

## PATENT ASSIGNMENT

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
CHRISTIAN KLEIN	04/11/2013
RECEIVING PARTY DATA	
Name:	ROCHE GLYCART AG
Street Address:	WAGISTRASSE 18
City:	SCHLIEREN
State/Country:	SWITZERLAND
Postal Code:	8952
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	13940091
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ATTORNEY DOCKET NUMBER:	P4996-US
NAME OF SUBMITTER:	GRANT KALINOWSKI
Signature:	/Grant Kalinowski/
Date:	10/03/2013
Total Attachments: 3 source=Assignment 2#page1.tif source=Assignment 2#page2.tif source=Assignment 2#page3.tif	

CH \$40.00 13940091



Case No. 31094

## ASSIGNMENT AGREEMENT

I/We, the undersigned

**Inventor(s)**

Christian Klein, a German citizen  
Chruezacherweg 41  
8906 Bonstetten, Switzerland

**Employed by:**

Roche Glycart AG

hereinafter ASSIGNOR(S) herewith declare that we are joint inventor(s) together with

**Inventor(s)**

Harald Duerr  
Frank Herting  
Joerg Thomas Regula  
Matthias Rueth  
Kay-Gunnar Stubenrauch

**Employed by:**

Roche Diagnostics GmbH  
Roche Diagnostics GmbH  
Roche Diagnostics GmbH  
Roche Diagnostics GmbH  
Roche Diagnostics GmbH

hereinafter CO-INVENTOR(S)

of the invention entitled:

**BISPECIFIC ANTI-VEGF/ANTI-ANG-2 ANTIBODIES AND THEIR USE IN THE  
TREATMENT OF OCULAR VASCULAR DISEASES**

for which (a) priority application(s) was (were) filed on behalf of APPLICANT(S) set forth below

**Applicant**

F. Hoffmann-La Roche AG

**Patent Office**

with the

European Patent Office

as outlined below:

<b>Case No.</b>	<b>Country</b>	<b>Filing Date</b>	<b>Serial No.</b>
31094	Europe	July 13, 2012	12176299.1

As far as rights of the ASSIGNOR(S) in, to and deriving from the inventions disclosed in the patent application(s) set forth above has/have not been transferred to

Roche Glycart AG  
Wagistrasse 18  
8952 Schlieren

hereinafter ASSIGNEE, by operations of law or otherwise, ASSIGNOR(S) herewith assign(s) to ASSIGNEE all their inventor's rights and the rights to claim priority. Such assignment is being made retroactively as per the date of conception of such inventions.

The assignment of the rights of the ASSIGNEE to the APPLICANT(S) is subject to a separate assignment agreement. ASSIGNOR explicitly approve(s) that assignment.

The assignment of the rights of the CO-INVENTORS to the APPLICANT(S) is subject to a separate assignment agreement. ASSIGNOR(S) explicitly approve(s) that assignment.

ASSIGNEE and ASSIGNOR hereby acknowledge, confirm and agree that ASSIGNOR has been fully and adequately compensated for all rights granted or assigned to ASSIGNEE hereunder.

**TO BE NOTARIZED**

**PATENT**  
**REEL: 031342 FRAME: 0789**

Case No. 31094

This agreement shall be governed and construed in accordance with substantive laws of Switzerland, with the exclusion of the Vienna Convention on the International Sale of Goods dated, April 11, 1980. The competent courts of Basel City, Switzerland shall have exclusive jurisdiction.

**ASSIGNOR(S)**

Schlieren, 11. April 2013  
Place, Date

Christian Klein  
Christian Klein

**ASSIGNEE**

**Roche Glycart AG**

Basel, Switzerland, May 2, 2013  
Place, Date

Dirk Halbig      Susanne Amann  
both duly authorized signatories

**Amtliche Beglaubigung**

Die Echtheit der vorstehenden, vor uns gezeichneten Unterschrift von

Herrn **Christian KLEIN**, geb. 14. Juli 1971, Staatsangehörigkeit: Deutschland, nach eigenen Angaben wohnhaft Chrüzacherweg 41, 8906 Bonstetten, ausgewiesen durch deutschen Personalausweis,

wird amtlich beglaubigt.

Schlieren, 11. April 2013  
BK Nr. 482  
Gebühr CHF 20.00



**NOTARIAT SCHLIEREN**

Markus Schmid  
Markus Schmid, Notariatsassistent

**TO BE NOTARIZED**

**PATENT**  
**REEL: 031342 FRAME: 0790**

## Legalization

The undersigned Notary Public of the Canton of Basel-Stadt (Switzerland), Dr. Christoph Nertz, herewith legalizes the foregoing signature of

**Roche Glycart AG**, a stock company with legal domicile in Schlieren (Switzerland), rendered by

Mister Dr. Dirk Halbig, German citizen, residing in Efringen-Kirchen (Germany), and

Miss Susanne Amann, German citizen, residing in Heitersheim (Germany),

both duly entitled also to sign documents wherein property of the company is alienated.

Signers and signatures personally known.

**B a s e l** (Switzerland), this 6<sup>th</sup> (sixth) day of May 2013 (two thousand and thirteen).



BV 299/2013