

Form PTO-1595 (Rev. 06-12)
OMB No. 0651-0027 (exp. 04/30/2015)

MR4053-151

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RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

CHIH-HSIEN WU
WEI CHANG
KAI-CHENG CHUANG
SHAO-WEI CHIU

Additional name(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies)

Name: UNITY OPTO TECHNOLOGY CO., LTD.

Internal Address: _____

Street Address: 9F, NO. 88-8, SEC. 1, KUANG-FU RD., SANCHUNG DISTRICT

City: NEW TAIPEI CITY

State: _____

Country: TAIWAN, R.O.C. Zip: _____

Additional name(s) & address(es) attached? Yes No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) 9/12/13; 9/12/13; 9/12/13; 9/12/13

- Assignment Merger
- Security Agreement Change of Name
- Joint Research Agreement
- Government Interest Assignment
- Executive Order 9424, Confirmatory License
- Other _____

4. Application or patent number(s):

This document serves as an Oath/Declaration (37 CFR 1.63).

A. Patent Application No.(s)

14/026,132

B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address to whom correspondence concerning document should be mailed:

Name: MORTON J. ROSENBERG, ESQ.

Internal Address: ROSENBERG KLEIN & LEE

Street Address: 3458 ELLICOTT CENTER DRIVE, SUITE 101

City: ELLICOTT CITY

State: MARYLAND Zip: 21043

Phone Number: 410-465-6678

Docket Number: MR4053-151

Email Address: rkl@rklpatlaw.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

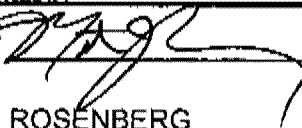
- Authorized to be charged to deposit account
- Enclosed
- None required (government interest not affecting title)

8. Payment Information

Deposit Account Number 50-5298

Authorized User Name ROSENBERG KLEIN & LEE

9. Signature:



Signature

9/13/2013

Date

MORTON J. ROSENBERG

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 4

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:

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PATENT

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ASSIGNMENT DEED

This Assignment agreement is applicable to an invention entitled (Invention Title):
CEILING LAMP ADOPTING NON-SEPARATING DRIVER CIRCUIT

The PATENT RIGHTS referred to in this agreement are:

(Check one)

- a Patent Application for this invention, executed by the ASSIGNOR(S) concurrently with this Assignment
- U.S. Patent Application Serial No. 14/026,132, filed 9/13/2013
- U.S. Patent No. _____, issued _____

The PATENT RIGHTS assigned under this agreement are:

(Check One)

- U.S. Patent rights only
- worldwide Patent rights. In this case, the assignee shall have the right to claim the benefit of the filing date of any U.S. Patent Application identified above.

I/WE believe that I/WE am/are the original inventor(s) of a claimed invention in the application.

The above-identified Application was made or authorized by me/us.

I/WE hereby acknowledge that any will false statements made in this Assignment is punishable under 18 USC 1001 by fine or imprisonment of not more than five (5) years, or both.

The ASSIGNOR(S) referred to in this agreement is (or are):

(Full name of sole or first assignor) CHIH-HSIEN WU (FAMILY NAME: WU)

(Address) 9F, NO. 88-8, SEC. 1, KUANG-FU RD., SANCHUNG DISTRICT, NEW TAIPEI CITY, TAIWAN, R.O.C.

(Full name of second joint assignor, if any) WEI CHANG (FAMILY NAME: CHANG)

(Address) 9F, NO. 88-8, SEC. 1, KUANG-FU RD., SANCHUNG DISTRICT, NEW TAIPEI CITY, TAIWAN, R.O.C.

(Full name of third joint assignor, if any) KAI-CHENG CHUANG (FAMILY NAME: CHUANG)

(Address) 9F, NO. 88-8, SEC. 1, KUANG-FU RD., SANCHUNG DISTRICT, NEW TAIPEI CITY, TAIWAN, R.O.C.

(Full name of fourth joint assignor, if any) SHAO-WEI CHIU (FAMILY NAME: CHIU)

(Address) 9F, NO. 88-8, SEC. 1, KUANG-FU RD., SANCHUNG DISTRICT, NEW TAIPEI CITY, TAIWAN, R.O.C.

