## 502539119 10/21/2013

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2

EPAS ID: PAT2584535

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

## **CONVEYING PARTY DATA**

Name	Execution Date
ITT GERMANY GMBH	06/29/2011

## **RECEIVING PARTY DATA**

Name:	ITT GERMANY HOLDINGS GMBH
Street Address:	ESCHBORNER LANDSTRASSE 122
City:	FRANKFURT AM MAIN
State/Country:	GERMANY
Postal Code:	60489

### PROPERTY NUMBERS Total: 3

Property Type	Number
Patent Number:	7131786
Patent Number:	5829556
Patent Number:	5918850

## **CORRESPONDENCE DATA**

 Fax Number:
 (202)783-6031

 Phone:
 202-783-6040

 Email:
 voconnor@rfem.com

Correspondence will be sent via US Mail when the email attempt is unsuccessful.

Correspondent Name: ROTHWELL, FIGG, ERNST & MANBECK, P.C.

Address Line 1: 607 14TH STREET, N.W.

Address Line 2: SUITE 800

Address Line 4: WASHINGTON, DISTRICT OF COLUMBIA 20005

ATTORNEY DOCKET NUMBER:	3310-0101, 104 & 105
NAME OF SUBMITTER:	RICHARD WYDEVEN, REG NO. 39,881
Signature:	/Richard Wydeven/
	PATENT

502539119 REEL: 031442 FRAME: 0570

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Date:	10/21/2013
Total Attachments: 13 source=Assignment#page1.tif source=Assignment#page2.tif source=Assignment#page3.tif source=Assignment#page4.tif source=Assignment#page5.tif source=Assignment#page6.tif source=Assignment#page7.tif source=Assignment#page8.tif source=Assignment#page9.tif source=Assignment#page9.tif source=Assignment#page10.tif source=Assignment#page11.tif source=Assignment#page12.tif source=Assignment#page13.tif	

PATENT REEL: 031442 FRAME: 0571

#### SHARE AND ASSET PURCHASE AGREEMENT

This SHARE AND ASSET PURCHASE AGREEMENT ("Agreement") is made and entered into on June 29, 2011 by and between:

ITT Germany GmbH, a limited liability company organized and existing under the laws of Germany, registered in the commercial register of the local court (Amtsgericht) of Frankfurt am Main under HRB 87428 ("Seller");

and

ITT Germany Holdings GmbH, a limited liability company organized and existing under the laws of Germany, registered in the commercial register of the local court (Amtsgericht) of Frankfurt am Main under HRB 91057 ("Buyer" and collectively with the Seller, the "Parties").

#### WITNESSETH:

WHEREAS the Seller and the Buyer are both indirect wholly-owned subsidiaries of ITT Corporation, a corporation organized and existing under the laws of the State of Indiana (the "Parent");

WHEREAS the board of directors of Parent has determined that it is advisable and in the best interest of Parent and its shareholders to effect an internal restructuring involving Parent and certain of Parent's subsidiaries, with the goal of separating Parent's Water & Wastewater, Residential & Commercial Water, Flow Control and Analytics businesses (the "Water Business"), on the one hand and Parent's Defense & Information Solutions business (the "Defense Business") on the other hand, from Parent's other businesses (the "ITT Retained Business") (the "Restructuring"), in preparation for the distribution of such businesses to the shareholders of Parent (the "Distribution");

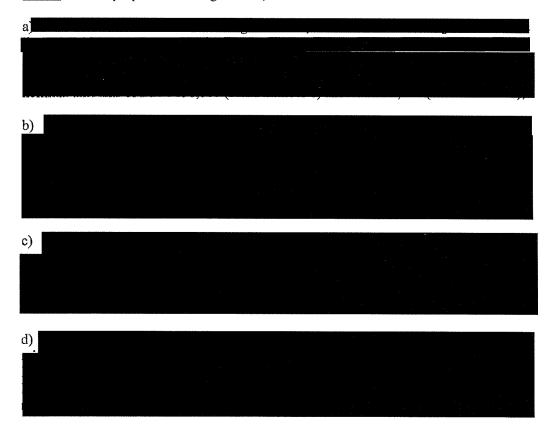
WHEREAS the Seller is engaged in the Water Business and ITT Retained Business; and

WHEREAS in connection with the Restructuring the Seller desires to sell and transfer to the Buyer certain assets (as defined below) relating to its so-called Jarret business, as well as shares (as defined below) in certain companies upon the terms and subject to the conditions set forth in this Agreement, and the Buyer desires to accept the aforementioned sale and transfer.

