

Form PTO-1595 (Rev. 03-11)
OMB No. 0651-0027 (exp. 03/31/2015)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET	
PATENTS ONLY	
To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.	
1. Name of conveying party(ies): Christian Knoepfle (09/19/2013), Manfred Schmuck (09/02/2013), Karl Greiner (09/02/2013), and Markus Kuhn (09/03/2013) Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No	2. Name and address of receiving party(ies) Name: <u>Stryker Leibinger GmbH & Co. KG</u> Internal Address: _____ Street Address: _____ Böttingerstrasse 41 City: <u>Freiburg</u> State: _____ Country: <u>Germany</u> Zip: <u>79111</u> Additional name(s) & address(es) attached? ☐ Yes ☒ No
3. Nature of conveyance/Execution Date(s): Execution Date(s): <u>in parentheses after inventor name</u> ☒ Assignment ☐ Merger ☐ Change of Name ☐ Security Agreement ☐ Joint Research Agreement ☐ Government Interest Assignment ☐ Executive Order 9424, Confirmatory License ☐ Other _____	
4. Application or patent number(s): A. Patent Application No.(s) <u>13/975,588</u> Additional numbers attached? ☐ Yes ☒ No	☐ This document is being filed together with a new application. B. Patent No.(s) _____
5. Name and address to whom correspondence concerning document should be mailed: Name: <u>Kevin M. Kocun</u> <u>LERNER, DAVID, LITTEBERG,</u> <u>KRUMHOLZ & MENTLIK, LLP</u> Internal Address: <u>Atty. Dkt.: TRAUMA 3.0F-733</u> Street Address: <u>600 South Avenue West</u> City: <u>Westfield</u> State: <u>NJ</u> Zip: <u>07090</u> Phone Number: <u>908-654-5000</u> Fax Number: <u>908-654-7866</u> Email Address: <u>mcormier@ldlkm.com</u>	6. Total number of applications and patents involved: <u>1</u> 7. Total fee (37 CFR 1.21(h) & 3.41) \$ <u>40.00</u> ☒ Authorized to be charged to deposit account ☐ Enclosed ☐ None required (government interest not affecting title)
	8. Payment Information Deposit Account Number <u>12-1095</u> Authorized User Name <u>Kevin M. Kocun</u>
9. Signature:  _____ Kevin M. Kocun - 54,230 Name of Person Signing	_____ September 30, 2013 Date Total number of pages including cover sheet, attachments, and documents: <u>5</u>

CH \$40.00 121095 13975588

COMBINED DECLARATION AND ASSIGNMENT FOR UTILITY OR DESIGN APPLICATION (37 CFR 1.63) USING AN APPLICATION DATA SHEET

Attorney, Docket No. TRAUMA 3.0F-733
 Title of the Invention ("Invention") FIXATION DEVICE AND METHOD
 Legal Name of Inventor ("Inventor") Christian Knoepfle
 Assignee ("Assignee") Stryker Leibinger GmbH & Co. KG, incorporated elsewhere formed in Germany, and having a place of business at Bötzingenstrasse 41; 79111 Freiburg, GERMANY

DECLARATION

As named inventor, I hereby declare that:

This declaration is directed to:

☐ The attached Application

OR

☒ United States Application Number or PCT International Application Number 13/975,688
 Filed on August 26, 2013

that the above-identified Application is/was made or authorized to be made by me;

that I believe I am the original inventor or an original joint inventor of a claimed invention in the above-identified Application; and

that I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

ASSIGNMENT

IN ADDITION TO MAKING THIS DECLARATION, WHEREAS I as Assignee is desirous of acquiring, or has acquired and is desirous of memorializing its acquisition further herein, my entire right, title and interest in the above-identified Application.

