## 10/16/2013

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U.S. DEPARTMENT OF COMMERCE

Mail Stop:

	i i
	Attorney Docket No. 159240
	Attorney Docket No. 159240
d the attached original	inal document or copy thereof.
	2. A. Name and address of receiving party:
•	SHIN-ETSU CHEMICAL CO., LTD. 6-1, OHTEMACHI 2-CHOME,
	CHIYODA-KU, TOKYO 100-0004, JAPAN
	B. Additional name(s) & address(es) attached? ☐ Yes ☑ No
erger	
ange of Name	
13	
er with a new applic	ation.
	B. Patent No.(s)
ditional numbers at	trached? ☐ Yes ⊠ No
ULSION COME	POSITION AND A FIBER TREATING AGENT
I for a dual nurna	se: (1) recording in the assignment database; and (2) for use in declaration pursuant to 37 CFR 1.63(c).
	6. Total number of applications and patents involved: 1
	7. Please charge Deposit Account No. 15-0461 the total fee (37 CFR 3,41) in the amount of \$40.00.
	8 Credit any overpayment or charge any underpayment to
	deposit account number 15-0461.
the foregoing infor	10/16/2013 KNGUYENI 0000000 150461 Date: October 9, 2013 91 FG: 8861
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**PATENT** 

REEL: 031481 FRAME: 0602

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date: 10-11-2013

Table of Contents (Selected Documents)							
Date	Code	Pages	Source	PackageID	Annotation		
10-09-2013	LET.	3 .	EFSW	US 1404966302P1			

## ASSIGNMENT (BEING FILED FOR DUAL PURPOSE UNDER 37 CFR 1.63(e)) For Application with Filing Date on or after September 16, 2012

## **ASSIGNMENT**

	Insert	(1)	Motohiko HIRAI	(2)				
(1-8)	Legal Name(s)	(3)	< <inventor 3="" order="">&gt;</inventor>	(4)	< <inventor 4="" order="">&gt;</inventor>			
	of Inventor(s)	(5)	< <inventor 5="" order="">&gt;</inventor>	(6)	< <inventor 6="" order="">&gt;</inventor>			
		(7)	< <inventor 7="" order="">&gt;</inventor>	(8)	< <inventor 8="" order="">&gt;</inventor>			
		In consideration of the sum of one dollar (\$1.00) and other good and valuable consideration paid to each of the undersigned, the receipt and sufficiency of which are hereby acknowledged, each undersigned agrees to assign, and hereby does assign, transfer and set over to						
(9)	Insert Name of Assignee	(9)	(9) SHIN-ETSU CHEMICAL CO., LTD.					
(10)	Insert Address of Assignee	(10) 6-1, Ohtemachi 2-chome, Chiyoda-ku, Tokyo 100-0004, Japan						
		(hereinafter designated as the Assignee) and Assignee's heirs, successors, assigns and legal representatives, the entire right, title and interest for the United States of America as defined in 35 U.S.C. §100, in the invention, and in all applications for patent including any and all provisional, non-provisional, divisional, continuation, international, substitute and reissue application(s), and all Letters Patent, extensions, reissues and reexamination certificates that may be granted on the invention known as						
(11)	Insert Identification such as Title, Case Number, or Porcign Application Number	(11)	MICROEMULSION COMPOS	ITION AND A F	IBER TREATING AGENT			
		(Attor	ney Docket No. << Case No>>	), f	iled on even date herewith or as			
(12)	Alternative Identification for	(12)	U.S. Application Number <	erial No>>				
	filed applications	filed	< <application date="">&gt;</application>		*			
	1) Each undersigned agre-	es to execu	ite all papers necessary in connec	tion with any ap	plication and/or patent for the invention and also			

- 1) Each undersigned agrees to execute all papers necessary in connection with any application and/or patent for the invention and also to execute separate assignments in connection with such applications and patents as the Assignee may deem necessary.
- 2) Each undersigned agrees to execute all papers necessary in connection with any interference or post-grant proceeding which may be declared concerning any application or patent for the invention and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference or post-grant proceeding.
- 3) Each undersigned agrees to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.
- 4) Each undersigned agrees to perform all affirmative acts which may be necessary to obtain, maintain or confirm by reissue or reexamination a grant of a valid United States patent to the Assignee.
- .5) Each undersigned authorizes and requests the Commissioner of the U.S. Patent and Trademark Office to issue any and all patents resulting from said application(s) to the said Assignee, as Assignee of the entire interest, and covenants that he or she has full right to convey the entire interest herein assigned, and that he or she has not executed, and will not execute, any agreement(s) in conflict herewith, and agrees that this assignment is binding on Assignor and Assignor's heirs, successors, assigns and legal representatives.
- 6) Each undersigned hereby grants the firm of OLIFF & BERRIDGE, PLC the power to insert on this assignment any further identification that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

## (13) Declaration

As an above named inventor, I hereby declare that:

I believe I am the original inventor or an original joint inventor of a claimed invention (or claimed design) in the above-identified application for which this declaration is being submitted.

The application was made or authorized to be made by me.

I have reviewed and uniterstand the contents of the above-identified application, including the claims, and am aware of the duty to disclose to the Office all information known to me to be material to patentability as defined in 37 CFR §1.56.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

In witness whereof, executed by the undersigned on the date(s) opposite the undersigned name(s). .

Date	October 3, 2013	Inventor Signature	Mototike Hivai	_ (SEAL)
			Motolniko IIIRAI	
Date		Inventor Signature		(SEAL)
Date		Inventor Signature	. •	(SEAL)
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Date		Inventor Signature		(SEAL)
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Date		Inventor Signature		(SEAL)
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Date		Inventor Signature		(SEAL)
	*		**	<b>1</b> ,
neither	This assignment should preferably be signed, then it should be signed before at least two	ed before: (a) a Notary Publ	ic if within the U.S.A. (b) a U.S. Consul if outside	the U.S.A. If
	· .			٤.
Date	October 3, 2013	Witness	Part Alex	<b>y</b>
Date	October 3, 2013	Witness	Yukan Kaco	

**RECORDED: 10/09/2013**