NOW, THEREFORE, in consideration of the foregoing and good and valuable consideration, the sufficiency of which is hereby acknowledged, and the mutual agreements, provisions and covenants contained in this Agreement, the Parties hereby agree as follows:

## Section 1 – Definitions

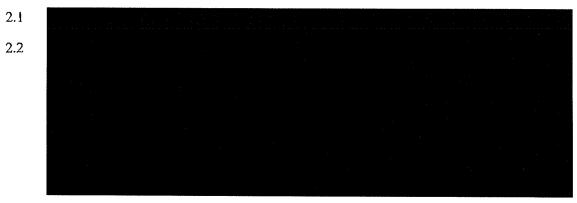
- 1.1 <u>Effective Date</u>. For purposes of this Agreement, "Effective Date" means the lapse of July 1, 2011 (Ablauf des 1. Juli 2011) at midnight at 24.00 hrs/0.00 hrs (Mitternachtsgeschäft) according to rule 59 para. 2 of the General Administrative Rules on Corporate Income Tax (R 59 Abs. 2 KStR).
- 1.2 <u>Customer List.</u> For purposes of this Agreement, "Customer List" means the list of customers as set forth in <u>Exhibit A</u> to this Agreement.
- 1.3 <u>Contracts</u>. For the purposes of this Agreement, "Contracts" means all contracts set forth in <u>Exhibit B</u> to this Agreement; whenever a Contract exists in the French and the English language, only the French version is legally binding, while the English version is merely a working translation and is not binding.
- 1.4 <u>IP Rights</u>. For the purpose of this Agreement, "IP Rights" means all patents, trademarks and pending patent applications, know-how and copyright set forth in <u>Exhibit C</u> to this Agreement.
- 1.5 Shares. For the purpose of this Agreement, "Shares" means





# Section 2 - Sale and Purchase of the Assets

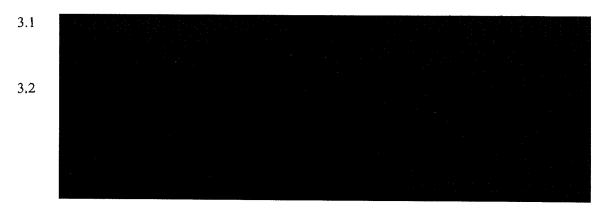
Subject to the terms of this Agreement, the Seller hereby sells to the Buyer the following assets with effect as of the Effective Date, and the Buyer hereby accepts the aforementioned sale:



## 2.3 all IP Rights

(all the above listed in section 2.1 - 2.3 are collectively referred to as "Assets").

# Section 3 - Transfer of the Assets

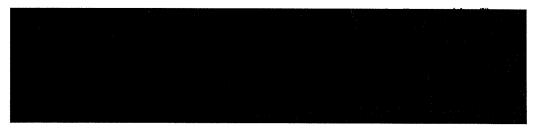


- 3.3 <u>IP Rights.</u> The Seller hereby assigns (abtreten) and transfers to the Buyer, who accepts such assignment and transfer, legal ownership or exclusive rights on commercial exploitation of all IP Rights with effect as of the Effective Date
  - a. to the extent any or all of the IP Rights are not assignable under any agreement under which Seller acquired the IP Rights, the Seller hereby grants to the Buyer, which accepts such granting, a fully paid up, exclusive, worldwide, perpetual, irrevocable and transferable license to exploit, in particular but not limited to use, distribute and amend the IP Rights in any known or, as far as legally possible, not yet known kind without any limitation.
  - b. the Buyer shall be entitled to assign, license or sub-license any of the IP Rights to third parties without the Seller's consent.

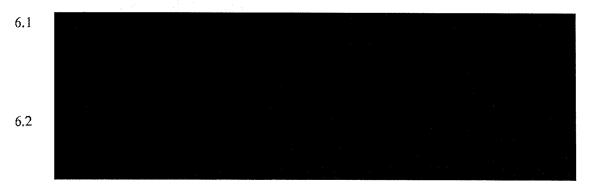
## Section 4 - Sale and Purchase of the Shares

