502544009 10/24/2013

PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
ZHENG TAO HAN	10/16/2013
HUNG-FONG CHEN	10/16/2013

RECEIVING PARTY DATA

Name:	BIOSUCCESS BIOTECH CO., LTD.
Street Address:	3333 BOWERS AVENUE
Internal Address:	SUITE 201
City:	SANTA CLARA
State/Country:	CALIFORNIA
Postal Code:	95054

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	14025176

CORRESPONDENCE DATA

 Fax Number:
 (202)293-7860

 Phone:
 202-293-7060

Email: vfletcher@sughrue.com

Correspondence will be sent via US Mail when the email attempt is unsuccessful.

Correspondent Name: SUGHRUE MION PLLC

Address Line 1: 2100 PENNSYLVANIA AVENUE, N.W.

Address Line 2: SUITE 800

Address Line 4: WASHINGTON, DISTRICT OF COLUMBIA 20037

ATTORNEY DOCKET NUMBER:	A207315
NAME OF SUBMITTER:	VANESSA FLETCHER
Signature:	/Vanessa Fletcher/
	PATENT

502544009 REEL: 031467 FRAME: 0708

D \$40.00 14025176

Date:	10/24/2013
Total Attachments: 6 source=A207315Assignmentexecuted#page source=A207315Assignmentexecuted#page source=A207315Assignmentexecuted#page source=A207315Assignmentexecuted#page source=A207315Assignmentexecuted#page source=A207315Assignmentexecuted#page	e2.tif e3.tif e4.tif e5.tif

PATENT REEL: 031467 FRAME: 0709

Docket No.: A207315 Only After Sept 16, 2012

ASSIGNMENT WITH DECLARATION FOR PATENT APPLICATION (37 CFR 1.63)

專利申請轉讓聲明書 (37 CFR 1.63)

Chinese Language Assignment with Declaration

鑒於,我/我們,即下面簽名之發明人(以下紛稱「綺緻 人」),已發明下文確認之申請中所述的若干方面;和	Whereas, I/We, the undersigned inventor(s) hereinafter called assignor(s), have invented certain aspects described in the application identified below; and
一次 (地址位於) (「承) (「承) () 意欲購買申請和發明以及就此隨後援取的任何美國 專利中的全部權利、所有權和權益;	Whereas, Biosuccess Biotech Co. Ltd. of 3333 Bowers Avenue, Suite 201, Santa Clara, CA 95054, (assignee), desires to acquire the entire right, title, and interest in the application and invention, and to any United States patents to be obtained therefor;
因此,就有億代價而營,相綴收據特此確認:	Now therefore, for valuable consideration, receipt whereof is hereby acknowledged,
我/我們,上文指名轉讓人,特此向上文指名承讓人及其繼承人和受讓人出售、轉讓及出讓該申請中適用於美利堅合眾國的全部權利、所有權和權益,及該申請中披露的適用於美利堅合眾國的發明(包括該申請的所有分案和延續申請),及可能據此頒發的所有與國際利證。及所有再頒發之專利證。(包括 35 USC 119 項下的要求優先權的權利),及適用於所有其他國家的發明(包括經修訂 1883 年巴黎國際公約項下的優先權);我/我們請求美國專利及商標局局長向承讓人及其繼承人和受讓人簽發該申請中所載列之發明的任何專利證等;及我/我們特此同意承讓人可申請外級發明之專利證等,且我/我們將會應承讓人的要求,無懲簽立承讓人申請美國和外國專利所必需的所有文件。	I/We, the above named assignor(s), hereby sell, assign and transfer to the above named assignee, its successors and assigns, the entire right, title and interest in the application and the invention disclosed therein for the United States of America, including all divisions, and continuations thereof, and all Letters Patent of the United States that may be granted thereon, and all reissues thereof, and all countries foreign thereto, including rights of priority under the International Convention of Paris (1883) as amended, including the right to claim priority under 35 USC 119, and I/we request the Director of the U.S. Patent and Trademark Office to issue any Letters Patent granted upon the invention set forth in the application to the assignee, its successors and assigns; and I/we hereby agree that the assignee may apply for foreign Letters Patent on the invention and I/we will execute without further consideration all papers deemed necessary by the assignee in connection with the United States and foreign applications when called upon to do so by the assignee.
(合法化審批無需記錄,但須作爲 35 USC 261 項下執行的表面證據)	(Legalization not required for recording but is prima facie evidence of execution under 35 USC 261)
作爲下遊發明人,我特此聲明:	As the below named inventor, I hereby declare that:
該轉讓影明	This assignment with declaration is directed to:
 	The attached application, or
於	United States Application or PCT International Application Number 14/025,176 filed on September 12, 2013.
該申請 有權 ;	The application is entitled:
	COMPOSITIONS AND METHODS OF USE OF PHORBOL
	ESTERS FOR THE TREATMENT OF STROKE
[Pag	re]

