

## PATENT ASSIGNMENT

Electronic Version v1.1  
 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT										
NATURE OF CONVEYANCE:	ASSIGNMENT										
CONVEYING PARTY DATA											
<table border="1"> <thead> <tr> <th>Name</th> <th>Execution Date</th> </tr> </thead> <tbody> <tr> <td>Matthias Bockmeyer</td> <td>09/20/2013</td> </tr> <tr> <td>Raif Biertuempfel</td> <td>09/20/2013</td> </tr> </tbody> </table>		Name	Execution Date	Matthias Bockmeyer	09/20/2013	Raif Biertuempfel	09/20/2013				
Name	Execution Date										
Matthias Bockmeyer	09/20/2013										
Raif Biertuempfel	09/20/2013										
RECEIVING PARTY DATA											
<table border="1"> <tr> <td>Name:</td> <td>Schott AG</td> </tr> <tr> <td>Street Address:</td> <td>Hattenbergstrasse 10</td> </tr> <tr> <td>City:</td> <td>Mainz</td> </tr> <tr> <td>State/Country:</td> <td>GERMANY</td> </tr> <tr> <td>Postal Code:</td> <td>55122</td> </tr> </table>		Name:	Schott AG	Street Address:	Hattenbergstrasse 10	City:	Mainz	State/Country:	GERMANY	Postal Code:	55122
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PROPERTY NUMBERS Total: 1											
<table border="1"> <thead> <tr> <th>Property Type</th> <th>Number</th> </tr> </thead> <tbody> <tr> <td>Application Number:</td> <td>13954117</td> </tr> </tbody> </table>		Property Type	Number	Application Number:	13954117						
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CORRESPONDENCE DATA											
<p>Fax Number: 2033276401  <i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i></p> <p>Phone: 203-327-4500        Email: docketing@ogrp.com        Correspondent Name: OHLANDT, GREELEY, RUGGIERO &amp; PERLE, LLP        Address Line 1: ONE LANDMARK SQUARE, 10TH FLOOR        Address Line 4: STAMFORD, CONNECTICUT 06901</p>											
ATTORNEY DOCKET NUMBER:	0009343USU/4149										
NAME OF SUBMITTER:	Charles N. J. Ruggiero										
Signature:	/Charles N. J. Ruggiero/										
Date:	09/26/2013										

This document serves as an Oath/Declaration (37 CFR 1.63).

**Total Attachments: 7**

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OHLANDT, GREELEY, RUGGIERO & PERLE, L.L.P.

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STAMFORD, CONNECTICUT 06901-2682

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**COMBINED DECLARATION AND ASSIGNMENT UNDER 37 C.F.R. §1.63 FOR  
UTILITY OR DESIGN PATENT APPLICATION USING AN APPLICATION DATA SHEET**

OGRP Rev. No. A1A/01a

This Declaration and Assignment, when present, are directed to:

**Title of Invention:** OPTICAL FILTERS, THEIR PRODUCTION AND USE

**Attorney Docket No.:** 0009343USU/4149

- ☒ The specification of which is attached hereto, or
- ☒ United States application or PCT international application number  
13954117 filed on 2013-07-30

I hereby authorize and request the delegated attorneys or agents or those of the Assignee, if any, to insert above the application number and filing date of the above-identified application when known.

**Declaration:** In accordance with the requirements under 35 U.S.C §115, as a below named inventor, I hereby declare that:

- The above-identified application was made or authorized to be made by me.
- I have reviewed and understand the contents of the above-identified application, including the claims, as required by 37 C.F.R. §1.63.
- I believe that I am the original inventor when only one inventor is named below or an original joint inventor when more than one inventor is named below of a claimed invention in the above-identified application.
- I am aware of the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to the patentability of the above-identified application as defined in 37 C.F.R. §1.56.
- I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. §1001 by fine or imprisonment of not more than five (5) years, or both.

**COMBINED DECLARATION AND ASSIGNMENT UNDER 37 C.F.R. §1.63 (CONTINUED)**

**Assignment:**

- ☒ The above-identified application is not subject to an assignment, or
- ☒ The above-identified application is subject to the following assignment.

Whereas, Schott AG, a corporation of  
Germany having a place of  
business at Hattenbergstrasse 10, Mainz D-55122 GERMANY (the "Assignee"),  
desires to acquire, and each undersigned inventor desires to grant and hereby grants to the Assignee, the  
entire worldwide right, title, and interest in and to the above-identified application and in and to any and  
all patent applications and patents directed thereto.

