

10/09/2013



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Attorney Docket No. 159180

MRD 10-03-13

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1. A. Name of conveying parties:

- [1] Takayuki KUSUNOKI
- [2] Yuusuke TAKAMIZAWA
- [3] Tsutomu KASHIWAGI

B. Additional name(s) of conveying party(ies) attached?
 Yes No

2. A. Name and address of receiving party:

SHIN-ETSU CHEMICAL CO., LTD.
6-1, OHTEMACHI 2-CHOME,
CHIYODA-KU, TOKYO
100-0004, JAPAN

B. Additional name(s) & address(es) attached?
 Yes No

3. A. Nature of conveyance:

- Assignment Merger
- Security Agreement Change of Name
- Other _____

B. Execution Date: ALL - 09/26/2013

4. This document is being filed together with a new application.

A. Patent Application No. _____

B. Patent No.(s) _____

Additional numbers attached? Yes No

C. Title of Application: METHOD FOR PREPARING A POLYORGANOSILOXANE

D. This Assignment is submitted for a dual purpose: (1) recording in the assignment database; and (2) for use in the above-identified application as the oath or declaration pursuant to 37 CFR 1.63(c).

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Aaron L. Webb
Address: **OLIFF & BERRIDGE, PLC**
P.O. Box 320850
Alexandria, VA 22320-4850
email@oliff.com

6. Total number of applications and patents involved: 1

7. Please charge Deposit Account No. 15-0461 the total fee (37 CFR 3.41) in the amount of \$40.00.

8. Credit any overpayment or charge any underpayment to deposit account number 15-0461.

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Aaron L. Webb, Registration No. 56,930
Todd M. Guise, Registration No. 46,748
Roane T. Noel, Registration No. 63,004

10/09/2013 10:00:00 AM
01 FC:8021 40.00 DA
Date: October 3, 2013

Total number of pages including cover sheet, attachments, and document: 3

14044962

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Date	Code	Pages	PackageID	Source	Annotation
10-03-2013	OATH	3	US 1404496202P1	EFSW	

**ASSIGNMENT (BEING FILED FOR DUAL PURPOSE UNDER 37 CFR 1.63(e))
For Application with Filing Date on or after September 16, 2012**

ASSIGNMENT

Insert	(1) <u>Takayuki KUSUNOKI</u>	(2) <u>Yuusuke TAKAMIZAWA</u>
(1-8) Legal Name(s) of Inventor(s)	(3) <u>Tsutomu KASHIWAGI</u>	(4) _____
	(5) _____	(6) _____
	(7) _____	(8) _____

In consideration of the sum of one dollar (\$1.00) and other good and valuable consideration paid to each of the undersigned, the receipt and sufficiency of which are hereby acknowledged, each undersigned agrees to assign, and hereby does assign, transfer and set over to

(9) Insert Name of Assignee	(9) <u>SHIN-ETSU CHEMICAL CO., LTD.</u>
(10) Insert Address of Assignee	(10) <u>6-1, Ohtemachi 2-chome, Chiyoda-ku, Tokyo 100-0004, Japan</u>

(hereinafter designated as the Assignee) and Assignee's heirs, successors, assigns and legal representatives, the entire right, title and interest for the United States of America as defined in 35 U.S.C. §100, in the invention, and in all applications for patent including any and all provisional, non-provisional, divisional, continuation, international, substitute and reissue application(s), and all Letters Patent, extensions, reissues and reexamination certificates that may be granted on the invention known as

(11) Insert Identification such as Title, Case Number, or Foreign Application Number	(11) <u>METHOD FOR PREPARING A POLYORGANOSILOXANE</u>
	(Attorney Docket No. <u>159180</u>), filed on even date herewith or as

(12) Alternative Identification for filed applications	(12) U.S. Application Number _____ filed <u>October 1, 2013</u>
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- 1) Each undersigned agrees to execute all papers necessary in connection with any application and/or patent for the invention and also to execute separate assignments in connection with such applications and patents as the Assignee may deem necessary.
- 2) Each undersigned agrees to execute all papers necessary in connection with any interference or post-grant proceeding which may be declared concerning any application or patent for the invention and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference or post-grant proceeding.
- 3) Each undersigned agrees to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.
- 4) Each undersigned agrees to perform all affirmative acts which may be necessary to obtain, maintain or confirm by reissue or reexamination a grant of a valid United States patent to the Assignee.
- 5) Each undersigned authorizes and requests the Commissioner of the U.S. Patent and Trademark Office to issue any and all patents resulting from said application(s) to the said Assignee, as Assignee of the entire interest, and covenants that he or she has full right to convey the entire interest herein assigned, and that he or she has not executed, and will not execute, any agreement(s) in conflict herewith, and agrees that this assignment is binding on Assignor and Assignor's heirs, successors, assigns and legal representatives.
- 6) Each undersigned hereby grants the firm of **OLIFF & BERRIDGE, PLC** the power to insert on this assignment any further identification that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

(13) Declaration

As an above named inventor, I hereby declare that:

I believe I am the original inventor or an original joint inventor of a claimed invention (or claimed design) in the above-identified application for which this declaration is being submitted.

The application was made or authorized to be made by me.

I have reviewed and understand the contents of the above-identified application, including the claims, and am aware of the duty to disclose to the Office all information known to me to be material to patentability as defined in 37 CFR §1.56.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

In witness whereof, executed by the undersigned on the date(s) opposite the undersigned name(s).

Date	<u>September 26, 2013</u>	Inventor Signature	<u>Takayuki Kusunoki</u> (SEAL)
			Takayuki KUSUNOKI
Date	<u>September 26, 2013</u>	Inventor Signature	<u>Yuusuke Takamizawa</u> (SEAL)
			Yuusuke TAKAMIZAWA
Date	<u>September 26, 2013</u>	Inventor Signature	<u>Tsutomu Kashiwagi</u> (SEAL)
			Tsutomu KASHIWAGI
Date	_____	Inventor Signature	_____ (SEAL)
Date	_____	Inventor Signature	_____ (SEAL)
Date	_____	Inventor Signature	_____ (SEAL)
Date	_____	Inventor Signature	_____ (SEAL)
Date	_____	Inventor Signature	_____ (SEAL)

This assignment should preferably be signed before: (a) a Notary Public if within the U.S.A. (b) a U.S. Consul if outside the U.S.A. If neither, then it should be signed before at least two witnesses who also sign here:

Date	<u>September 26, 2013</u>	Witness	<u>[Signature]</u>
Date	<u>September 26, 2013</u>	Witness	<u>Yukari Kato</u>