PATENT REEL: 031467 FRAME: 0710

Chinese Assignment with Declaration

我已做出或授權他人做出上述確認的申請。

我相信我是申請中要求保護的發明的原始發明人或原始聯合 發明人之一。

我已瀏冕並了解提出該轉讓勞明的申請內容。

根據 37 CFR 1.56 之規定、我知悉我有義務向專利局透潔緣 我所知的有緣專利性的所有實質性資訊。

特此確認,根據 18 USC 1001 之規定,於本轉讓發明書中審 意做出任何虛假聲明會被處以罰款或五 (5) 年以下監禁或兩 者並罰。

授權允許各專利成員局(Participating Office)查詢專利申請

☑ 若選擇本項,則申請人在此同意,在歐洲專利局(EPO)。日本專利局(IPO)、韓國智慧財產權局(KIPO)、世界智慧財產權組織(World Intellectual Property Office, WIPO)及其他智慧財產權管理機構受理國外關於上述核定之專利申請的優先權申述時,美國專利簡標局可為其提供關於上述核定之專利申請的查詢。詳見 37 CFR1.14(c) 款及(h)之規定。若申請人不希望歐洲專利局(EPO)、日本專利局(IPO)、韓國智慧財產權為(KIPO)及其他智慧財產權管理機構在受理國外關於上述核定之專利申請的優先權申述時可查詢上述核定之專利申請,請不要勾選本項。

依據 37 CFR 1.14(h)(3)條規定,可提供查詢下述提報申請:
1) 上述核定之專利提報申請:2) 上述核定之專利申請依據
35 USC 119(a)-(d) 款規定申述優先權的國外專利申請,若該
份國外專利申請符合 37 CFR 1.55 款規定之要求並已提交於上
述核定的專利申請當中:以及 3) 從上述核定之專利申請中
獲益的任何美國提報專利申請。

依據 37 CFR 1.14(c)款規定,可提供查詢腦於授權允許各專利成員局查詢專利申請的提報日期資訊。

The above identified application was made or was authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

I have reviewed and understand the contents of the application for which this assignment with declaration is being submitted.

I am aware of the duty to disclose to the Office all information known to me to be material to patentability as defined in 37 CFR 1.56.

I hereby acknowledge that any willful false statement made in this assignment with declaration is punishable under 18 USC 1001 by fine or imprisonment of not more than five (5) years, or both.

Authorization To Permit Access To Application by Participating Office

☑ If checked, the undersigned hereby grants the USPTO authority to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), the World Intellectual Property Office (WIPO), and any other intellectual property offices in which a foreign application claiming priority to the above-identified application. See 37 CFR 1.14(c) and (h). This box should not be checked if the applicant does not wish the EPO, JPO, KIPO, or other intellectual property office in which a foreign application claiming priority to the above-identified application is filed to have access to the application.

In accordance with 37 CFR 1.14(h)(3), access will be provided to a copy of the application-as-filed with respect to: 1) the above-identified patent application-as-filed, 2) any foreign application to which the above-identified application claims priority under 35 USC 119(a)-(d) if a copy of the foreign application that satisfies the certified copy requirement of 37 CFR 1.55 has been filed in the above-identified patent application, and 3) any U.S. application-as-filed from which benefit is sought in the above-identified patent application.

In accordance with 37 CFR 1.14(c), access may be provided to information concerning the date of filing the Authorization to Permit Access to Application by Participating Office.

STATEMENT OF ACCURATE TRANSLATION IN ACCORDANCE WITH 37 CFR 1.69(b):

The assignment with declaration is an accurate translation of the corresponding English language assignment with declaration.

