

PATENT ASSIGNMENT COVER SHEET

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EPAS ID: PAT2588958

SUBMISSION TYPE:	NEW ASSIGNMENT																
NATURE OF CONVEYANCE:	ASSIGNMENT																
CONVEYING PARTY DATA																	
<table border="1"><thead><tr><th>Name</th><th>Execution Date</th></tr></thead><tbody><tr><td>LAURENCE H. HURLEY</td><td>08/24/2007</td></tr><tr><td>DARUKA MAHADEVAN</td><td>08/08/2007</td></tr><tr><td>DAVID J. BEARSS</td><td>03/18/2008</td></tr><tr><td>HARIPRASAD VANKAYALAPATI</td><td>03/14/2008</td></tr><tr><td>STEVEN L. WARNER</td><td>08/13/2007</td></tr><tr><td>JAMES WELSH</td><td>10/18/2007</td></tr><tr><td>SRIDEVI BASHYAM</td><td>08/11/2007</td></tr></tbody></table>		Name	Execution Date	LAURENCE H. HURLEY	08/24/2007	DARUKA MAHADEVAN	08/08/2007	DAVID J. BEARSS	03/18/2008	HARIPRASAD VANKAYALAPATI	03/14/2008	STEVEN L. WARNER	08/13/2007	JAMES WELSH	10/18/2007	SRIDEVI BASHYAM	08/11/2007
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RECEIVING PARTY DATA																	
<table border="1"><tr><td>Name:</td><td>ARIZONA BOARD OF REGENTS ON BEHALF OF THE UNIVERSITY OF ARIZONA</td></tr><tr><td>Street Address:</td><td>UNIVERSITY SERVICES ANNEX, 4TH FLOOR, PO BOX 210300A</td></tr><tr><td>City:</td><td>TUCSON</td></tr><tr><td>State/Country:</td><td>ARIZONA</td></tr><tr><td>Postal Code:</td><td>85721-0300</td></tr></table>		Name:	ARIZONA BOARD OF REGENTS ON BEHALF OF THE UNIVERSITY OF ARIZONA	Street Address:	UNIVERSITY SERVICES ANNEX, 4TH FLOOR, PO BOX 210300A	City:	TUCSON	State/Country:	ARIZONA	Postal Code:	85721-0300						
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<table border="1"><tr><td>Name:</td><td>SUPERGEN, INC.</td></tr><tr><td>Street Address:</td><td>4140 DUBLIN BOULEVARD, SUITE 200</td></tr><tr><td>City:</td><td>DUBLIN</td></tr><tr><td>State/Country:</td><td>CALIFORNIA</td></tr><tr><td>Postal Code:</td><td>94568</td></tr></table>		Name:	SUPERGEN, INC.	Street Address:	4140 DUBLIN BOULEVARD, SUITE 200	City:	DUBLIN	State/Country:	CALIFORNIA	Postal Code:	94568						
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PROPERTY NUMBERS Total: 1																	
<table border="1"><thead><tr><th>Property Type</th><th>Number</th></tr></thead><tbody><tr><td>Application Number:</td><td>13947691</td></tr></tbody></table>		Property Type	Number	Application Number:	13947691												
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Application Number:	13947691																
CORRESPONDENCE DATA																	
Fax Number:	(520)770-2237																
Phone:	520-770-8700																

Email: alice.vanicek@quarles.com
Correspondence will be sent via US Mail when the email attempt is unsuccessful.
Correspondent Name: QUARLES & BRADHY LLP/ATTN: IP DOCKET
Address Line 1: ONE SOUTH CHURCH AVENUE, SUITE 1700
Address Line 4: TUCSON, ARIZONA 85701

ATTORNEY DOCKET NUMBER:

920214.00013

NAME OF SUBMITTER:

GAVIN J. MILCZAREK-DESAI

Signature:

/Gavin J. Milczarek-Desai/

Date:

10/23/2013

Total Attachments: 22

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ASSIGNMENT

For good and valuable consideration, the receipt of which is hereby acknowledged, we, Laurence H. Hurley; Daruka Mahadevan; Haiyong Han; Sridevi Bashyam; Ruben M. Munoz; Steven L. Warner; Kimiko Della Croce; Daniel D. Von Hoff, and James Welsh, do hereby assign and transfer unto the ARIZONA BOARD OF REGENTS on behalf of the University of Arizona, having its principal offices at University Services Building, 888 N. Euclid Avenue, Room 204, P.O. Box 210158, Tucson, Arizona 85721-0158, United States of America, and unto its successors, assigns and legal representatives, the entire right, title and interest to a certain invention entitled "Protein Kinase Inhibitors" described, illustrated and claimed in an application for Letters Patent of the United States of America Serial No. 11/735,344, filed April 13, 2007, together with the entire right, title and interest in and to said application, and in and to Letters Patent which may be issued upon said application, and in any nonprovisional, divisional, extension, continuation or reissue thereof, the ARIZONA BOARD OF REGENTS bearing all patent application expenses, including attorney fees.

