# PATENT ASSIGNMENT

Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
THOMAS D. EGAN	09/24/2013

# **RECEIVING PARTY DATA**

Name:	GASTRX MEDICAL LLC
Street Address:	12 SOUTH STREET
City:	MARBLEHEAD
State/Country:	MASSACHUSETTS
Postal Code:	01945

# PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	10511385

### **CORRESPONDENCE DATA**

Fax Number: (720)931-3001

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Phone: 720-931-3000

Email: patent@lathropgage.com

Correspondent Name: LATHROP & GAGE LLP

Address Line 1: 4845 PEARL EAST CIRCLE

Address Line 2: SUITE 201

Address Line 4: BOULDER, COLORADO 80301

ATTORNEY DOCKET NUMBER:	548671 EGAL110US
NAME OF SUBMITTER:	DOUGLAS LINK
Signature:	/Douglas Link/
Date:	10/02/2013

Total Attachments: 7

PATENT REEL: 031331 FRAME: 0957

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### CONFIRMATION OF ASSIGNMENT AND ASSIGNMENT

WHEREAS, Thomas D. Egan, residing in Marblehead Massachusetts, has invented a certain improvement in Gastric Bypass Prosthesis described in US Patent Application Serial No. 10/511,385, filed on May 9, 2003, in the United States Patent and Trademark Office, now US Patent No. 7,316,716 (hereinafter, the "Invention");

WHEREAS, Gastrx Medical LLC (hereinafter, "ASSIGNEE"), a Massachusetts limited liability company, having a place of business at 12 South Street, Marblehead MA 01945, desires to confirm its acquisition of, and to acquire, the full and entire interests therein of the aforesaid Thomas D. Egan (hereinafter, "ASSIGNOR EGAN");

WHEREAS, pursuant to a written assignment executed by ASSIGNOR EGAN on March 7, 2007, (the "March 7 EGAN Assignment"), copy attached hereto as Exhibit A, and recorded at the US Patent and Trademark Office at Reel/Frame 018986/0078 on March 9, 2007, ASSIGNOR EGAN sold, assigned and transferred unto an entity identified as "Gastrix Medical LLC, 12 South Street, Marblehead MA 01945 USA", its successors and assigns, ASSIGNOR EGAN's full and exclusive right, title and interest for the United States, its territories and possessions, and all foreign countries, in and to said Invention;

WHEREAS, ASSIGNOR EGAN, upon execution of the March 7 EGAN Assignment of Exhibit A, intended, and then believed, and now believes, that he effected the sale, assignment and transfer of his entire right, title and interest in and to said Invention to ASSIGNEE, Gastrx Medical LLC;

WHEREAS, on or about March 2007, Gastrx Medical LLC conducted its business as "Gastrx Medical LLC, 12 South Street, Marblehead MA 01945 USA";

WHEREAS, there is no known entity which on or about March 2007, existed or did business as "Gastrix Medical LLC at 12 South Street, Marblehead MA 01945 USA", other than Gastrx Medical LLC:

NOW, THEREFORE, to all whom it may concern, be it known that ASSIGNOR EGAN hereby confirms that the sale, assignment and transfer made pursuant to the terms of the March 7 EGAN Assignment was to ASSIGNEE, Gastrx Medical LLC;

AND to the extent such sale, assignment and transfer is or was not effected by the March 2007 Assignment, to all whom it may concern, be it known that, for \$1.00 (One Dollar) and other good and valuable consideration, the receipt of which is hereby acknowledged by ASSIGNOR EGAN, ASSIGNOR EGAN has sold, assigned and transferred, and by these presents does hereby sell, assign and transfer, unto said ASSIGNEE, Gastrx Medical LLC, its successors, assigns and legal representatives, ASSIGNOR EGAN's entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to said Invention as described in the aforesaid patent application, together with its entire right, title and interest in and to said application and such Letters Patent as may issue on said Invention; said Invention, application and Letters Patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by ASSIGNOR EGAN had this assignment and sale not been made. ASSIGNOR EGAN hereby conveys all of his rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for Letters Patent. ASSIGNOR EGAN hereby acknowledges that this assignment, being of his entire right, title and interest in and to said Invention, carries with it the right in ASSIGNEE to apply for and obtain from competent authorities in all countries of the world any and all Letters Patent by attorneys and agents of ASSIGNEE's selection and

EGAL-0110 2013-09-18 EGAN Confirmation of Assignment and Assignment

the right to procure the grant of all such Letters Patent to ASSIGNEE for its own name as assignee of the ASSIGNOR EGAN's entire right, title and interest therein; ASSIGNOR EGAN hereby expressly authorizes the filing of an International Patent Application under the Patent Cooperation Treaty which corresponds to and claims the priority of the above-identified application;

AND, ASSIGNOR EGAN hereby further agrees for himself and his executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid Invention to said ASSIGNEE, its successors, assigns and legal representatives, but at its or their expense and charges, including the execution of applications for patents in foreign countries, and the execution of any future applications including substitution, reissue, divisional or continuation applications, and preliminary or other statements and the giving of testimony in any interference or other proceeding in which said invention or any application or patent directed thereto may be involved;

AND, ASSIGNOR EGAN does hereby authorize and request each Patent Office and the Commissioner of Patents of the United States to issue such Letters Patent as shall be granted upon said Invention to ASSIGNEE, its successors, assigns, and legal representatives.

