

PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
BOARD OF REGENTS OF THE UNIVERSITY OF TEXAS SYSTEM	01/27/2011
RECEIVING PARTY DATA	
Name:	HYDRO-QUEBEC
Street Address:	75 RENE-LEVESQUE BLVD.
City:	WEST, MONTREAL, QUEBEC
State/Country:	CANADA
Postal Code:	H2Z 1A4
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	13645341
CORRESPONDENCE DATA	
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<i>Correspondence will be sent via US Mail when the email attempt is unsuccessful.</i>	
Correspondent Name:	BAKER BOTTS L.L.P.
Address Line 1:	98 SAN JACINTO BLVD.
Address Line 2:	SUITE, 1500
Address Line 4:	AUSTIN, TEXAS 78701
ATTORNEY DOCKET NUMBER:	078963.0161B
NAME OF SUBMITTER:	ALFONSO E. JUAREZ
Signature:	/aej/
Date:	10/09/2013

CH \$40.00 13645341

Total Attachments: 5

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ASSIGNMENT

WHEREAS, the BOARD OF REGENTS OF THE UNIVERSITY OF TEXAS SYSTEM, an agency of the State of Texas, whose address is 201 West 7th Street, Austin, Texas 78701, USA, on behalf of THE UNIVERSITY OF TEXAS AT AUSTIN ("UT") UT is the owner or agent of the entire right, title, and interest in and to certain Patent Rights; and

WHEREAS, HYDRO-QUÉBEC, a Canadian corporation created under the laws of Québec, having its head office at 75 René-Lévesque Blvd. West, Montréal, Québec, Canada H2Z 1A4 ("HQ") desires to acquire the entire right, title and interest in and to the invention, and any applications or letters patent identified in Exhibit A;

NOW, THEREFORE, for and in consideration of at least One U.S. Dollar (\$1.00) and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, UT assigns to HQ, all right, title and interest in and to said inventions and in and to the "Patent Rights," which shall mean any and all patents, patent applications, utility models and utility model applications (which for the purpose of this Assignment shall be deemed to include certificates of invention, industrial design protections, design patent protections, and other similar governmental grants or issuances, and applications therefor), including any and all divisionals, continuations, continuations-in-part, reissues, renewals, substitutions, registrations, re-examinations, revalidations, extensions, supplementary protection certificates, and the like of any such patents, patent applications, utility models and utility model applications, and foreign equivalents of the foregoing, and any and all inventions and discoveries that in the future may be claimed thereunder, including all applications identified in Exhibit A, with the exception of any rights in Japan, which shall be subject to a separate assignment. UT also assigns to HQ the right to file applications and obtain patents, utility models, industrial models and designs for said invention in its own name throughout the world including all rights to publish cautionary notices reserving ownership of said invention and all rights to register said invention in appropriate registries.

UT hereby authorizes and request the US Commissioner of Patents and Trademarks and any non-US patent authorities to issue all patents for said invention, or patents resulting therefrom, insofar as my interest is concerned, to HQ.

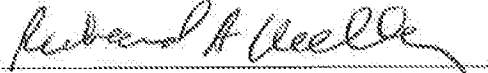
The Assignment shall be effective as of July 1, 2010.

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This Assignment shall be binding on the parties' successors, assigns and legal representatives.

Title CATHODE MATERIALS FOR SECONDARY (RECHARGEABLE)
LITHIUM BATTERIES

ON BEHALF OF THE BOARD OF REGENTS
OF THE UNIVERSITY OF TEXAS SYSTEM

By: 

Name: Richard A. Miller, M.D.

Title: Associate Vice President for Research
The University of Texas at Austin

Date: 1-27-11

EXHIBIT A

Title: Cathode materials for secondary (rechargeable) lithium batteries

<u>Country</u>	<u>Filing number</u>	<u>Filing date</u>	<u>Publication number</u>	<u>Publication date</u>	<u>Parent number</u>	<u>Issued date Reexam Certificate Date</u>
USA	60/016060	1996-04-23	-	-	-	-
USA	60/032346	1996-12-04	-	-	-	-
USA	08/840523	1997-04-21	-	-	5,910,382	1999-06-08 2008-04-15
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PCT	PCT/US97/ 06671	1997-04-23	WO97/40541	1997-10-30	-	-
Canada	2251709	1997-04-23	2251709	1997-10-30	2,251,709	2006-08-01
Canada	2543784	1997-04-23	2543784	1997-10-30	-	-
Europe	97923437.4	1997-04-23	0904607	1999-03-31	0904607	2004-10-27
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France	97923437.4	1997-04-23	0904607	1999-03-31	0904607	2004-10-27
United Kingdom	97923437.4	1997-04-23	0904607	1999-03-31	0904607	2004-10-27

AUS91:598834.1
UTA No. PX1162361

<u>Country</u>	<u>Filing number</u>	<u>Filing date</u>	<u>Publication number</u>	<u>Publication date</u>	<u>Patent number</u>	<u>Issued date</u> <u>Reexam</u> <u>Certificate Date</u>
Italy	97923437.4	1997-04-23	0904607	1999-03-31	0904607	2004-10-27
Europe	04022447.9	1997-04-23	1501137	2005-01-26		
Europe	06020470.8	1997-04-23	1755182	2007-02-21		
Europe	06021083.8	1997-04-23	1755183	2007-02-21		
Europe	10184223.5	1997-04-23				
Europe	10186105.2	1997-04-23				
USA	08/998264	1997-12-24			6,514,640	2003-02-04 2009-05-12
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USA	11/647899	2006-12-29	2007/0117019	2007-05-24		
USA	11/648316	2006-12-29	2007/0166618	2007-07-19		
USA	11/890130	2007-08-03	2007/0281215	2007-12-06		

AUS91:598834.1
LTA No. PX1102101

<u>Country</u>	<u>Filing number</u>	<u>Filing date</u>	<u>Publication number</u>	<u>Publication date</u>	<u>Patent number</u>	<u>Issued date Reexam Certificate Date</u>
USA	12/859865	2010-08-20	2010/0314577	2010-12-16		
USA	12/859934	2010-08-20	Not Published			
USA	12/877744	2010-09-08	2001/0006270	2011-01-13		
USA	12/877811	2010-09-08	Not Published			
USA	12/859965	2010-08-20	2010/0314589	2010-12-16		
USA	12/859991	2010-08-20	2010/0310935	2010-12-09		
USA	12/860012	2010-08-20	2010/0316909	2010-12-16		
USA	12/877838	2010-09-08	2011/0006256	2011-01-13		
USA	12/952978	2010-11-23	Not Published			

AUS01:59334-1
 UTA No. PX1102301