Signature

Date

September 5, 2012

Given Name (first and middle [if any]) 名字(名和中名[若有]) Zheng Tao	Family Name or Surname 姓氏	HAN
Inventor's signature 發明人簽名 Zheng-Tav Han		Date 日期 10 / 16 / 2013
Residence: 住所: Zhengzhou, CHINA		
Mailing Address: No. 2, 3rd Building, 25 Hao Yuan, W	•	strict,
NAME OF SECOND INVENTOR: 第二發明人姓名:		
Given Name (first and middle [if any]) 名字(名和中名[若有]) Hung-Fong	Family Name or Surname 姓氏	CHEN
Inventor's signature 發明人簽名		Date 日期
Residence: 住所: Taipei, TAIWAN		

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Docket No.: A207315 Only After Sept 16, 2012

ASSIGNMENT WITH DECLARATION FOR PATENT APPLICATION (37 CFR 1.63)

專利申請轉讓聲明書 (37 CFR 1.63)

Chinese Language Assignment with Declaration

鑒於,我/我們,即下面簽名之發明人(以下營稱「終讓人」),已發明下文確認之申請中所述的若干方面;和	Whereas, I/We, the undersigned inventor(s) hereinafter called assignor(s), have invented certain aspects described in the application identified below; and
一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次一次	Whereas, <u>Biosuccess Biotech Co. Ltd. of 3333 Bowers Avenue</u> , <u>Suite 201</u> , <u>Santa Clara, CA 95054</u> , (assignee), desires to acquire the entire right, title, and interest in the application and invention, and to any United States patents to be obtained therefor;
因此,就有億代價而言,相綴收據特此確認:	Now therefore, for valuable consideration, receipt whereof is hereby acknowledged,
我/我們,上文指名轉讓人,特此向上文指名承讓人及其繼承人和受讓人出售、轉讓及出讓該申請中適用於美利堅合眾國的全部權利、所有權和權益,及該申請中披露的適用於美利堅合眾國的發明(包括該申請的所有分案和延續申請),及可能緣此頒發的所有突國專利證營及所有再頒發之專利證營(包括 35 USC 119 項下的要求優先權的權利),及適用於所有其他國家的發明(包括經修訂 1883 年巴黎國際公約項下的優先權);我/我們請求美國專利及商標局局長向承讓人及其繼承人和受讓人簽發該申請中所載列之發明的任何專利證營;及我/我們將會應承讓人可申請外經發明之專利證營,且我/我們將會應承讓人的要求,無償簽立承讓人申請美國和外國專利所必需的所有文件。	I/We, the above named assignor(s), hereby sell, assign and transfer to the above named assignee, its successors and assigns, the entire right, title and interest in the application and the invention disclosed therein for the United States of America, including all divisions, and continuations thereof, and all Letters Patent of the United States that may be granted thereon, and all reissues thereof, and all countries foreign thereto, including rights of priority under the International Convention of Paris (1883) as amended, including the right to claim priority under 35 USC 119, and I/we request the Director of the U.S. Patent and Trademark Office to issue any Letters Patent granted upon the invention set forth in the application to the assignee, its successors and assigns; and I/we hereby agree that the assignee may apply for foreign Letters Patent on the invention and I/we will execute without further consideration all papers deemed necessary by the assignee in connection with the United States and foreign applications when called upon to do so by the assignee.
(合法化審批無需記錄,但須作爲 35 USC 261 項下執行的表面證據)	(Legalization not required for recording but is prima facie evidence of execution under 35 USC 261)
作爲下遊發明人,我特此聲明:	As the below named inventor, I hereby declare that:
該轉讓發明 灣乃有關:	This assignment with declaration is directed to:
 	The attached application, or
於	United States Application or PCT International Application Number 14/025,176 filed on September 12, 2013.
該申請有 權 :	The application is entitled:
	COMPOSITIONS AND METHODS OF USE OF PHORBOL
	ESTERS FOR THE TREATMENT OF STROKE
[Page	e 1]

