PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2

EPAS ID: PAT2593146

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
ULRICH FILGES	08/07/2013
BERND DE POTZOLLI	08/01/2013
ANDY WOLFF	08/09/2013

RECEIVING PARTY DATA

Name:	BASF SE
Street Address:	CARL-BOSCH STRASSE 28
City:	LUDWIGSHAFEN
State/Country:	GERMANY
Postal Code:	67056

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	13961087

CORRESPONDENCE DATA

Fax Number:

Email: lmurphy@dsiplaw.com

Correspondence will be sent via US Mail when the email attempt is unsuccessful.

Correspondent Name: SERVILLA WHITNEY LLC
Address Line 1: 33 WOOD AVENUE SOUTH
Address Line 2: SECOND FLOOR, SUITE 210
Address Line 4: ISELIN, NEW JERSEY 08830

ATTORNEY DOCKET NUMBER:	PF73025
NAME OF SUBMITTER:	RORY P. ALEGRIA
Signature:	/Rory P. Alegria, Reg. #66947/
Date:	10/28/2013 PATENT

502547608 REEL: 031488 FRAME: 0521

1396/1087

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	This document serves as an Oath/Declaration (37 CFR 1.63).
Total Attachments: 3 source=00385716#page1.tif source=00385716#page2.tif source=00385716#page3.tif	

PATENT REEL: 031488 FRAME: 0522

DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1. 76) AND ASSIGNMENT

Title of Invention	Producing Aqueous Solutions of Vinyllactam Polymers and Powders Thereof
As the below na	med inventor, I hereby declare that:
This declaration	[] The attached application, or
is directed to:	M United States application or PCT international application number 13/96108子 filed on キ August 2013
The above-ident	ified application was made or authorized to be made by me.
I believe that I a	m the original inventor or an original joint inventor of a claimed invention in the application.
(hereinafter refe is desirous of ac	SF SE having places of business at: 67056 Ludwigshafen, Germany, erred to as "ASSIGNEE(S)") cquiring the entire right, title and interest in and to said invention and in and to any Letters Patent anted therefore in the United States and its territorial possessions and in any and all foreign
acknowledged, I the said inventio to claim priority Arrangements fr Patent which ma	REFORE, for good and valuable consideration the receipt and sufficiency of which is hereby it, by these presents do assign and transfer unto said ASSIGNEE(S), the full and exclusive right to in in the United States and its territorial possessions and in all foreign countries (including the right under the terms of the International Convention and other relevant International Treaties and from the aforesaid application) and the entire right, title and interest in and to any and all Letters are beginning to any and all divisions, reissues, continuations, substitutions and renewals thereof.
any and all forei the assignee of ASSIGNEE(S), i	forize and request the Patent Office Officials in the United States and its territorial possessions and ign countries to issue any and all of said Letters Patent, when granted, to said ASSIGNEE(S) as f my entire right, title and interest in and to the same, for the sole use and behoof of said its (his) successors and assigns, to the full end of the term for which said Letters Patent may be and entirely as the same would have been held by me had this Assignment and sale not been
respecting said continuation, sul and all of said everything poss	ree that I will communicate to said ASSIGNEE(S) or its (his) representatives any facts known to me invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, bestitute, renewal and reissue applications, execute all necessary assignment papers to cause any Letters Patent to be issued to said ASSIGNEE(S), make all rightful oaths, and, generally do ible to aid said ASSIGNEE(S), its (his) successors and assigns, to obtain and enforce proper id invention in the United States and its territorial possessions and in any and all foreign countries.
	nowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. mprisonment of not more than five (5) years, or both.
LEGAL NAME C	DF INVENTOR
Inventor: Ulric	th Filges Date: <u>07. 0.8. 20</u> 13
Signature:	Much Tilo

