Form PTO-1595 (Rev. 07/05) U.S. DEPARTMENT OF COMMERCE OMB No. 0651-0027 (exp. 6/30/2008) United States Patent and Trademark Office RECORDATION FORM COVER SHEET MR S-134,443 PATENTS ONLY To the Director of the U.S. Patent and Trademark Office; Please record the attached documents or the new address(es) below. 1. Name of conveying party(ies) 2. Name and address of receiving party(les) BATTELLE ENERGY ALLIANCE, LLC. Name: United States Department of Energy Internal Address: Additional name(s) of conveying party(ies) attached? \_\_\_\_Yes 🗸 No 3. Nature of conveyance/Execution Date(s): Street Address: 1000 Independence Ave. S.W Execution Date(s) 11/13/2012 Assignment Merger Security Agreement City: <u>Washington</u> Change of Name Joint Research Agreement State: D,C, Government Interest Assignment Country: USA Zip: 20585 Executive Order 9424, Confirmatory License Other\_ Additional name(s) & address(es) attached? ☐ Yes ✓ No 4. Application or patent number(s): This document is being filed together with a new application. A. Patent Application No.(s) B. Patent No.(s) 13/517,887 Additional numbers attached? Yes Vo 5. Name and address to whom correspondence 6. Total number of applications and patents concerning document should be mailed: involved: 1 Name: Mark P. Dvorscak 7. Total fee (37 CFR 1.21(h) & 3.41) \$ Internal Address: U. S. Dept. of Energy, IPLD Authorized to be charged by credit card Authorized to be charged to deposit account Enclosed Street Address: 9800 S. Cass Ave None required (government interest not affecting title) 8. Payment Information City: <u>Argonne</u> a. Credit Card Last 4 Numbers \_\_\_\_\_ State: IL Zip:60439 Expiration Date \_\_\_\_ Phone Number: 630-252-2393 b. Deposit Account Number \_\_\_\_\_ Fax Number: 630-252-2779 Authorized User Name Email Address: Confirmatory.Llcense@ch.doe.gov 9. Signature: <u>Jarnes B. Potts #58,316</u> Total number of pages including cover

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Name of Person Signing

700509746

DOE Case No.: S-715,641

BA-283B D3

## CONFIRMATORY LICENSE

Application for: THERMOPHILIC AND THERMOACIDOPHILIC SUGAR

TRANSPORTERS GENES AND ENZYMES FROM

ALICYCLOBACILLUS ACIDOCALDARIUS AND RELATED

ORGANISMS, METHODS

Inventor(s):

David N. Thompson; William A. Apel; Vicki S. Thompson; David W.

Reed; Jeffrey A. Lacey

Serial No.:

13/517,887

Filing Date (U.S.): DOE Contract No.:

06/14/2012 DE-AC07-05ID14517

Contractor:

Battelle Energy Alliance, LLC

The invention identified above is a "Subject Invention" under the above-numbered contract with Battelle Energy Alliance, LLC. A copy of the provisions of the above-numbered contract governing patent rights in the Subject Invention at the time the invention was made has been submitted to the U.S. Department of Energy.

The Contractor hereby confirms that under the provisions of the above-numbered contract governing patent rights, it has granted to the Government a nonexclusive, nontransferable, irrevocable, paid-up license to practice or to have practiced for or on behalf of the United States the Subject Invention throughout the world. This License applies to the invention in the aboveidentified patent application and any and all divisions or continuations thereof and any resulting patent or reissue patent which may be granted thereon.

It is understood and agreed that this License does not preclude the Government from asserting rights under the provisions of said Contract or any other agreement between the Government and the Contractor, or any other rights of the Government with respect to the above-identified invention.

The Contractor hereby grants the Government an irrevocable power to inspect and make copies of the above-identified application.

Signed this 13th day of November, 2012.

BATTELLE ENERGY ALLIANCE, LLC

Steven T. McMaster

Director, Technology Deployment

P. O. Box 1625

Idaho Falls, ID 83415-3790

**PATENT REEL: 031238 FRAME: 0171** 

RECORDED: 08/30/2013