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, I agree to assign, and hereby do assign, to Assignee my entire right, title and interest in and to the Application in the United States of America and all other countries, including any and all inventions, discoveries and other subject matter described therein, any divisional, continuation, continuation-in-part, conversion, non-provisional, substitute, reissue, re-examination certificate following Inter Partes Review, certificate of priority to or benefit of the Application pursuant to any law or treaty, and any patent issuing from any of the foregoing. I agree to assign, and hereby do assign, to Assignee the right to claim such priority or benefit. I have not previously conveyed, nor am I aware of an obligation to convey, my rights in the Application to a third party. I hereby authorize the U.S. Patent and Trademark Office, and any other governmental agency in the world, to issue a patent thereon. At Assignee's reasonable request I agree, without further remuneration, to execute and deliver documents prepared at Assignee's expense and to provide other cooperation, such as testimony, as may be reasonably required to evidence or protect Assignee's right in the Application. As Assignee may assign or transfer all or part of its rights set forth herein in its sole discretion, I agree that the Assignee may affix its signature to this document as well as any other indicia of its acceptance of the provisions hereof. If any provision herein is unenforceable, the requirements of the provision shall remain to the full extent permissible by law and the offending portions thereof shall be deemed replaced, to the extent possible, with a provision most closely reflecting the purpose of the offending provision.

September 19, 2013
 (Date)

Christian Knoepfle

WARNING

According to 37 C.F.R. 1.63 (c), "[a] person may not execute an oath or declaration unless the person has reviewed and understands the contents of the application, including claims, and is aware of the duty to disclose to the Office all information known to be material to patentability as defined in 1.56."

WITNESSED:

September 20th, 2013
 (Date)

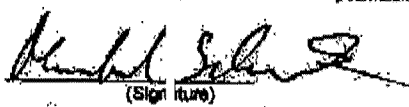
Nicole Fak
 (Signature)

NICOLE FAK
 (Typed Name of Witness)

An Application Data Sheet (PTO/SB/14 or Equivalent) naming the entire inventiveness entity must accompany this form unless previously submitted.

COMBINED DECLARATION AND ASSIGNMENT FOR UTILITY OR DESIGN APPLICATION (37 CFR 1.63) USING AN APPLICATION DATA SHEET	
Attorney, Docket No.	TRAUMA 3,0F-73
Title of the Invention ("Invention")	FIXATION DEVICE AND METHOD
Legal Name of Inventor ("Inventor")	Manfred Schmucl
Assignee ("Assignee")	Stryker Leibinger GmbH & Co. KG, Incorporated or otherwise formed in Germany, and having a place of business at Böttingerstrasse 41 79111 Freiburg; GERMANY
DECLARATION	
As named inventor, I hereby declare that:	
This declaration is directed to:	
☐ The attached Application OR ☒ United States Application Number or PCT International Application Number <u>13/975,588</u> filed on <u>August 28, 2013</u>	
that the above-identified Application is/was made or authorized to be made by me;	
that I believe I am the original inventor or an original joint inventor of a claimed invention in the above-identified Application; and	
that I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.	
ASSIGNMENT	
IN ADDITION TO MAKING THIS DECLARATION, WHEREAS the Assignee is desirous of acquiring, or has acquired and is desirous of memorializing its acquisition further herein, my entire right, title and interest in the above-identified Application.	
NOW, THEREFORE, for good and valuable consideration I do hereby acknowledge, I agree to assign, and hereby do assign, to Assignee my entire right, title and interest in and to the Application in the United States of America and all other countries, including any and all continuations, continuation-in-part, conversion, non-provisional, substitute, re-examination certificate following into Partes Review, certificate following Post Grant Review, all other applications claiming priority to or benefit of the Application pursuant to any law, treaty, and any patent in effect. I have not previously conveyed, nor am I aware of an obligation to convey, my rights in the Application to a third party. I hereby authorize the U.S. Patent and Trademark Office, and any other governmental agency in the world, to issue a record Assignee's ownership and to provide other cooperation, such as testimony, as may be reasonably required to evidence or protect Assignee's rights in the Application. Assignee may assign or transfer all or part of its rights set forth herein in its sole discretion. I agree that the Assignee may affix its signature to this document as well as any other instrument in acceptance of the provisions hereof. If any provision herein is unenforceable, its requirements of the provision shall remain to the full extent permissible by law and the provision most closely reflecting the purpose of the offending provision.	
<u>September 2, 2013</u> (Date)	 Manfred Schmucl
WARNING	
According to 37 C.F.R. 1.63 (c), "[n]o person may not execute an oath or declaration unless (1) the person has reviewed and understands the contents of the application, including claims and is aware of the duty to disclose to the Office all information known to be material to patentability as defined in 1.56."	
WITNESSED:	
<u>September 2, 2013</u> (Date)	 Hail Greiner (Typed Name of Witness)

