

PATENT ASSIGNMENT

Electronic Version v1.1
 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT										
NATURE OF CONVEYANCE:	ASSIGNMENT										
CONVEYING PARTY DATA											
<table border="1"> <thead> <tr> <th>Name</th> <th>Execution Date</th> </tr> </thead> <tbody> <tr> <td>Siva Kumar Kota</td> <td>09/02/2013</td> </tr> <tr> <td>Annavarapu Vijay Bharat Sastri</td> <td>09/04/2013</td> </tr> <tr> <td>Vijayalakshmi Shah</td> <td>09/04/2013</td> </tr> </tbody> </table>		Name	Execution Date	Siva Kumar Kota	09/02/2013	Annavarapu Vijay Bharat Sastri	09/04/2013	Vijayalakshmi Shah	09/04/2013		
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Vijayalakshmi Shah	09/04/2013										
RECEIVING PARTY DATA											
<table border="1"> <tr> <td>Name:</td> <td>General Electric Company</td> </tr> <tr> <td>Street Address:</td> <td>1 River Road</td> </tr> <tr> <td>City:</td> <td>Schenectady</td> </tr> <tr> <td>State/Country:</td> <td>NEW YORK</td> </tr> <tr> <td>Postal Code:</td> <td>12345</td> </tr> </table>		Name:	General Electric Company	Street Address:	1 River Road	City:	Schenectady	State/Country:	NEW YORK	Postal Code:	12345
Name:	General Electric Company										
Street Address:	1 River Road										
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PROPERTY NUMBERS Total: 1											
<table border="1"> <thead> <tr> <th>Property Type</th> <th>Number</th> </tr> </thead> <tbody> <tr> <td>Application Number:</td> <td>14036001</td> </tr> </tbody> </table>		Property Type	Number	Application Number:	14036001						
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Application Number:	14036001										
CORRESPONDENCE DATA											
Fax Number: <i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i> Phone: 404-853-8000 Email: daniel.warren@sutherland.com Correspondent Name: Sutherland GE Suite 2300 Address Line 1: 999 Peachtree Street Address Line 4: Atlanta, GEORGIA 30309											
ATTORNEY DOCKET NUMBER:	19441-0925 (267472)										
NAME OF SUBMITTER:	Daniel J. Warren										
Signature:	/Daniel J. Warren/										
Date:	09/25/2013										

CH \$40.00 14036001

This document serves as an Oath/Declaration (37 CFR 1.63).

Total Attachments: 6

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COMBINED DECLARATION AND GLOBAL ASSIGNMENT
FOR UTILITY OR DESIGN PATENT APPLICATION

As the below named inventor(s), I/we hereby declare that:

This declaration and assignment are directed to:

SYSTEMS AND METHODS FOR COAL WATER SLURRY CONCENTRATION

- ☐ the specification of which is attached hereto OR
- ☒ United States application or PCT international application number
14/036,001 filed on September 25, 2013, as
amended on [date] (if applicable).
(We hereby authorize and request the Company or its delegated attorneys or agents to
insert above the application number and filing date of the application when known.)

The above-identified application was made or authorized to be made by us.

We believe that we are original joint inventors of a claimed invention in the application.

We hereby acknowledge that any willful false statement made in this declaration is punishable
under 18 USC § 1001 by fine or imprisonment of not more than five (5) years, or both.

We have reviewed and understand the contents of the above-identified application,
including the claims, as amended by any amendment referred to above.

We acknowledge the duty to disclose information which is material to the patentability of this
application as defined in 37 CFR § 1.56, including, for continuation-in-part applications, material
information which became available between the filing date of the prior application and the filing
date of the continuation-in-part application.

In accordance with our obligation(s) under an Employee Innovation and Proprietary Information
Agreement or (as applicable) arising out of other agreements (such as, but not limited to, the
Services Agreement between General Electric Company, a New York corporation, and our
employers), and/or for other good and valuable consideration of which we acknowledge receipt,
I/we,

Inventor First Name	Inventor Middle Name	Inventor Last Name		Residence Town	Residence State	Residence Country
Siva	Kumar	Kota	of	Bangalore	Karnataka	IN
Annavarapu	Vijay Bharat	Sastri	of	Bangalore	Karnataka	IN
Vijayalakshmi		Shah	of	Bangalore	Karnataka	IN

hereby sell and assign to:

Name of Company: <i>General Electric Company</i>
Entity Type (optional): <i>New York corporation</i>
Address: <i>1 River Road, Schenectady, New York 12345 USA</i>

herein referred to as "Company", its successors and assigns our entire respective rights, titles and interests in and to the invention and improvements made or conceived by us described in the application(s) listed above and in the following table:

Country of Filing	Type of Filing	Application Number	Filing Date	Title

(We hereby authorize and request the Company or its delegated attorneys or agents to insert above the application number and filing date of the application(s) when known.)

and any and all applications for patent and patents therefrom in any and all countries, including all divisions, continuations, continuations-in-part, reexaminations, renewals, and reissues thereof, and all rights of priority resulting from the filing of the application(s), and authorize and request any official whose duty it is to issue patents, to issue any patent on the inventions and improvements resulting therefrom to the Company, or its successors or assigns, and agree that on request and without further consideration, we will communicate to the Company or its representatives or nominees any facts known to us respecting the inventions and improvements and testify in any legal proceeding, make all rightful oaths, sign all lawful papers and execute all instruments or documents required or requested for the making and prosecution of any applications of any type for patent, utility model, or other similar rights in all countries including, but not limited to, any provisional, non-provisional, continuation, continuation-in-part, divisional, renewal or substitute thereof, for any derivation proceedings relating thereto, and, as to any patents that issue from such applications, for any supplemental examination, derivation proceeding, opposition, post grant review, reissue, re-examination, inter partes review, or extension thereof, and generally do everything possible to aid the Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for the invention and its improvements in all countries.

Remuneration claims of the inventor(s)/assignor(s) potentially arising from German Law on Employee Inventions, or any other respective country law, remain unaffected by this assignment.

GE Docket No. 267472
Atty. Docket No. 19441-0925

INVENTOR 1

Signature: K. Siva Kumar
Siva Kumar Kota

Date: 02/09/2013

Witnessed by: [Signature]
Signature

Date: 02 Sept 2013

Sathies Kesavan
Printed Name of Witness

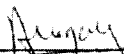
Witnessed by: [Signature]
Signature

Date: 02nd September 2013

Ronit Ghosh
Printed Name of Witness

GE Docket No. 267472
Atty. Docket No. 19441-0925

INVENTOR 2

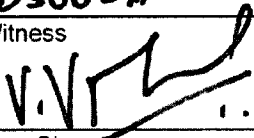
Signature: 
Annavarapu Vijay Bharat Sastri

Date: 04-Sep-2013

Witnessed by: 
Signature

Date: 04-Sep-2013

AVISH D'SOUZA
Printed Name of Witness

Witnessed by: 
Signature

Date: 04-Sep-2013

V. VENKATESH VENKATRAMAN
Printed Name of Witness

GE Docket No. 267472
Atty. Docket No. 19441-0925

INVENTOR 3

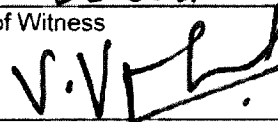
Signature: 
Vijayalakshmi Shah

Date: 4th September 2013

Witnessed by: 
Signature

Date: 04-Sep-2013

AVISH D'SOUZA
Printed Name of Witness

Witnessed by: 
Signature

Date: 04-Sep-2013

VINU V. VENKATESH
Printed Name of Witness



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Website : www.ipindia.nic.in
Telefax : 2250 2066



GOVERNMENT OF INDIA
PATENT OFFICE
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Telephone: 2250 2081
2250 2082
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2250 2084

007611

No. F25/1876/2013/CHE/

17 SEP 2013
Date : 09/2013

To

M/s. GE India Technology Centre Private Ltd.,
122 EPIP Phase 2, Hoodi Village,
Whitefield Road, Bangalore – 560 066, India.

PERMISSION UNDER SECTION 39 OF THE PATENTS ACT 1970

ORDER

Whereas S/shri. Siva Kumar Kota, Annavarapu Vijay Bharat Sastri and Vijayalakshmi Shah, c/o. GE Power & Water, GE India Technology Centre Pvt. Ltd., Plot #122, EPIP, Whitefield Road, Bangalore 560 066, Karnataka, India have filed a request in Form 25 on 6th September 2013 for permission for making Patent Application outside India for the invention relating to "SYSTEMS AND METHODS FOR COAL WATER SLURRY CONCENTRATION"

Having considered the subject matter of the invention as submitted by above mentioned applicant, the permission is hereby granted, to file the application abroad since the invention is not found to be relevant for defence or atomic energy purpose. An endorsed copy of the document disclosing the invention submitted to the Patent Office is enclosed.

(O. PRASAD RAO)
ASSISTANT CONTROLLER OF PATENTS & DESIGNS