Now, therefore, for good and valuable consideration, the receipt and sufficiency thereof being hereby  
acknowledged, each undersigned inventor hereby sells or has sold, assigns or has assigned, and  
otherwise transfers or has transferred to the Assignee, its successors, legal representatives, and assigns,  
the entire worldwide right, title, and interest in and to the Invention, the above-identified United States  
patent application, and any and all other patent applications and patents for the above-identified  
application that may be applied for or granted therefore in the United States and in all foreign countries  
and jurisdictions, including all divisions, continuations, reissues, reexaminations, renewals, extensions,  
counterparts, substitutes, and extensions thereof, and all rights of priority resulting from the filing of  
such applications and granting of such patents.

In addition, each undersigned inventor hereby authorizes and requests the Director of the United States  
Patent and Trademark Office to issue any United States Patent, and foreign patent authorities to issue  
any foreign patent, granted for the Invention, to the Assignee, its successors, legal representatives, and  
assigns, the entire worldwide right, title, and interest in and to the same to be held and enjoyed by the  
Assignee, its successors, legal representatives, and assigns to the full end of the terms for which any and  
all such patents may be granted, as fully and entirely as would have been held and enjoyed by the  
undersigned had this Assignment not been made; and each undersigned inventor agrees to execute any  
and all documents and instruments and perform all lawful acts reasonably related to recording this  
Assignment or perfecting title to the above-identified application and all related patents and  
applications, in the Assignee, its successors, legal representatives, and assigns, whenever requested by  
the Assignee, its successors, legal representatives, or assigns.

Each undersigned inventor acknowledges his or her obligations to sell, assign, and transfer the rights  
under this Assignment to the Assignee and is unaware of any reason why he or she may not have the full  
and unencumbered right to sell, assign, and transfer the rights hereby sold, assigned, and transferred,  
and has not executed, and will not execute, any document or instrument in conflict herewith.

COMBINED DECLARATION AND ASSIGNMENT UNDER 37 C.F.R. §1.63 (CONTINUED)

ADD INVENTOR

Inventor Information :				
Prefix	Given Name	Middle Name	Family Name	Suffix
	Matthias		BOCKMEYER	
Signature: _____				
Date: _____				

Remove Inventor

Inventor Information :				
Prefix	Given Name	Middle Name	Family Name	Suffix
	Ralf		BIERTUEMPFEL	
Signature: <u>Ralf B. B.</u>				
Date: <u>20. Septe br 2013</u>				

Remove Inventor

**OHLANDT, GREELEY, RUGGIERO & PERLE, L.L.P.**

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STAMFORD, CONNECTICUT 06901-2682

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- I believe that I am the original inventor when only one inventor is named below or an original joint inventor when more than one inventor is named below of a claimed invention in the above-identified application.
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Now, therefore, for good and valuable consideration, the receipt and sufficiency thereof being hereby acknowledged, each undersigned inventor hereby sells or has sold, assigns or has assigned, and otherwise transfers or has transferred to the Assignee, its successors, legal representatives, and assigns, the entire worldwide right, title, and interest in and to the Invention, the above-identified United States patent application, and any and all other patent applications and patents for the above-identified application that may be applied for or granted therefore in the United States and in all foreign countries and jurisdictions, including all divisions, continuations, reissues, reexaminations, renewals, extensions, counterparts, substitutes, and extensions thereof, and all rights of priority resulting from the filing of such applications and granting of such patents.

In addition, each undersigned inventor hereby authorizes and requests the Director of the United States Patent and Trademark Office to issue any United States Patent, and foreign patent authorities to issue any foreign patent, granted for the Invention, to the Assignee, its successors, legal representatives, and assigns, the entire worldwide right, title, and interest in and to the same to be held and enjoyed by the Assignee, its successors, legal representatives, and assigns to the full end of the terms for which any and all such patents may be granted, as fully and entirely as would have been held and enjoyed by the undersigned had this Assignment not been made; and each undersigned inventor agrees to execute any and all documents and instruments and perform all lawful acts reasonably related to recording this Assignment or perfecting title to the above-identified application and all related patents and applications, in the Assignee, its successors, legal representatives, and assigns, whenever requested by the Assignee, its successors, legal representatives, or assigns.

Each undersigned inventor acknowledges his or her obligations to sell, assign, and transfer the rights under this Assignment to the Assignee and is unaware of any reason why he or she may not have the full and unencumbered right to sell, assign, and transfer the rights hereby sold, assigned, and transferred, and has not executed, and will not execute, any document or instrument in conflict herewith.



COMBINED DECLARATION AND ASSIGNMENT UNDER 37 C.F.R. §1.63 (CONTINUED)

Add Inventor

Inventor Information :

Prefix	Given Name	Middle Name	Family Name	Suffix
	Matthias		BOCKMEYER	

Signature: \_\_\_\_\_

*Matthias Bockmeyer*

Date: \_\_\_\_\_

20/09/2013

Remove Inventor

Inventor Information :

Prefix	Given Name	Middle Name	Family Name	Suffix
	Raif		BIERTUEMPFEL	

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Remove Inventor