An Application Data Sheet (PTO/SB/14 or Equivalent) naming the entire inventiveness entity must accompany this form unless previously submitted.

COMBINED DECLARATION AND ASSIGNMENT FOR UTILITY OF DESIGN APPLICATION (37 CFR 1.63) USING AN APPLICATION DATA SHEET	
Attorney, Docket No.	TRAUMA 3.0F-732
Title of the Invention ("Invention")	FIXATION DEVICE AND METHOD
Legal Name of Inventor ("Inventor")	Karl Greiner
Assignee ("Assignee")	Stryker Leibinger GmbH & Co. KG, Incorporated or otherwise formed in Germany, and having a place of business at Bötzingerstrasse 41 79111 Freiburg, GERMANY
DECLARATION	
As named inventor, I hereby declare that:	
This declaration is directed to:	
☐ The attached Application OR ☒ United States Application Number or PCT International Application Number <u>13/975,588</u> filed on <u>August 28, 2013</u>	
that the above-identified Application is/was made or authorized to be made by me;	
that I believe I am the original inventor or an original joint inventor of a claimed invention in the above-identified Application; and	
that I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.	
ASSIGNMENT	
IN ADDITION TO MAKING THIS DECLARATION, WHEREAS the Assignee is desirous of acquiring, or has acquired and is desirous of memorializing its acquisition further herein, my entire right, title and interest in the above-identified Application.	
NOW, THEREFORE, for good and valuable consideration to a receipt of which is hereby acknowledged, I agree to assign, and hereby do assign, to Assignee my entire right, title and interest in and to the Application in the United States of America and all other countries, including any and all inventions, discoveries and other subject matter described therein, any divisional, continuation, continuation-in-part, conversion, non-provisional, substitute, reissue, re-examination certificate following Inter Partes Review, certificate following Post Grant Review, all other applications claiming priority to or benefit of the Application pursuant to any law or treaty, and any patent issuing from any of the foregoing. I agree to assign, and hereby do assign, to Assignee the right to claim such priority or benefit. I have not previously conveyed, nor am I aware of an obligation to convey, my rights in the Application to a third party. I hereby authorize the U.S. Patent and Trademark Office to record Assignee's ownership in the Application. As Assignee's reasonable request I agree, without further remuneration, to execute and deliver documents prepared at Assignee's expense and to provide other cooperation, such as testimony, as may be reasonably required to evidence or protect Assignee's rights in the Application. Assignee may assign or transfer all or part of its rights set forth herein in its sole discretion. I agree that the Assignee may affix its signature to this document as well as any other indicia of its acceptance of the provisions hereof. If any provision herein is unenforceable, its requirements of the provision shall remain to the full extent permissible by law and the offending portions thereof shall be deemed replaced, to the extent possible, with a provision most closely reflecting the purpose of the offending provision.	
<u>September 2, 2013</u> (Date)	 Karl Greiner
WARNING	
According to 37 C.F.R. 1.63 (c), "[a] person may not execute an oath or declaration unless the person has reviewed and understands the contents of the application, including claims and is aware of the duty to disclose to the Office all information known to be material to patentability as defined in 1.66."	
WITNESSED:	
<u>September 2, 2013</u> (Date)	 (Signature)
	<u>Manfred Schmuck</u> (Typed Name of Witness)

An Application Data Sheet (PTO/SB/14 or Equivalent) naming the entire inventiveness entity must accompany this form unless previously submitted.