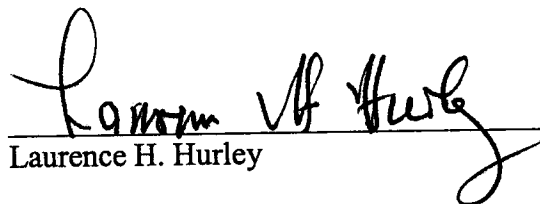
We also hereby assign and transfer unto the ARIZONA BOARD OF REGENTS the entire right, title and interest in and to said inventions and in and to applications for Letters Patent therefor in all countries foreign to the United States of America, including all rights under any and all international conventions and treaties in respect of said inventions and said applications for Letters Patent in foreign countries; I further authorize the ARIZONA BOARD OF REGENTS to apply for Letters Patent in foreign countries directly in its own name, and to claim the priority of the filing date of said application for Letters Patent of the United States of America under the provisions of any and all international conventions and treaties.

We hereby authorize and request the Commissioner of Patents of the United States of America to issue Letters Patent upon the aforesaid application, division, extension, continuation or reissue, to the ARIZONA BOARD OF REGENTS for the sole use and behoof of the ARIZONA BOARD OF REGENTS, its successors, assigns and legal representatives, to the full

end of the term for which said Letters Patent may be granted, the same as they would have been held and enjoyed by us had this assignment not been made, and we hereby authorize and request the equivalent authorities in foreign countries to issue the patents of their respective countries to the ARIZONA BOARD OF REGENTS.

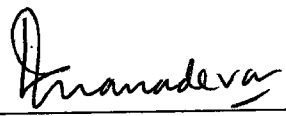
We agree that, when requested, we will, without charge to the ARIZONA BOARD OF REGENTS, but at its expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and/or maintaining patents for said invention in any and all countries and for vesting title thereto in the ARIZONA BOARD OF REGENTS, its successors, assigns and legal representatives or nominees.

We covenant with the ARIZONA BOARD OF REGENTS, its successors, assigns and legal representatives, that the interest and property hereby conveyed is free from all prior assignment, grant, mortgage, license or other encumbrance.



Laurence H. Hurley

8/28/07
Date



Daruka Mahadevan

8/8/07.
Date

Haiyong Han

Date

Sridevi Bashyam

Date

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Laurence H. Hurley

Date

Daruka Mahadevan

Date



Haiyong Han

Date

10/18/2007

Sridevi Bashyam

Date

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Laurence H. Hurley


Date

Daruka Mahadevan

Date

Haiyong Han

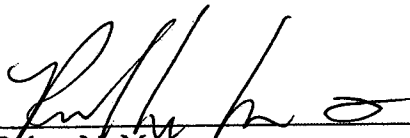
Date



Sridevi Bashyam

Date

11th August, 2007



Ruben M. Munoz

10/18/2007

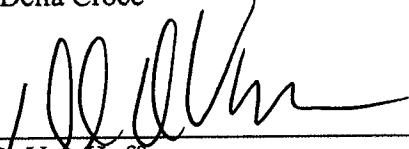
Date

Steven L. Warner

Date

Kimiko Della Croce

Date



Daniel D. Von Hoff

10/18/07

Date

James Welsh

Date

State of Arizona }
County of Pima } ss.

I, _____, a Notary Public in and for the County and State
aforesaid, do hereby certify that Laurence H. Hurley, whose name is subscribed to the foregoing
instrument, appeared before me this day in person and acknowledged under oath that he/she
signed, sealed and delivered the instrument as his/her free and voluntary act and deed for the
uses and purposes therein set forth.


Given under my hand and notarial seal this _____ day of _____, 2007.

My Commission Expires

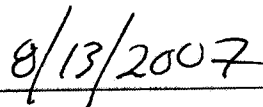
Notary

Ruben M. Munoz

Date



Steven L. Warner



Date

Kimiko Della Croce

Date

Daniel D. Von Hoff

Date

James Welsh

Date

State of Arizona }
County of Pima } ss.

