

PATENT ASSIGNMENT

Electronic Version v1.1
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SUBMISSION TYPE:	NEW ASSIGNMENT										
NATURE OF CONVEYANCE:	ASSIGNMENT										
CONVEYING PARTY DATA											
<table border="1"> <thead> <tr> <th>Name</th> <th>Execution Date</th> </tr> </thead> <tbody> <tr> <td>Robert REICHARDT</td> <td>06/05/2013</td> </tr> <tr> <td>LI, Yuzhuo as represented by Heir, GAO, Ning</td> <td>09/01/2013</td> </tr> <tr> <td>Michael LAUTER</td> <td>06/05/2013</td> </tr> <tr> <td>Wei Lan William CHIU</td> <td>07/02/2013</td> </tr> </tbody> </table>		Name	Execution Date	Robert REICHARDT	06/05/2013	LI, Yuzhuo as represented by Heir, GAO, Ning	09/01/2013	Michael LAUTER	06/05/2013	Wei Lan William CHIU	07/02/2013
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RECEIVING PARTY DATA											
<table border="1"> <tr> <td>Name:</td> <td>BASF SE</td> </tr> <tr> <td>Street Address:</td> <td>.</td> </tr> <tr> <td>City:</td> <td>Ludwigshafen</td> </tr> <tr> <td>State/Country:</td> <td>Germany</td> </tr> <tr> <td>Postal Code:</td> <td>67056</td> </tr> </table>		Name:	BASF SE	Street Address:	.	City:	Ludwigshafen	State/Country:	Germany	Postal Code:	67056
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PROPERTY NUMBERS Total: 1											
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CORRESPONDENCE DATA											
<p>Fax Number: 7034132220 <i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i></p> <p>Phone: (703) 413-3000 Email: bpalmer@oblon.com Correspondent Name: Oblon, Spivak, et al. Address Line 1: 1940 Duke Street Address Line 4: Alexandria, VIRGINIA 22314</p>											
ATTORNEY DOCKET NUMBER:	417884US99										
NAME OF SUBMITTER:	Brian Palmer										
Signature:	/Brian Palmer/										

Date:	09/20/2013
	This document serves as an Oath/Declaration (37 CFR 1.63).
Total Attachments: 5 source=417884USASSN#page1.tif source=417884USASSN#page2.tif source=417884USASSN#page3.tif source=417884USASSN#page4.tif source=417884USASSN#page5.tif	

**DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN
APPLICATION DATA SHEET (37 CFR 1. 76) AND ASSIGNMENT**

**Title of
Invention**

As the below named inventor, I hereby declare that:

This declaration [] The attached application, or

is directed to: ☒ United States application or PCT international application number 43/333427
filed on 2 July 2013

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

WHEREAS, BASF SE having places of business at: 67056 Ludwigshafen, Germany,
(hereinafter referred to as "ASSIGNEE(S)")

is desirous of acquiring the entire right, title and interest in and to said invention and in and to any Letters Patent that may be granted therefore in the United States and its territorial possessions and in any and all foreign countries;

NOW, THEREFORE, in consideration of the sum of ONE DOLLAR (\$1.00), the receipt whereof is hereby acknowledged, and for other good and valuable consideration, I, by these presents do sell, assign and transfer unto said ASSIGNEE(S), the full and exclusive right to the said invention in the United States and its territorial possessions and in all foreign countries (including the right to claim priority under the terms of the International Convention and other relevant International Treaties and Arrangements from the aforesaid application) and the entire right, title and interest in and to any and all Letters Patent which may be granted therefor in the United States and its territorial possessions and in any and all foreign countries and in and to any and all divisions, reissues, continuations, substitutions and renewals thereof.

I hereby authorize and request the Patent Office Officials in the United States and its territorial possessions and any and all foreign countries to issue any and all of said Letters Patent, when granted, to said ASSIGNEE(S) as the assignee of my entire right, title and interest in and to the same, for the sole use and behoof of said ASSIGNEE(S), its (his) successors and assigns, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by me had this Assignment and sale not been made.

