

PATENT ASSIGNMENT

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
Eric A. Nofzinger	10/28/2011
RECEIVING PARTY DATA	
Name:	University of Pittsburgh - Of the Commonwealth System of Higher Education
Street Address:	200 Gardner Steel Conference Center
Internal Address:	Thackeray & O'Hara Streets
City:	Pittsburgh
State/Country:	PENNSYLVANIA
Postal Code:	15260
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	13868015
CORRESPONDENCE DATA	
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<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>	
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Correspondent Name:	Shay Glenn LLP
Address Line 1:	2755 Campus Drive, Suite 210
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ATTORNEY DOCKET NUMBER:	11259-700.300
NAME OF SUBMITTER:	Mary Buggie
Signature:	/Richard D. Shoop, Reg. No. 45,763/
Date:	10/01/2013

CH \$40.00 13868015

Total Attachments: 4

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RECORDATION FORM COVER SHEET

PATENT AND TRADEMARK OFFICE

PATENTS ONLY

TO THE HONORABLE DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE. PLEASE RECORD THE ATTACHED ORIGINAL DOCUMENTS OR COPY THEREOF.

1. Name of conveying party(ies):

(1) Eric A. NofzingerAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: **University of Pittsburgh - Of the Commonwealth System of Higher Education**
200 Gardner Steel Conference Center
Thackeray & O'Hara Streets
Pittsburgh, PA 15260

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

EXECUTION DATE(S): (1) 10/28/2011

Name and address of receiving party(ies):

Name:
 Street Address:
 City: State: Zip:
 Country:
 Additional name(s) & address(es) attached?
☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) – **13/868,015**

Title: **METHODS, DEVICES AND SYSTEMS FOR TREATING INSOMNIA BY INDUCING FRONTAL CEREBRAL HYPOTHERMIA**

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Richard D. Shoop
Shay Glenn LLP
2755 Campus Drive, Suite 210
San Mateo, CA 94403

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

- ☐ A check is enclosed that includes the total fee.
☒ Charge the \$40 fee to **Deposit Account 50-4050.**

DO NOT USE THIS SPACE

8. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Richard D. Shoop, Reg. No. 45,763

Name of Person Signing

Signature

October 1, 2013

Date

Total number of pages including cover sheet, attachments, and documents: **4**

ASSIGNMENT

WHEREAS, Eric A. Nofzinger of Allison Park, Pennsylvania, U.S.A. (herein referred to as "ASSIGNOR"), is the inventor of the following Invention:

**METHODS, DEVICES AND SYSTEMS FOR TREATING INSOMNIA BY INDUCING
FRONTAL CEREBRAL HYPOTHERMIA**

for which applications for a United States Provisional Application was filed on February 2, 2010, assigned application serial number 61/300,768, and for a United States Patent was filed on February 2, 2011, assigned application serial number 13/019,477, which claims the benefit of U.S. provisional application serial number 61/300,768 (both applications are collectively referred to as the "PATENT PROPERTY").

WHEREAS, the Invention was previously disclosed to the University of Pittsburgh - Of the Commonwealth System of Higher Education (herein referred to as "University" and/or "ASSIGNEE") and assigned to the University pursuant to the ASSIGNOR'S obligations under the University's Patent Rights and Technology Transfer Policy (the "Patent Policy") and in consideration of the ASSIGNOR'S employment and/or association with the University and the rights provided to ASSIGNOR under the Patent Policy and/or said ASSIGNOR'S employment agreement;

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, and intending to be bound hereby, ASSIGNOR hereby assigns, sells, transfers, and sets over unto said ASSIGNEE, effective immediately, his entire right, title, and interest in and to said PATENT PROPERTY and the inventions and improvements therein disclosed or hereinafter developed for the United States and all foreign countries and any Letters Patent which may issue therefrom in the United States and all foreign countries and all divisionals, reissues, continuations, continuations in part, requests for continued examinations, renewals, and/or extensions thereof including all priority rights under the International Convention associated therewith for each country in the Union, said ASSIGNEE to have and to hold the interests herein assigned to the full ends of the terms of said Letters Patent and any and all divisionals, reissues, continuations, requests for continued examinations, renewals, and/or extensions thereof, respectively, as fully and entirely as the same would have been held and enjoyed by ASSIGNOR had this assignment not been made.

And for the consideration aforesaid, ASSIGNOR agrees that he will communicate to said ASSIGNEE or the representatives thereof any facts known to ASSIGNOR respecting said inventions and improvements, and will, upon request, but at ASSIGNEE'S expense, testify in any legal proceedings, sign all lawful papers, execute all divisional, reissue, continuation, continuation in part, request for continued examination, renewal, and/or extension applications, make all rightful oaths, and generally do all other and further lawful acts, deemed necessary or expedient by said ASSIGNEE or by counsel for said ASSIGNEE, to assist or enable said ASSIGNEE to obtain and enforce full benefits from the rights and interests herein assigned.

869497.1

Dated: Oct. 28, 2011

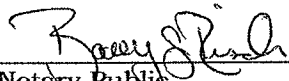
A handwritten signature in black ink, consisting of a large, stylized 'E' followed by a cursive 'N' and 'F', ending in a horizontal line.

Eric A. Nofzinger

Commonwealth of Pennsylvania :
:
County of Allegheny :

Before me, a Notary Public in and for the said County and State, personally appeared Eric A. Nofzinger, who acknowledged that he is the person who executed the foregoing Assignment and acknowledged it to be his free and voluntary act and deed.

Witness my hand and notarial seal this 28th day of OCTOBER, 2011.



Notary Public

(NOTARY SEAL)