(Full name of fifth joint assignor, if any) _____

(Address) _____

Additional assignors are being named on separately numbered sheets attached hereto.

The sole or first ASSIGNEE(S) referred to in this agreement is (or are):

(Name of Assignee) UNITY OPTO TECHNOLOGY CO., LTD.

(Address of Assignee) 9F, NO. 88-8, SEC. 1, KUANG-FU RD., SANCHUNG DISTRICT, NEW TAIPEI CITY,
TAIWAN, R.O.C.

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The sole or first ASSIGNEE is: (Check One)

an individual

a partnership

a Corporation of TAIWAN, R.O.C. (State or Country)

The second ASSIGNEE(S) (If any) referred to in this agreement is (or are):

(Name of Assignee)

(Address of

Assignee)

The second ASSIGNEE is: (Check One)

an individual

a partnership

a Corporation of _____ (State or Country)

The third ASSIGNEE(S) (If any) referred to in this agreement is (or are):

(Name of Assignee)

(Address of

Assignee)

Additional assignees are being named on separately numbered sheets attached hereto.

The ASSIGNOR(S), in consideration of \$1.00 paid by each ASSIGNEE, and other good and valuable consideration, receipt of which is acknowledged, have and do hereby assign the following to each ASSIGNEE: their successors and assigns:

the full and exclusive right to the invention;

an equal interest in and to the entire right, title and interest in and to the PATENT RIGHTS in the invention, all continuations, continuations-in-part, divisionals, re-issues, and re-examination patents and patent applications; and

the right to claim priority under 35 U.S.C. § 119, based on any earlier foreign applications for this invention.

As to all U.S. Patent Applications assigned under this Agreement, the ASSIGNOR(S) hereby authorize(s) and request(s) the Commissioner of Patents and Trademarks to issue all Letters Patent to the ASSIGNEE(S) as the ASSIGNEE(S) of an equal interest in the entire right, title and interest, for the sole use and enjoyment of said ASSIGNEE(S), their successors and assigns.

Further, the ASSIGNOR(S) agree(s) to communicate to said ASSIGNEE(S), or their representatives, any facts known to the ASSIGNOR(S) respecting said invention, and testify in any legal proceedings, sign all lawful papers, execute all divisional, continuation, substitute, renewal, reexamination and reissue applications, execute all necessary assignment papers to cause any and all Letters Patent to be issued to said ASSIGNEE(S), make all rightful oaths and generally do everything necessary or desirable to aid said ASSIGNEE(S), their successors and assigns, to obtain and enforce proper protection for said invention.

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I hereby give our Attorney authorization to insert the Serial Number and filing date of the above-referenced Patent Application when known.

CHIH-HSIEN WU

(Signature of sole or first assignor)
CHIH-HSIEN WU (FAMILY NAME: WU)

SEPTEMBER 12, 2013

(Date)

Wei Chang

(Signature of second assignor, if any)
WEI CHANG (FAMILY NAME: CHANG)

SEPTEMBER 12, 2013

(Date)

Kai-Cheng Chuang

(Signature of third assignor, if any)
KAI-CHENG CHUANG (FAMILY NAME: CHUANG)

SEPTEMBER 12, 2013

(Date)

Shao-Wei Chiu

(Signature of fourth assignor, if any)
SHAO-WEI CHIU (FAMILY NAME: CHIU)

SEPTEMBER 12, 2013

(Date)

(Signature of fifth assignor, if any)

(Date)

I believe the above-named inventor(s) to be the original inventor(s) or co-joint inventor(s) of a claimed invention in the Application.

The above-identified was made or authorized to be made by the Assignor(s).

I hereby authorize our Attorneys to insert the Serial Number and Filing date of the above referenced Patent Application when known.

I hereby acknowledge that any willful false statement made in this statement is punishable under 18 USC 1001 by fine or imprisonment of not more than five (5) years, or both.

FOR: UNITY OPTO TECHNOLOGY CO., LTD.

[Signature]
(First Assignee Signature)

Date SEPTEMBER 12, 2013

CHING-HUEI WU (FAMILY NAME: WU)
(Typed Name)

CHAIRMAN
(Title)