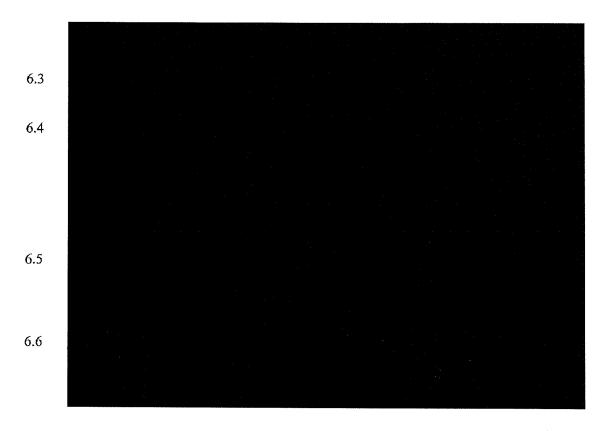


## Section 5 - Assignment of the Shares



## Section 6 - Purchase Price and Payment





Section 7 - Consent of Enidine Inc. and JARRET S.A.S. to Transfer of certain Contracts

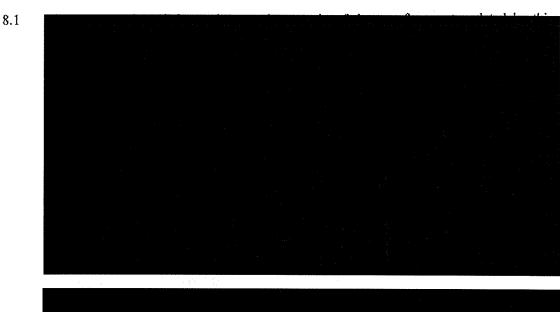


7.2 Enidine Inc. concluded a Trademark and Patent License Agreement with the Seller on April 23, 2008 ("IP License Agreement"). As Jarret S.A.S. (merged into Koni France S.A.S.) initially had an exclusive license to the rights licensed under the IP License Agreement under a Lease Agreement concluded with the Seller on May 18, 2005 ("Lease Agreement") which was amended by Amendment Agreement dated April 23, 2008 ("Lease Amendment Agreement"), Jarret S.A.S. consented to the conclusion of the IP License Agreement. The IP License Agreement, the Lease Agreement and the Lease Amendment Agreement are also listed in Exhibit B to this Agreement and are thus sold and transferred to the Buyer herein. Koni France S.A.S., in its capacity as legal successor of Jarret S.A.S., has already granted its consent to the sale and transfer of the IP License Agreement, the Lease Agreement and the Lease Amendment Agreement from the Seller to the Buyer. Enidine Inc. has also granted its consent to the sale and transfer of the IP

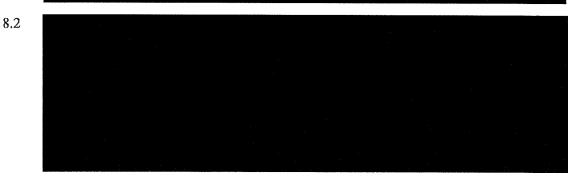
License Agreement from the Seller to the Buyer. Both aforementioned consents are attached to this Agreement as  $\underline{\text{Exhibit F}}$ .

7.3

Section 8 - Third Parties and Registration of IP Rights in National Registers







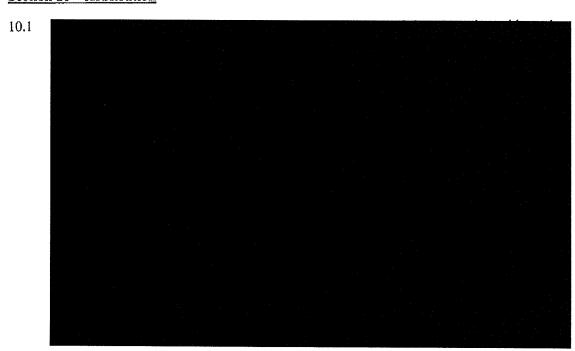
8.3 The Buyer shall use best efforts to register the transfer of the IP Rights in the respective trademark and/or patent registers. The Seller shall reasonably support the Buyer in such registrations; in particular, the Seller shall make all statements, filings, provide documentation/information and take all other actions required to effect the registrations in the respective registers.