PATENT REEL: 031467 FRAME: 0713

Chinese Assignment with Declaration

我已做出或授權他人做出上述確認的申請。

我相信我是申請中要求保護的發明的原始發明人或原始聯合發明人之一。

我已瀏製並了解提出該轉讓勞明的申請內容。

根據 37 CFR 1.56 之規定、我知悉我有義務向專利局透斷緣 我所知的有緣專利性的所有實質性資訊。

特此確認、根據 18 USC 1001 之規定,於本轉讓發明書中審 意做出任何虛假聲明會被處以罰款或五 (5) 年以下監禁或兩 者並罰。

授權允許各專利成員局(Participating Office)查詢專利申請

☑ 若選擇本項,則申請人在此同意,在歐洲專利局(EPO)、日本專利局(IPO)、韓國智態財產權局 (KIPO)、世界智態財產權組織(World Intellectual Property Office, WIPO)及其他智慧財產權管理機構受理國外關於上述 核定之專利申請的優先權申述時,美國專利簡標局可為其提 供關於上述核定之專利申請的查詢。詳見 37 CFR1.14(c) 款及 (h)之規定。若申請人不希望歐洲專利局(EPO)、日本專利 局(IPO)、韓國智慧財產權局(KIPO)及其他智慧財產權 管理機構在受理國外關於上述核定之專利申請的優先權申述 時可查詢上述核定之專利申請,請不要勾選本項。

依緣 37 CFR 1.14(h)(3)條規定,可提供查詢下述提報申請:
1) 上述核定之專利提報申請:2) 上述核定之專利申請依緣
35 USC 119(a)-(d) 款規定申述優先權的國外專利申請,若該
份國外專利申請符合 37 CFR 1.55 款規定之要求並已提交於上
述核定的專利申請當中:以及 3) 從上述核定之專利申請中
獲益的任何美國提報專利申請。

依據 37 CFR 1.14(c)款規定,可提供查詢腦於授權允許各專利成員局查詢專利申請的提報日期資訊。

The above identified application was made or was authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

I have reviewed and understand the contents of the application for which this assignment with declaration is being submitted.

I am aware of the duty to disclose to the Office all information known to me to be material to patentability as defined in 37 CFR 1.56.

I hereby acknowledge that any willful false statement made in this assignment with declaration is punishable under 18 USC 1001 by fine or imprisonment of not more than five (5) years, or both.

Authorization To Permit Access To Application by Participating Office

☑ If checked, the undersigned hereby grants the USPTO authority to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), the World Intellectual Property Office (WIPO), and any other intellectual property offices in which a foreign application claiming priority to the above-identified application. See 37 CFR 1.14(c) and (h). This box should not be checked if the applicant does not wish the EPO, JPO, KIPO, or other intellectual property office in which a foreign application claiming priority to the above-identified application is filed to have access to the application.

In accordance with 37 CFR 1.14(h)(3), access will be provided to a copy of the application-as-filed with respect to: 1) the above-identified patent application-as-filed, 2) any foreign application to which the above-identified application claims priority under 35 USC 119(a)-(d) if a copy of the foreign application that satisfies the certified copy requirement of 37 CFR 1.55 has been filed in the above-identified patent application, and 3) any U.S. application-as-filed from which benefit is sought in the above-identified patent application.

In accordance with 37 CFR 1.14(c), access may be provided to information concerning the date of filing the Authorization to Permit Access to Application by Participating Office.

STATEMENT OF ACCURATE TRANSLATION IN ACCORDANCE WITH 37 CFR 1.69(b):

The assignment with declaration is an accurate translation of the corresponding English language assignment with declaration.

Signature

Date

September 5, 2012

Given Name (first and middle [if any]) 名字(名和中名〔若有〕 Zheng Tao	Family Name or Surn 姓氏	ame HAN
Inventor's signature 發明人簽名	······································	Date 日期
Residence: 住所: Zhengzhou, CHINA		
Mailing Address: No. 2, 3rd Building, 25 Hao Yuan, W Zhengzhou, Henan Province, CHINA		District,
NAME OF SECOND INVENTOR: 第二發明人姓名:	***************************************	
Given Name (first and middle [if ***********************************	Family Name or Sum 姓氏	CHEN
······································		Date
Inventor's signature 機明人第名 HVNg - Fong CHEN	42.7 M	Date 日期 Oct. 16, 24/3
Inventor's signature 養明人養名	44.4 <u> </u>	日期 (/ct、/6, ≫6/3

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