <p>Finelite Inc. 30500 Whipple Road Union City, CA 94587-1530</p> <p>___ Additional name(s) and address(es) attached.</p>
<p>3. Nature of conveyance:</p> <p><input checked="" type="checkbox"/> Assignment      ___ Merger ___ Security Agreement      ___ Change of Name ___ Other: _____</p> <p>Execution Date: Executed by Walter Blues Clark on June 18, 2013; executed by Chuan Li on June 23, 2013.</p>	
<p>4. Application number(s) or patent number(s):</p> <p>___ This document is being filed together with a new application, the execution date of the application is:</p> <p><input checked="" type="checkbox"/> Patent Application Serial No.(s): 13/573,048 filed on August 17, 2012</p> <p>___ Patent No.(s): .</p> <p>___ Additional numbers attached.</p>	
<p>5. Name and address of party to whom correspondence concerning document should be mailed:</p> <p>James A. Gavney 122 Second Ave. Suite 209 San Mateo, California 94401</p>	<p>6. Total number of applications and patents involved: 1.</p> <p>7. Total fee(s) [37 CFR §§ 1.21(h) and 3.41]:</p> <p><input checked="" type="checkbox"/> Check(s) in the amount of \$40.00 enclosed.</p> <p>8. Authorization to Charge Additional Fees:</p> <p><input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees or credit any overpayment associated with this communication and which may be required under 37 CFR §§ 1.21(h) and 3.41 to Deposit Account No. 05-4546.</p>
<p>9. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</p> <p>Dated: August 19, 2013</p> <p>Signature: </p> <p>Name: James A. Gavney (Agent)</p> <p>Reg. No.: 45,687</p> <p>Total number of pages including cover sheet, attachments, and document: 4</p>	

08/23/2013 HTON11 00000005 13573048  
01 FC:8021 40.00 OP

**ASSIGNMENT**

WHEREAS, WE, Walter Blue Clark and Chuan Li, hereinafter referred to as "ASSIGNORS", have invented certain new and useful improvements as described and set forth in the below-identified application for United States Letters Patent:

Title of invention: **BI-LEVEL DIMMING CONTROLLER FOR LED LIGHT FIXTURE**

Date of execution of application: \_\_\_\_\_ Filing Date: 08/17/2012 Serial No.: 13/573,048

WHEREAS, Finelite, Inc., a corporation of the State of California, 30500 Whipple Road, Union City, California 94587, hereinafter referred to as "ASSIGNEE", is desirous of acquiring the entire right, title and interest in said invention and application and in any Letters Patent which may be granted on the same;

NOW THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that, for good and valuable consideration, receipt of which is hereby acknowledged, Assignors have sold, assigned and transferred, and by these presents do sell, assign and transfer unto said Assignee, and Assignee's successors and assigns, all right, title and interest in and to said invention, said application for United States Letters Patent, and any Letters Patent which may hereafter be granted on the same in the United States and all countries throughout the world including any divisions, renewals, continuations in whole or in part, substitutions, conversions, reissues, prolongations or extensions thereof, said interest to be held and enjoyed by said Assignee as fully and exclusively as it would have been held and enjoyed by said Assignors had this assignment and transfer not been made, to the full end and term of any Letters Patent.

Assignors further agree that they will, without charge to said Assignee, but at Assignee's expense, cooperate with Assignee in the prosecution of said application and/or applications, execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof, and will perform such other acts as Assignee lawfully may request, to obtain or maintain Letters Patent for said invention and improvement in any and all countries, and to vest title thereto in said Assignee, or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, Assignors have hereunto signed their names to this assignment on the dates indicated below.

Walter Blue Clark \_\_\_\_\_ 6/18/13 \_\_\_\_\_  
Walter Blue Clark Date

\_\_\_\_\_  
Chuan Li Date

COUNTRY \_\_\_\_\_

PROVINCE OF \_\_\_\_\_

On \_\_\_\_\_ before me, \_\_\_\_\_  
personally appeared Walter Blue Clark and Chuan Li

personally known to me - OR -  proved to me on the basis of satisfactory evidence to be the person(s) whose names are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

SEAL

*See attached cert to file*

\_\_\_\_\_  
Signature of Notary Public

**CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT**

State of California

County of Alameda

On June 18, 2013 before me, Martha M. Ulloa, Notary Public

Date

Here Insert Name and Title of the Officer

personally appeared Walter B. Clark

Name(s) of Signer(s)

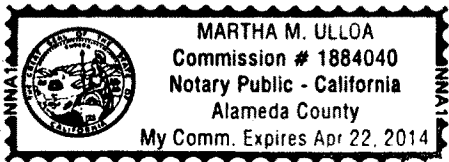
who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature Martha M Ulloa

Signature of Notary Public



Place Notary Seal Above

**OPTIONAL**

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

**Description of Attached Document**

Title or Type of Document: \_\_\_\_\_

Document Date: \_\_\_\_\_ Number of Pages: \_\_\_\_\_

Signer(s) Other Than Named Above: \_\_\_\_\_

**Capacity(ies) Claimed by Signer(s)**

Signer's Name: \_\_\_\_\_

- Individual
- Corporate Officer — Title(s): \_\_\_\_\_
- Partner —  Limited  General
- Attorney in Fact
- Trustee
- Guardian or Conservator
- Other: \_\_\_\_\_

RIGHT THUMBPRINT OF SIGNER

Top of thumb here

Signer Is Representing: \_\_\_\_\_

Signer's Name: \_\_\_\_\_

- Individual
- Corporate Officer — Title(s): \_\_\_\_\_
- Partner —  Limited  General
- Attorney in Fact
- Trustee
- Guardian or Conservator
- Other: \_\_\_\_\_

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IN TESTIMONY WHEREOF, Assignors have hereunto signed their names to this assignment on the dates indicated below.

\_\_\_\_\_  
Walter Blue Clark  
  
  
\_\_\_\_\_  
Chuan Li

\_\_\_\_\_  
Date  
  
6/23/2013  
\_\_\_\_\_  
Date

COUNTRY \_\_\_\_\_

PROVINCE OF \_\_\_\_\_

On \_\_\_\_\_ before me, \_\_\_\_\_  
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WITNESS my hand and official seal.

SEAL

\_\_\_\_\_  
Signature of Notary Public