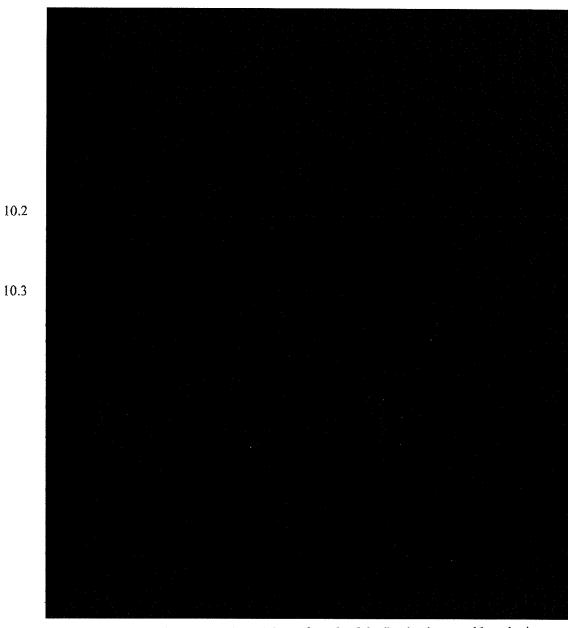
## Section 9 - Representation and Warranties

- 9.1 The Seller warrants to the Buyer that the Contracts have been validly concluded and are in full force.
- 9.2 The Seller warrants to the Buyer that the Seller has good and marketable title to the Shares, free and clear of any liens, charges, pledges, security interests or other encumbrances, and that the Seller is free to transfer good and marketable title to said Shares to the Buyer.



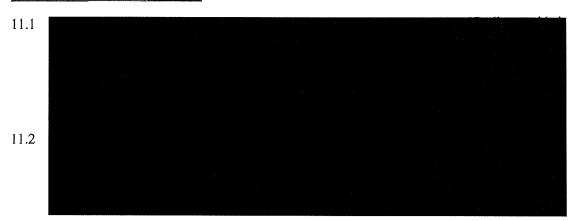
## Section 10 - Arbitration





Subject to the provisions of <u>Section 10</u> hereof, each of the Parties irrevocably submits to the exclusive jurisdiction of the competent Courts in Frankfurt am Main, Germany.

## **Section 11 - General Provisions**



- 11.3 Governing Law. This Agreement shall be governed by and construed in accordance with German law excluding to the maximum extent legally possible conflict of law rules and the UN Convention on Contracts for the International Sale of Goods (CISG).
- Severability. In the event any one or more of the provisions contained in this Agreement should be held invalid, illegal or unenforceable in any respect, the validity, legality and enforceability of the remaining provisions contained herein and therein shall not in any way be affected or impaired thereby. The Parties shall endeavor in good-faith negotiations to replace the invalid, illegal or unenforceable provisions with valid provisions, the economic effect of which comes as close as possible to that of the invalid, illegal or unenforceable provisions.
- 11.5 Entire Agreement. This Agreement and each of the exhibits attached hereto, constitutes the entire agreement between the Parties with respect to the subject matter hereof and shall supersede all previous negotiations, commitments, course of dealings and writings with respect to such subject matter.
- 11.6 <u>Headings</u>. Titles and headings to sections herein are inserted for the convenience of reference only and are not intended to be a part of or to affect the meaning or interpretation of this Agreement. When a reference is made in this Agreement to a Section or schedule, such reference will be to a Section of, or a schedule to, this Agreement unless otherwise indicated.
- 11.7 <u>Amendments.</u> This Agreement may not be amended, supplemented or otherwise modified except by a written document executed by or on behalf of each of the Parties hereto.

IN WITNESS WHEREOF, the deed was read aloud to the persons appearing, approved by them, and personally signed by them and the acting notary as follows:

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M. C.



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W-IEG-0005			<del></del>	Granted	-1996	9607952	02~Jan~1998	2750440	23-Apr-1999  2750440	2750440	26-Jun-2016	M-IEG	P 05 264 B FR
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M-IEG-0007	FR			Granted	16-Dez-1996   9615442	9615442	19-Jun-1998   2757235	2757235	19-Feb-1999	2757235	16-Dez-2016 M-IEG	M-IEG	P 05 267 B FR
M-1EG-0007	욕		PCT	Granted	12-Dez-1997 10-527384	10-527384	25-Jun-1998	2001-507108 T2	25-Jan-2008	4071291	12-Dez-2017 M-IEG	M-IEG	PC 05 268 B JP
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M-IEG-0009	FR	Ť			21-Apr-1998	9804977	22-Okt-1999	2777614		2777614	21-Apr-2018	M-IEG	P 05 269 B FR
M-IEG-0009	٩		ORD	Granted	21-Apr-1999	11-113348	07~Jan-2000	2000-002284	27-Jun-2008	4146028	Z1-Apr-Z019	M-IEG	P 05 Z/0 B JF

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Exhibit C - Patents 28, June 2011