I, _____, a Notary Public in and for the County and State
aforesaid, do hereby certify that Laurence H. Hurley, whose name is subscribed to the foregoing
instrument, appeared before me this day in person and acknowledged under oath that he/she
signed, sealed and delivered the instrument as his/her free and voluntary act and deed for the
uses and purposes therein set forth.

Given under my hand and notarial seal this _____ day of _____, 2007.

My Commission Expires

Notary

Ruben M. Munoz

Date

Steven L. Warner

Date


Kimiko Della Croce

11/2/07
Date

Daniel D. Von Hoff

Date

James Welsh

Date

State of Arizona)
)ss.
County of Pima)

I, _____, a Notary Public in and for the County and State
aforesaid, do hereby certify that Laurence H. Hurley, whose name is subscribed to the foregoing
instrument, appeared before me this day in person and acknowledged under oath that he/she
signed, sealed and delivered the instrument as his/her free and voluntary act and deed for the
uses and purposes therein set forth.

Given under my hand and notarial seal this _____ day of _____, 2007.

My Commission Expires

Notary

Ruben M. Munoz

Date

Steven L. Warner


Date

Kimiko Della Croce

Date

Daniel D. Von Hoff

Date


James Welsh

10-18-07
Date

State of Arizona }
County of Pima }ss.

I, _____, a Notary Public in and for the County and State
aforesaid, do hereby certify that Laurence H. Hurley, whose name is subscribed to the foregoing
instrument, appeared before me this day in person and acknowledged under oath that he/she
signed, sealed and delivered the instrument as his/her free and voluntary act and deed for the
uses and purposes therein set forth.

Given under my hand and notarial seal this _____ day of _____, 2007.

My Commission Expires

Notary

Ruben M. Munoz

Date

Steven L. Warner

Date

Kimiko Della Croce

Date

Daniel D. Von Hoff

Date

James Welsh

Date

State of Arizona

County of Pima

} ss.

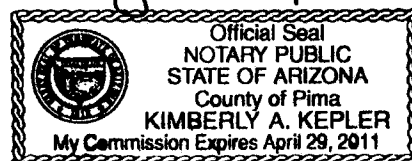
I, Kimberly Kepler, a Notary Public in and for the County and State
aforesaid, do hereby certify that Laurence H. Hurley, whose name is subscribed to the foregoing
instrument, appeared before me this day in person and acknowledged under oath that he/she
signed, sealed and delivered the instrument as his/her free and voluntary act and deed for the
uses and purposes therein set forth.

Given under my hand and notarial seal this 24 day of August, 2007.

4/29/2011

My Commission Expires

Kimberly A. Kepler
Notary



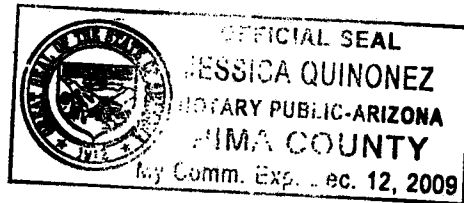
State of Arizona }
County of Pima } ss.

I, Jessica Quinonez, a Notary Public in and for the County and State aforesaid, do hereby certify that Daruka Mahadevan, whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged under oath that he/she signed, sealed and delivered the instrument as his/her free and voluntary act and deed for the uses and purposes therein set forth.

Given under my hand and notarial seal this 9th day of August, 2007.

12/12/2009
My Commission Expires

Jessica Quinonez
Notary



State of Arizona }
County of Pima } ss.

I, _____, a Notary Public in and for the County and State aforesaid, do hereby certify that Haiyong Han, whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged under oath that he/she signed, sealed and delivered the instrument as his/her free and voluntary act and deed for the uses and purposes therein set forth.

Given under my hand and notarial seal this _____ day of _____, 2007.

My Commission Expires

Notary

State of Arizona }
County of Pima } ss.

I, _____, a Notary Public in and for the County and State aforesaid, do hereby certify that Daruka Mahadevan, whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged under oath that he/she signed, sealed and delivered the instrument as his/her free and voluntary act and deed for the uses and purposes therein set forth.

Given under my hand and notarial seal this _____ day of _____, 2007.

My Commission Expires _____

Notary _____

State of Arizona }
County of Pima } ss.

