

Form PTO-1595 (Rev. 03-11)
OMB No. 0851-0027 (exp. 03/31/2015)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies):

Ammon David Lentz (09/16/2013), Kevin Bailey
(09/18/2013), Dustin Diemert (09/16/2013).

Additional name(s) of conveying party(ies) attached? ☒ Yes ☐ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s): in parentheses after inventor name

- ☒ Assignment ☐ Merger ☐ Change of Name
☐ Security Agreement ☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other

2. Name and address of receiving party(ies)

Name: Becton, Dickinson and Company

Internal Address: _____

Street address: _____

1 Becton Drive

City: Franklin Lakes

State: New Jersey

Country: United States of America Zip: 07417

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent Application No.(s)

13/985,177

☐ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Richard J. Botos
LERNER, DAVID, LITTENBERG,
KRUMHOLZ & MENTLIK LLP

Internal Address: Atty. Dkt.:

Street Address: 600 South Avenue West

City: Westfield

State: NJ Zip: 07090

Phone Number: 908-654-5000

Fax Number: 908-654-7866

Email Address: mcormier@ldlkm.com

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

Deposit Account Number 12-1095

Authorized User Name Richard J. Botos

9. Signature:



Signature

Richard J. Botos 13/016

Name of Person Signing

September 23, 2013

Date

Total number of pages including cover sheet, attachments, and documents: 9

CH \$40.00 121095 13985177

RECORDATION FORM COVER SHEET (PTO-1595) (supplemental sheet)	
Additional Conveying Party(ies)/Execution Date(s) (1. Continued): Joel Daniel Kraye (09/16/2013), and Laurence Michael Vaughan (09/16/2013)	
Additional Assignees (2. Continued):	
Assignee Name: _____ Internal Address: _____ Street Address: _____ City: _____ State: _____ Country: _____ Zip: _____	
Assignee Name: _____ Internal Address: _____ Street Address: _____ City: _____ State: _____ Country: _____ Zip: _____	
Assignee Name: _____ Internal Address: _____ Street Address: _____ City: _____ State: _____ Country: _____ Zip: _____	
Additional Applications and/or Patents (4. Continued):	
Additional Patent Application Numbers 4A. Continued:	Additional Patent Numbers 4B. Continued:
Additional numbers attached? <input type="checkbox"/> Yes <input type="checkbox"/> No	

Patent
P-9498

ASSIGNMENT

Serial No.: PCT/US2012/024993

Filed: February 14, 2012

Serial No.: 13/985,177

Filed: August 13, 2013

WHEREAS, Ammon David Lentz, Kevin Builey, Dustin Diemert, Joel Daniel Krayner and Laurence Michael Vaughan, citizens of the United States of America, (hereinafter called "Assignors"), have made certain new and useful inventions or discoveries relating to:

PURCEABLE CAP

for which they have executed a Declaration for an application for Letters Patent of the United States of America; and

WHEREAS, BECTON, DICKINSON AND COMPANY, a corporation of New Jersey, (hereinafter called "Assignee"), is desirous of acquiring the entire right, title and interest therein.

NOW, THEREFORE, BE IT KNOWN that for and in consideration of the premises and in acknowledgment, confirmation and performance of obligations which arose out of the terms and condition of Assignors' employment by Assignee at the time the invention was made, and other valuable considerations to them, the receipt and sufficiency of which are hereby acknowledged, Assignors have assigned, and transferred, and do hereby assign, and transfer unto said Assignee the entire right, title, and interest in and to all said inventions and discoveries disclosed in said application, whose identification above by serial number and filing date, when available, is hereby authorized, and in and to said application, all substitutions, divisions, provisionals, conversions of provisionals and continuations or continuations-in-part, thereof, and in and to all Letters Patents, United States and foreign, that may be granted for said inventions and discoveries, and in and to all extensions, renewals and reissues thereof, the same to be held and enjoyed by said Assignee, its successors and assigns, as fully and entirely as the same would have been held and enjoyed by Assignors if this Assignment and sale had not been made; and

Assignors hereby authorize and request the Commissioner of Patents and Trademarks of the United States to issue said Letters Patent in accordance with this Agreement; and

For the consideration aforesaid, Assignors covenant and agree with said Assignee that they have a full and unencumbered title to the inventions and discoveries above

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described and hereby assigned, which title they warrant unto said Assignee, its successors and assigns; and

For the consideration aforesaid, Assignors further covenant and agree that they will, whenever requested, but without cost to them promptly communicate to said Assignee or its representatives any facts known to them relating to said inventions and discoveries, testify in any interference or legal proceedings involving said inventions and discoveries, and execute any additional papers that may be necessary to enable said Assignee or its representatives, successors, nominees, or assigns to secure full and complete protection of the inventions and discoveries or that may be necessary to vest in Assignee the complete title to the inventions and discoveries and patents hereby conveyed and to enable it to record title.