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M-IEG-0011	FR	ORD	Granted	02-Mrz-2001  0102881		06-Sep-2002  2821654	2821654	05-Sep-2003  2821654		02-Mrz-2021 M-IEG	M-IEG	P 05 275 B FR
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M-IEG-0013	띮	D D	Granted	05-Jul-2002	60214296.2- 08	22-Jan-2003 1277984	1277984	30-Aug-2006 1277984		05-Jul-2022   M-IEG	M-IEG	E 05273 B DE
M-IEG-0013	ш	ORD	Granted	05-Jul-2002	02291683.7	22-Jan-2003	1277984	30-Aug-2006	1277984	05-Jul-2022	M-IEG	P 05 273 B
M-IEG-0013	Ж. 	ORD	Granted	20-Jul-2001	0109737	24-Jan-2003   2827655	2827655	19-Mrz-2004 2827655		20-Jul-2021	M-IEG	P 05 272 B FR
M-IEG-0013	FR 101	EPC CH	Granted	05-Jul-2002	02291683.7	22-Jan-2003 1277984	1277984	30-Aug-2006 1277984		05-Jul-2022	M-IEG	E 05273 B FR
M-IEG-0013	GB	EPC	Granted	05-Jul-2002	02291683.7	22-Jan-2003	1277984	30-Aug-2006  1277984		05-Jul-2022	MHEG	E 05273 B GB
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M-IEG-0015	吊	ORD	Granted	22-Apr-2003	2003 04929	29-Okt-2004	2854217	21-Jul-2006	2854217	22-Apr-2023	M-IEG	P 05 276 B FR
M-IEG-0015	Дĥ	ORD	Published	22-Apr-2004	2004-127270	18-Nov-2004 2004324887	2004324887			22-Apr-2024	NN	
M-IEG-0015	ns	ORD	Granted	20-Apr-2004	10/827378	11-Nov-2004	11-Nov-2004   2004-0222058	04-Sep-2007 7264095	7264095	20-Apr-2024 M-IEG	M-IEG	P 05 277 B US
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ITT Germany GmbH previously Enidine GmbH PCT= designated in a WIPO Internat. Patent Appl. M-IEG = ORD= national Patent application

Exhibit C - Patents 28. June 2011

PATENT REEL: 031442 FRAME: 0583

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	CaseNo Ctry Type	Ctry	Type	Mark	Status	FileDate	ApplNo	Reg. Date	Reg. No	FileDate   ApplNo   Reg. Date   Reg. No   ExpirDate	AgentRef Client	Client
	IP-IEI-M0026	S	MMA	JARRET	REGISTERED	31.10.1986   G507453	G507453	31.10.1986 G507453	G507453	31.10.2016		M-IEG
	IP-IEJ-M0026	DE	MMA	JARRET	REGISTERED	31.10,1986 507453	507453	31.10.1986 507453	507453	31,10,2016		M-IEG
	  P-IEI-M0026	FR	ORD	JARRET	REGISTERED	20.05.19864	INPI79689 4	20.05.1986 1355432	1355432	19.05.2016	19.05.2016 W 05 280 B FR	M-IEG
	IP-IEI-M0026	GB	ORD	JARRET	REGISTERED	20.05.1986 1288695	1288695	12.05.1989 1288695	1288695	20.05.2017	20.05.2017 W 05 279 B GB	M-IEG
	P-1E1-M0026	nH.	MMA	JARRET	REGISTERED	31,10,1986 507453	507453	31.10.1986 507453	507453	31.10.2016		M-IEG
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	JP-IEI-M0026	급	ORD	JARRET	REGISTERED	04.03.1997 22175/97	22175/97	17.07.1998 4137401	4137401	17.07.2018	17.07.2018 W 05 282 B JP	M-IEG
	IP-IEI-M0026	US	ORD	JARRET	REGISTERED	29.10.1986 73/627559	73/627559	07.07.1987 1446000	1446000	07.07.2017	07.07.2017 W 05 281 B US	M-IEG
	IP-IEI-M0026	WO	ORD	JARRET	REGISTERED	31.10.1986 507453	507453	31.10.1986 507453	507453	31.10.2016	31.10.2016 IR 05 283 B	M-IEG

ITT Germany GmbH previously Enidine GmbH designated in a WIPO Madrid Agr/Protocol M-IEG = TM national TM application

MMA= ORD=

Exhibit C - Trademarks 28. June 2011

**PATENT** REEL: 031442 FRAME: 0584

**RECORDED: 10/21/2013**