I, Timothy T. Johnson, a Notary Public in and for the County and State aforesaid, do hereby certify that Haiyong Han, whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged under oath that he/she signed, sealed and delivered the instrument as his/her free and voluntary act and deed for the uses and purposes therein set forth.

Given under my hand and notarial seal this 18th day of October, 2007.

08-23-2011
My Commission Expires

Notary 



I, _____, a Notary Public, do hereby certify that Sridevi Bashyam, whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged under oath that he/she signed, sealed and delivered the instrument as his/her free and voluntary act and deed for the uses and purposes therein set forth.

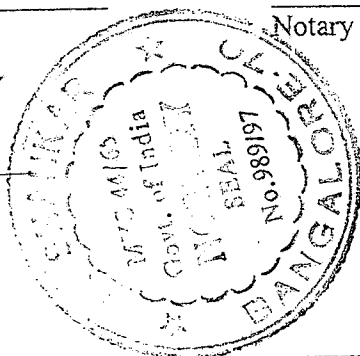
Given under my hand and notarial seal at Bangalore,
this 11 day of Sept, 2007.

MY COMMISSION EXPIRES
ON _____

My Commission Expires 2010

C. No. 989/97

N. SHANKAR
ADVOCATE & NOTARY
MYS 44/65



Notary

N. SHANKAR, B.Sc., LL.B.,
ADVOCATE & NOTARY
Retd. Joint Director of Prosecution
No. 427, 9th Main
Padmanabhanagar,
BANGALORE-70

State of Arizona }
County of Pima } ss.

I, _____, a Notary Public in and for the County and State aforesaid, do hereby certify that Ruben M. Munoz, whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged under oath that he/she signed, sealed and delivered the instrument as his/her free and voluntary act and deed for the uses and purposes therein set forth.

Given under my hand and notarial seal this _____ day of _____, 2007.

My Commission Expires _____

Notary _____

I, _____, a Notary Public, do hereby certify that Sridevi Bashyam, whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged under oath that he/she signed, sealed and delivered the instrument as his/her free and voluntary act and deed for the uses and purposes therein set forth.

Given under my hand and notarial seal at _____, this _____ day of _____, 2007.

My Commission Expires _____

Notary _____

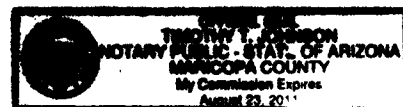
State of Arizona }
County of Pima } ss.

I, Timothy T Johnson, a Notary Public in and for the County and State aforesaid, do hereby certify that Ruben M. Munoz, whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged under oath that he/she signed, sealed and delivered the instrument as his/her free and voluntary act and deed for the uses and purposes therein set forth.

Given under my hand and notarial seal this 18th day of October, 2007.

08-23-2011
My Commission Expires

Timothy T Johnson
Notary



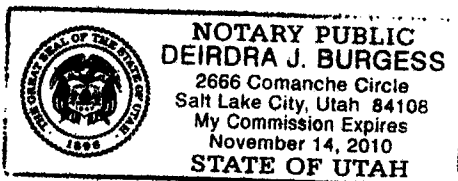
State of ~~Arizona~~ Utah)
County of ~~Pima~~ Salt Lake) ss.

I, Deirdra Burgess, a Notary Public in and for the County and State aforesaid, do hereby certify that Steven L. Warner, whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged under oath that he/she signed, sealed and delivered the instrument as his/her free and voluntary act and deed for the uses and purposes therein set forth.

Given under my hand and notarial seal this 13 day of August, 2007.

Nov. 14, 2010
My Commission Expires

Deirdra Burgess
Notary



State of Arizona)
County of Pima) ss.

I, _____, a Notary Public in and for the County and State aforesaid, do hereby certify that Kimiko Della Croce, whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged under oath that he/she signed, sealed and delivered the instrument as his/her free and voluntary act and deed for the uses and purposes therein set forth.

Given under my hand and notarial seal this _____ day of _____, 2007.

My Commission Expires

Notary

State of Arizona }
County of Pima } ss.

I, _____, a Notary Public in and for the County and State aforesaid, do hereby certify that Steven L. Warner, whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged under oath that he/she signed, sealed and delivered the instrument as his/her free and voluntary act and deed for the uses and purposes therein set forth.

Given under my hand and notarial seal this _____ day of _____, 2007.

My Commission Expires

Notary

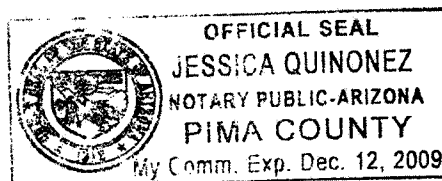
State of Arizona }
County of Pima } ss.