And I do hereby authorize my attorneys to insert on this deed the filing date and application number of said application when known.

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IN TESTIMONY WHEREOF, Assignors have hereunto set their hands and seals on the dates subscribed below.

Ammon David Lentz

DATE: 9/16/2013

STATE OF Maryland
COUNTY OF Baltimore ^{SS:}

BE IT REMEMBERED, that on this 16 day of September 2013, before me, a Notary Public, personally appeared Ammon David Lentz, who I am satisfied is the person named in and who executed the foregoing instrument in my presence, I and having first made known to him/her the contents thereof, he/she did acknowledge that he/she signed, sealed, and delivered the same as his/her voluntary act and deed for the uses and purposes therein expressed.

Sharon J. Hebert
Notary Public Sharon J. Hebert
My Commission Expires: 7/22/2015

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IN TESTIMONY WHEREOF, Assignors have hereunto set their hands and seals on the dates subscribed below.

[Signature]
DATE: 9/18/2013

STATE OF Maryland)
COUNTY OF Baltimore)^{SS:}

BE IT REMEMBERED, that on this 18 day of September, 2013, before me, a Notary Public, personally appeared Kevin Bailey, who I am satisfied is the person named in and who executed the foregoing instrument in my presence, I and having first made known to him/her the contents thereof, he/she did acknowledge that he/she signed, sealed, and delivered the same as his/her voluntary act and deed for the uses and purposes therein expressed.

Sharon J. Albert
Notary Public Sharon J. Albert
My Commission Expires: 7/22/2015

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IN TESTIMONY WHEREOF, Assignors have hereunto set their hands and seals on the dates subscribed below.

Dustin Diemert

DATE: 9/16/2013

STATE OF Maryland)
COUNTY OF Baltimore) ss:

BE IT REMEMBERED, that on this 16 day of September, 2013, before me, a Notary Public, personally appeared Dustin Diemert, who I am satisfied is the person named in and who executed the foregoing instrument in my presence, and having first made known to him/her the contents thereof, he/she did acknowledge that he/she signed, sealed, and delivered the same as his/her voluntary act and deed for the uses and purposes therein expressed.

Sharon J. Helbert
Notary Public Sharon J. Helbert
My Commission Expires: 7/22/2015

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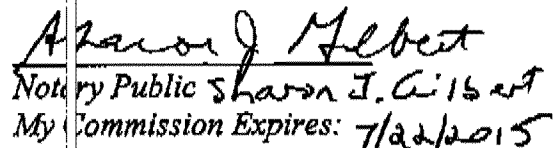
IN TESTIMONY WHEREOF, Assignors have hereunto set their hands and seals on the dates subscribed below.



DATE: 9/16/2013

STATE OF Maryland)
COUNTY OF Baltimore) ss:

BE IT REMEMBERED, that on this 16 day of September, 2013, before me, a Notary Public, personally appeared Joel Daniel Krayner, who I am satisfied is the person named in and who executed the foregoing instrument in my presence, and having first made known to him/her the contents thereof, he/she did acknowledge that he/she signed, sealed, and delivered the same as his/her voluntary act and deed for the uses and purposes therein expressed.


Notary Public Sharon J. Gilbert
My Commission Expires: 7/22/2015

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IN TESTIMONY WHEREOF, Assignors have hereunto set their hands and seals on the dates subscribed below.

Laurence M. Vaughan

DATE: 9/16/2013

STATE OF MARYLAND)

ss:

COUNTY OF BALTIMORE)

BE IT REMEMBERED, that on this 16th day of SEPTEMBER, 2013, before me, a Notary Public, personally appeared Laurence Michael Vaughan, who I am satisfied is the person named in and who executed the foregoing instrument in my presence, and having first made known to him/her the contents thereof, he/she did acknowledge that he/she signed, sealed, and delivered the same as his/her voluntary act and deed for the uses and purposes herein expressed.

Arlene L. Burke

Notary Public

My Commission Expires: 04/13/2017