

Form PTO-1595 (Rev. 06-12)  
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To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

<b>1. Name of conveying party(ies)</b>  Mark Lowdell  Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<b>2. Name and address of receiving party(ies)</b> Name: <u>UCL Biomedica Plc</u> Internal Address: _____ _____ Street Address: <u>The Network Building, 97 Tottenham Court Road</u> _____ City: <u>London</u> State: _____ Country: <u>United Kingdom</u> Zip: <u>W1T 4TP</u> Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<b>3. Nature of conveyance/Execution Date(s):</b> Execution Date(s) <u>January 21, 2008</u> <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Joint Research Agreement <input type="checkbox"/> Government Interest Assignment <input type="checkbox"/> Executive Order 9424, Confirmatory License <input type="checkbox"/> Other _____	<b>4. Application or patent number(s):</b> <input type="checkbox"/> This document serves as an Oath/Declaration (37 CFR 1.63). A. Patent Application No.(s)  <u>13/601,153</u>  B. Patent No.(s)  Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<b>5. Name and address to whom correspondence concerning document should be mailed:</b> Name: <u>Todd Lorenz</u> Internal Address: <u>Arnold &amp; Porter LLP</u> _____ Street Address: <u>Three Embarcadero Center, 10th</u> _____ City: <u>San Francisco</u> State: <u>CA</u> Zip: <u>94111</u> Phone Number: <u>415-471-3295</u> Docket Number: <u>4000/US/2</u> Email Address: <u>sf.docketing@aporter.com</u>	<b>6. Total number of applications and patents involved:</b> <u>1</u> <b>7. Total fee (37 CFR 1.21(h) &amp; 3.41)</b> \$ <u>40.00</u> <input checked="" type="checkbox"/> Authorized to be charged to deposit account <input type="checkbox"/> Enclosed <input type="checkbox"/> None required (government interest not affecting title) <b>8. Payment Information</b>  Deposit Account Number <u>502387</u> Authorized User Name <u>Todd Lorenz</u>
<b>9. Signature:</b> <u>/Todd Lorenz, Reg. No. 39,754/</u> <span style="float: right;"><u>August 27, 2013</u></span> <div style="display: flex; justify-content: space-between; margin-top: 5px;"> <span>Signature</span> <span>Date</span> </div> <div style="display: flex; justify-content: space-between; margin-top: 5px;"> <span><u>Todd Lorenz, Reg. No. 39,754</u></span> <div style="border: 1px solid black; padding: 2px; text-align: center;">             Total number of pages including cover sheet, attachments, and documents: <b>2</b> </div> </div> <div style="text-align: center; margin-top: 5px;">             Name of Person Signing           </div> <p style="font-size: small; margin-top: 10px;">             Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:              Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450           </p>	

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PTO/SB/96 (07-09)

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**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Mark LowdellApplication No./Patent No.: 13/601,153Filed/Issue Date: August 31, 2012

Titled:

Method for Activating Natural Killer Cells by Tumor Cell Preparation In Vivo

UCL Biomedica Plc

, a university

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest in;
2. ☐ an assignee of less than the entire right, title, and interest in  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %); or
3. ☐ the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made)

the patent application/patent identified above, by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 020574, Frame 0772, or for which a copy therefore is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

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☐ Additional documents in the chain of title are listed on a supplemental sheet(s).

- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

/Todd Lorenz, Reg. No. 39,754/

August 27, 2013

Signature

Date

Todd Lorenz, Reg. No. 39,754

Attorney

Printed or Typed Name

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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