I, Jessica Quinonez, a Notary Public in and for the County and State aforesaid, do hereby certify that Kimiko Della Croce, whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged under oath that he/she signed, sealed and delivered the instrument as his/her free and voluntary act and deed for the uses and purposes therein set forth.

Given under my hand and notarial seal this 2nd day of November, 2007.

12/12/2009
My Commission Expires

Jessica Quinonez
Notary



6 of 7

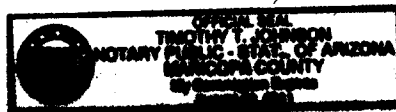
State of Arizona }
County of Pima } ss.

I, Timothy T. Johnson, a Notary Public in and for the County and State aforesaid, do hereby certify that Daniel D. Von Hoff, whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged under oath that he/she signed, sealed and delivered the instrument as his/her free and voluntary act and deed for the uses and purposes therein set forth.

Given under my hand and notarial seal this 18th day of October, 2007.

08-23-2011
My Commission Expires

[Signature]
Notary



State of Arizona }
County of Pima } ss.

I, _____, a Notary Public in and for the County and State aforesaid, do hereby certify that James Welsh, whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged under oath that he/she signed, sealed and delivered the instrument as his/her free and voluntary act and deed for the uses and purposes therein set forth.

Given under my hand and notarial seal this _____ day of _____, 2007.

My Commission Expires

Notary

State of Arizona }
County of Pima } ss.

I, DAWN DANIEL, a Notary Public in and for the County and State aforesaid, do hereby certify that Daniel D. Von Hoff, whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged under oath that he/she signed, sealed and delivered the instrument as his/her free and voluntary act and deed for the uses and purposes therein set forth.

Given under my hand and notarial seal this _____ day of _____, 2007.

My Commission Expires _____

Notary _____

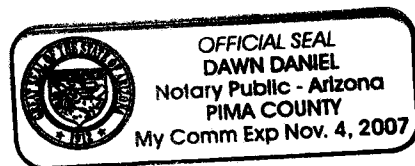
State of Arizona }
County of Pima } ss.

I, DAWN DANIEL, a Notary Public in and for the County and State aforesaid, do hereby certify that James Welsh, whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged under oath that he/she signed, sealed and delivered the instrument as his/her free and voluntary act and deed for the uses and purposes therein set forth.

Given under my hand and notarial seal this 18 day of OCT, 2007.

11-4-07
My Commission Expires

Dawn Daniel
Notary



ASSIGNMENT

For good and valuable consideration, the receipt of which is hereby acknowledged, we, David J. Bearss; Hariprasad Vankayalapati; and Cory L. Grand do hereby assign and transfer unto SuperGen, Inc., a corporation of Delaware, having its principal offices at 4140 Dublin Boulevard, Suite 200, Dublin, California 94568, United States of America, and unto its successors, assigns and legal representatives, the entire right, title and interest to a certain invention entitled "Protein Kinase Inhibitors" described, illustrated and claimed in an application for Letters Patent of the United States of America Serial No. 11/735,344, filed April 13, 2007, together with the entire right, title and interest in and to said application, and in and to Letters Patent which may be issued upon said application, and in any nonprovisional, divisional, extension, continuation or reissue thereof, SuperGen, Inc., bearing all patent application expenses, including attorney fees.

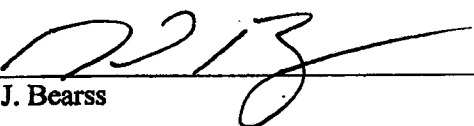
We also hereby assign and transfer unto SuperGen, Inc. the entire right, title and interest in and to said inventions and in and to applications for Letters Patent therefor in all countries foreign to the United States of America, including all rights under any and all international conventions and treaties in respect of said inventions and said applications for Letters Patent in foreign countries; I further authorize SuperGen, Inc. to apply for Letters Patent in foreign countries directly in its own name, and to claim the priority of the filing date of said application for Letters Patent of the United States of America under the provisions of any and all international conventions and treaties.