PATENT REEL: 031488 FRAME: 0523

DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1. 76) AND ASSIGNMENT

APPLICATION DATA SHEET (37 CFR 1. 76) AND ASSIGNMENT
Title of Producing Aqueous Solutions of Vinyllactam Polymers and Powders Thereof
As the below named inventor, I hereby declare that:
This declaration [] The attached application, or
is directed to: 以 United States application or PCT international application number 13/96108子 filed on チ August 2013
The above-identified application was made or authorized to be made by me.
I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.
WHEREAS, BASF SE having places of business at: 67056 Ludwigshafen, Germany, (hereinafter referred to as "ASSIGNEE(S)")
is desirous of acquiring the entire right, title and interest in and to said invention and in and to any Letters Patent that may be granted therefore in the United States and its territorial possessions and in any and all foreign countries;
NOW, THEREFORE, for good and valuable consideration the receipt and sufficiency of which is hereby acknowledged, I, by these presents do assign and transfer unto said ASSIGNEE(S), the full and exclusive right to the said invention in the United States and its territorial possessions and in all foreign countries (including the right to claim priority under the terms of the International Convention and other relevant International Treaties and Arrangements from the aforesaid application) and the entire right, title and interest in and to any and all Letters Patent which may be granted therefor in the United States and its territorial possessions and in any and all foreign countries and in and to any and all divisions, reissues, continuations, substitutions and renewals thereof.
I hereby authorize and request the Patent Office Officials in the United States and its territorial possessions and any and all foreign countries to issue any and all of said Letters Patent, when granted, to said ASSIGNEE(S) as the assignee of my entire right, title and interest in and to the same, for the sole use and behoof of said ASSIGNEE(S), its (his) successors and assigns, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by me had this Assignment and sale not been made.
Further, I agree that I will communicate to said ASSIGNEE(S) or its (his) representatives any facts known to me respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, substitute, renewal and reissue applications, execute all necessary assignment papers to cause any and all of said Letters Patent to be issued to said ASSIGNEE(S), make all rightful oaths, and, generally do everything possible to aid said ASSIGNEE(S), its (his) successors and assigns, to obtain and enforce proper protection for said invention in the United States and its territorial possessions and in any and all foreign countries.
I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.
LEGAL NAME OF INVENTOR
Inventor: Bernd de Potzolli Date: 01.08.2043

Signature:

PATENT REEL: 031488 FRAME: 0524

DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1. 76) AND ASSIGNMENT

APPLICATION DATA SHEET (37 CFR 1. 76) AND ASSIGNMENT	
Title of Producing Aqueous Solutions of Vinyllactam Polymers	
Invention and Powders Thereof	
As the below named inventor, I hereby declare that:	
This declaration [] The attached application, or	
is directed to: M United States application or PCT international application number 13/961 filed on 7 August 2013	78C
The above-identified application was made or authorized to be made by me.	
I believe that I am the original inventor or an original joint inventor of a claimed invention in the application	n.
WHEREAS, BASF SE having places of business at: 67056 Ludwigshafen, Germany, (hereinafter referred to as "ASSIGNEE(S)") is desirous of acquiring the entire right, title and interest in and to said invention and in and to any Let	ters Patent
that may be granted therefore in the United States and its territorial possessions and in any and countries;	all foreign
NOW, THEREFORE, for good and valuable consideration the receipt and sufficiency of which acknowledged, I, by these presents do assign and transfer unto said ASSIGNEE(S), the full and exclusion the said invention in the United States and its territorial possessions and in all foreign countries (including to claim priority under the terms of the International Convention and other relevant International Transgements from the aforesaid application) and the entire right, title and interest in and to any and Patent which may be granted therefor in the United States and its territorial possessions and in any and countries and in and to any and all divisions, reissues, continuations, substitutions and renewals thereof	sive right to ng the right reaties and all Letters I all foreign
I hereby authorize and request the Patent Office Officials in the United States and its territorial posses any and all foreign countries to issue any and all of said Letters Patent, when granted, to said ASSIG the assignee of my entire right, title and interest in and to the same, for the sole use and behad ASSIGNEE(S), its (his) successors and assigns, to the full end of the term for which said Letters Pate granted, as fully and entirely as the same would have been held by me had this Assignment and sal made.	NEE(S) as oof of said ent may be
Further, I agree that I will communicate to said ASSIGNEE(S) or its (his) representatives any facts known respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all continuation, substitute, renewal and reissue applications, execute all necessary assignment papers to and all of said Letters Patent to be issued to said ASSIGNEE(S), make all rightful oaths, and, go everything possible to aid said ASSIGNEE(S), its (his) successors and assigns, to obtain and enformation for said invention in the United States and its territorial possessions and in any and all foreign	divisional, cause any enerally do erce proper
I hereby acknowledge that any willful false statement made in this declaration is punishable under 1001 by fine or imprisonment of not more than five (5) years, or both.	18 U.S.C.
LEGAL NAME OF INVENTOR	
Inventor: Andy Wolff	

Signature: Chroy Wall

PATENT REEL: 031488 FRAME: 0525

Date: <u>08/09/13</u>

RECORDED: 10/28/2013