

Form PTO-1595 (Rev. 03-11)
OMB No. 0651-0027 (exp. 04/30/2015)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Rajit Gadh
Ching-Yen Chung
Chi-Cheng Chu
Li Qiu

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) 9/26/13; 9/26/13; 9/23/13; 9/26/13

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: The Regents of the University of California

Internal Address: A California Corporation

Street Address: 1111 Franklin Street, 12th Floor

City: Oakland

State: California

Country: United States of America Zip: 94607-5200

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

13/975,313

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: John O'Banion

Internal Address: O'Banion & Ritchey LLP

Street Address: 400 Capitol Mall, Suite 1550

City: Sacramento

State: California Zip: 95814

Phone Number: (916) 498-1010

Docket Number: UC-2013-146-2-LA

Email Address: docketing @intellectual.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$40

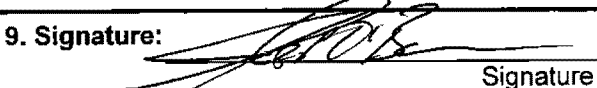
- ☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

Deposit Account Number 07-1137

Authorized User Name John P. O'Banion

9. Signature:


Signature

9/30/13
Date

JOHN P. O'BANION

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 5

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

CH \$40.00 071137 13975313

ASSIGNMENT

UC. Case No. UC-2013-146-2-LA

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

RAJIT GADH; CHING-YEN CHUNG; CHI-CHENG CHU; LI QIU

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin Street, Twelfth Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

POWER CONTROL APPARATUS AND METHODS FOR ELECTRIC VEHICLES

and which is found in

(a) _____ U.S. patent application filed herewith and listing the above named persons as inventors

(b) _____ U.S. Provisional Application Serial No. _____, filed on _____

(c) X U.S. Patent Application Serial No. 13/975,313, filed on August 24, 2013

(d) _____ U.S. Patent No. _____, issued _____

(e) _____ International Patent Application Serial No. _____, filed on _____

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby agrees that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further agrees that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, post grant review, inter partes review, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

Signature of Inventor(s)

26 day of September, 2013

RAJIT GADH26 day of September, 2013

CHING-YEN CHUNG

____ day of _____, 2013

CHI-CHENG CHU

____ day of _____, 2013

LI QIU

ASSIGNMENT

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ASSIGNOR(S) further agrees that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, post grant review, inter partes review, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

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executed this

Signature of Inventor(s)

_____ day of _____, 2013

RAJIT GADH

_____ day of _____, 2013

CHING-YEN CHUNG

23rd day of September, 2013

 CHI-CHENG CHU

_____ day of _____, 2013

LI QIU

ASSIGNMENT

UC, Case No. UC-2013-146-2-LA

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executed this

Signature of Inventor(s)

_____ day of _____, 2013

26 day of September, 2013

_____ day of _____, 2013

26 day of September, 2013

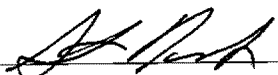
RAJIT GADH

CHING-YEN CHUNG

CHI-CHENG CHU

LI QIU

Hereby accepted on behalf of the Assignee:

By: 

Date: 9/27/13

Name: Steven Kennedy

O'BANION & RITCHEY LLP