We hereby authorize and request the Commissioner of Patents of the United States of America to issue Letters Patent upon the aforesaid application, division, extension, continuation or reissue, to SuperGen, Inc. for the sole use and behoof of SuperGen, Inc., its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted, the same as they would have been held and enjoyed by us had this assignment not been

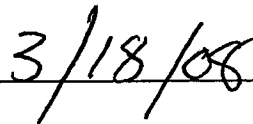
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We agree that, when requested, we will, without charge to SuperGen, Inc., but at its expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and/or maintaining patents for said invention in any and all countries and for vesting title thereto in SuperGen, Inc., its successors, assigns and legal representatives or nominees.

We covenant with SuperGen, Inc., its successors, assigns and legal representatives, that the interest and property hereby conveyed is free from all prior assignment, grant, mortgage, license or other encumbrance.



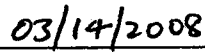
David J. Bearss



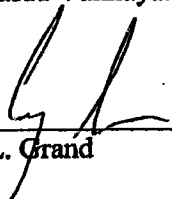
Date




Hariprasad Vankayalapati



Date



Cory L. Grand



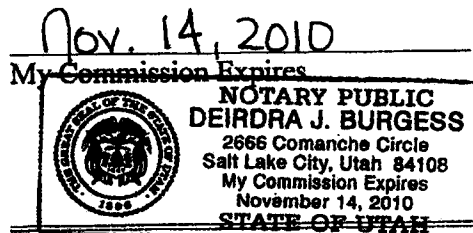
Date

State of Utah

County of Salt Lake } ss.

I, Deirdra Burgess, a Notary Public in and for the County and State aforesaid, do hereby certify that David J. Bearss, whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged under oath that he/she signed, sealed and delivered the instrument as his/her free and voluntary act and deed for the uses and purposes therein set forth.

Given under my hand and notarial seal this 18 day of March, 2008.



Deirdra Burgess
Notary

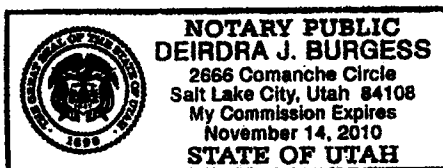
State of Utah

County of Salt Lake } ss.

I, Deirdra Burgess, a Notary Public in and for the County and State aforesaid, do hereby certify that Hariprasad Vankayalapati, whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged under oath that he/she signed, sealed and delivered the instrument as his/her free and voluntary act and deed for the uses and purposes therein set forth.

Given under my hand and notarial seal this 14 day of March, 2008.

Nov. 14, 2010
My Commission Expires



Deirdra Burgess
Notary

State of Utah }
County of _____ } ss.

SEE ATTACHED CALIFORNIA
ALL-PURPOSE ACKNOWLEDGEMENT
KR

I, _____, a Notary Public in and for the County and State
aforesaid, do hereby certify that Cory L. Grand, whose name is subscribed to the foregoing
instrument, appeared before me this day in person and acknowledged under oath that he/she
signed, sealed and delivered the instrument as his/her free and voluntary act and deed for the
uses and purposes therein set forth.

Given under my hand and notarial seal this _____ day of _____, 2008

My Commission Expires

Notary

1004978_1.doc

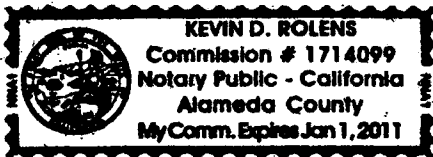
CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California

County of ALAMEDA

On MARCH 11, 2008 before me, KEVIN D. ROLENS, Notary Public
Date Here Insert Name and Title of the Officer

personally appeared CORY L. GRAM
Name(s) of Signer(s)



who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/~~she~~they executed the same in his/~~her~~their authorized capacity(ies), and that by his/~~her~~their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature K.D. Rolens
Signature of Notary Public

Place Notary Seal Above

OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

Description of Attached Document

Title or Type of Document: ASSIGNMENT - INVENTION ENTITLED PROTEIN KINASE INHIBITORS

Document Date: MARCH 11, 2008 Number of Pages: 4

Signer(s) Other Than Named Above: DAVID J. BEARSS, HARIPRASAD VANKAYALAPATI

Capacity(ies) Claimed by Signer(s)

Signer's Name: CORY L. GRAM

- ☒ Individual
☐ Corporate Officer — Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Attorney in Fact
☐ Trustee
☐ Guardian or Conservator
☐ Other: _____

Signer Is Representing: _____

HIMSELF



Signer's Name: _____

- ☐ Individual
☐ Corporate Officer — Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Attorney in Fact
☐ Trustee
☐ Guardian or Conservator
☐ Other: _____

Signer Is Representing: _____