Further, I agree that I will communicate to said ASSIGNEE(S) or its (his) representatives any facts known to me respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, substitute, renewal and reissue applications, execute all necessary assignment papers to cause any and all of said Letters Patent to be issued to said ASSIGNEE(S), make all rightful oaths, and, generally do everything possible to aid said ASSIGNEE(S), its (his) successors and assigns, to obtain and enforce proper protection for said invention in the United States and its territorial possessions and in any and all foreign countries.

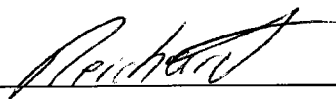
I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

LEGAL NAME OF INVENTOR

Inventor: Robert Reichardt

Date: 5.6.2013

Signature: _____



Assignment of Application

WHEREAS, I (W.D.) PAZAROS ET

_____, respectively.

, respectively,

INSERT TITLE OF
INVENTION:

(Application No. 13/933,427, filed 07/02/2013), and

(hereinafter referred to as "ASSIGNEE") having a place of business at: Ludwigshafen, 67056 Germany is desirous of acquiring the entire right, title and interest in and to said invention and in and to any Letters Patent that may be granted therefore in the United States and its territorial possessions and in any and all foreign countries;

NOW, THEREFORE, in consideration of the sum of FIVE DOLLARS (\$5.00), the receipt whereof is hereby acknowledged, and for other good and valuable consideration, I (WE), by these presents do sell, assign and transfer unto said ASSIGNEE, the full and exclusive right to the said invention in the United States and its territorial possessions and in all foreign countries (including the right to claim priority under the terms of the International Convention and other relevant International Treaties and Arrangements from the aforesaid application(s)) and the entire right, title and interest in and to any and all Letters Patent which may be granted therefor in the United States and its territorial possessions and in any and all foreign countries and in and to any and all divisions, reissues, continuations, substitutions and renewals thereof.

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Further, I (WE) agree that I (WE) will communicate to said ASSIGNEE or its (his) representatives any facts known to me (us) respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, substitute, renewal and reissue applications, execute all necessary assignment papers to cause any and all of said Letters Patent to be issued to said ASSIGNEE, make all rightful oaths, and, generally do everything possible to aid said ASSIGNEE, its (his) successors and assigns, to obtain and enforce proper protection for said invention in the United States and its territorial possessions and in any and all foreign countries.

The undersigned hereby grant(s) the firm of Oblon, Spivak, McClelland, Maier & Neustadt, L.L.P. of 1940 Duke Street, Alexandria, Virginia 22314 the power to insert on this assignment any further identification, including the application number and filing date, which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

Date: _____

(Signature of Inventor) Yuzhuo LI (deceased)

I Ning GAO am the legal representative of
Yuzhuo LI (deceased) and sign on behalf of
Yuzhuo LI

X Ning Gao Date 9/1/13
Signature of legal representative

Address of legal representative: _____

20 Pleasant Street, Potsdam
NY 13676, U.S.A.

Date: _____

(Signature of Inventor)

Date: _____

(Signature of Inventor)

Date: _____

(Signature of Inventor)

Date: _____

(Signature of Inventor)

Date: _____

(Signature of Inventor)

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, L.L.P.
ATTORNEYS AT LAW
1940 DUKE STREET
ALEXANDRIA, VIRGINIA 22314

**DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN
APPLICATION DATA SHEET (37 CFR 1.76) AND ASSIGNMENT**

**Title of
Invention**

As the below named inventor, I hereby declare that:

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LEGAL NAME OF INVENTOR

Inventor: Michael Lauter

Date: 5.6.2013

Signature: M. Lauter

**DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN
APPLICATION DATA SHEET (37 CFR 1.76) AND ASSIGNMENT**

Title of Invention	<i>A chemical mechanical polishing (CMP) composition comprising a non-ionic surfactant</i>
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As the below named inventor, I hereby declare that:

and a customer sale

This declaration ☒ The attached application, or

is directed to: ☒ United States application or PCT international application number *13/333427*
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LEGAL NAME OF INVENTOR

Inventor: Wei Lan William Chiu

Date: JUL 2, 2013

Signature: _____

William Chiu, Wei-Lan