Executed this \_\_day of \_\_\_\_\_ 2013:

ASSIGNOR EGAN AND INVENTOR

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# Exhibit A

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DATENT	<b>ASSIGNMENT</b>	•	
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# Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	ASSIGNMENT		
CONVEYING PARTY DATA			
Name Execution Date			
Thomas D. Egan		03/07/2007	
RECEIVING PARTY DATA			
Name: GASTRIX MEDIC	AL, LLC		
Street Address: 12 South Street			
City: Marblehead		·	
State/Country: MASSACHUSET	rs		
Postal Code: 01945			
PROPERTY NUMBERS Total: 1  Property Type  Application Number: 105	Number 11385		
Application Number: 10911369			
CORRESPONDENCE DATA			
Fax Number: (617)342-4001  Correspondence will be sent via US Mail when the fax attempt is unsuccessful.  Email: bostonipdocketing@foley.com, wmorris@foley.com  Correspondent Name: Mark G. Lappin  Address Line 1: 111 Huntington Avenue  Address Line 4: Boston, MASSACHUSETTS 02199			
ATTORNEY DOCKET NUMBER:	(EGAL-0110) 082099-0102		
NAME OF SUBMITTER:	Bili Morris		
Total Attachments: 3 source=Assignment#page1.tif source=Assignment#page2.tif source=Assignment#page3.tif			

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PATENT REEL: 018986 FRAME: 0078

#### **ASSIGNMENT - WORLDWIDE**

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, each undersigned inventor (hereinafter referred to as "ASSIGNOR") has sold, assigned, and transferred, and by these presents hereby sells, assigns, and transfers, unto

Gastrix Medical, LLC 12 South Street Marblehead MA 01945 USA

(hereinaster reserred to as "ASSIGNEE") its successors and assigns, the full and exclusive right, title and interest for the United States, its territories and possessions, and all foreign countries in and to this invention relating to

#### **GASTRIC BYPASS PROSTHESIS**

as set forth i	n this	United States Patent App	plication
check one	•	] executed concurrently	herewith.
		] executed on	
	[X	] Serial No. 10/511385	Filed <u>5/9/2003</u>

as well as in and to (a) all improvements and modifications of the above-identified invention or inventions, (b) the above-identified application and all other applications for Letters Patent of the United States and countries foreign thereto for above-identified invention or inventions and all improvements and modifications thereof, (c) all Letters Patent which may issue from said applications in the United States and countries foreign thereto, (d) all divisions, continuations, reissues, and extensions of said applications and Letters Patent, and (e) the right to claim for any of said applications the full benefits and priority rights under the International Convention and any other international agreement to which the United States adheres; such right, title, and interest to be held and enjoyed by ASSIGNEE, its successors and assigns, to the full end of the term or terms for which any and all such Letters Patent may be granted as fully and entirely as would have been held and enjoyed by ASSIGNOR had this Assignment not been made.

ASSIGNOR HEREBY AUTHORIZES ASSIGNEE to file patent applications in any or all countries on the above-identified invention or inventions in the name of the undersigned or in the name of ASSIGNEE or otherwise as ASSIGNEE may deem advisable under the International Convention or otherwise.

ASSIGNOR HEREBY AUTHORIZES AND REQUESTS the Commissioner of Patents and Trademarks to issue said Letters Patent to ASSIGNEE as assignee of the entire interest, for the sole use and benefit of ASSIGNEE, its successors and assigns.

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Atty. Dkt. No. (EGAL-0110) 082099-0102

ASSIGNOR HEREBY AGREES (a) to communicate to ASSIGNEE, its successors and assigns, or their representative or agents, all facts and information known or available to ASSIGNOR respecting said invention or inventions, improvements, and modifications including evidence for interference, reexamination, reissue, opposition, revocation, extension, or infringement purposes or other legal, judicial, or administrative proceedings, whenever requested by ASSIGNEE; (b) to testify in person or by affidavit as required by ASSIGNEE, its successors and assigns, in any such proceeding in the United States or a country foreign thereto; (c) to execute and deliver, upon request by ASSIGNEE, all lawful papers including, but not limited to, original, divisional, continuation, and reissue applications, renewals, assignments, powers of attorney, oaths, affidavits, and declarations, depositions; and (d) to provide all reasonable assistance to ASSIGNEE, its successors and assigns, in obtaining and enforcing proper title in and protection for said invention or inventions, improvements, and modifications under the intellectual property laws of the United States and countries foreign thereto.

ASSIGNOR HEREBY REPRESENTS AND WARRANTS that ASSIGNOR has the full and unencumbered right to sell, assign, and transfer the interests sold, assigned, and transferred herein, and that ASSIGNOR has not executed and will not execute any document or instrument in conflict herewith.

ASSIGNOR HEREBY GRANTS to the law firm of Foley & Lardner LLP the power and authority to insert in this Assignment any further identification which may be necessary or desirable to comply with the rules of the U.S. Patent and Trademark Office for recordation of this Assignment.

ASSIGNOR UNDERSTANDS AND AGREES that the attorneys and agents of the law firm of Foley & Lardner LLP do not personally represent ASSIGNOR OR ASSIGNOR's legal interests, but instead represent the interests of ASSIGNEE; since said attorneys and agents cannot provide legal advice to ASSIGNOR with respect to this Assignment, ASSIGNOR acknowledges its right to seek its own independent legal counsel.

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# Atty. Dkt. No. (EGAL-0110) 082099-0102

Executed this 744 day of March	2007.
	10 Km
State of Margalusetts	THOMAS D. BEAN
County of Line	
On this 1 day of 1 day of 2007, before r PCIAN, who is personally known to me to be the same person whose name that be/she signed, scaled, and delivered the said instrument as his/her fro	me, a notary public in and for said county, appeared THOMAS D. e is subscribed to the furegoing instrument, and be/she acknowledged c and voluntary act for the uses and purposes therein set forth.
WARE BELAVIOR	- Lynin Mui Belga-
(300 K) K	My Commission Expires: 13 >7-07
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RECORDED: 03/09/2007

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**RECORDED: 10/02/2013**