

PATENT ASSIGNMENT COVER SHEET

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EPAS ID: PAT2593146

SUBMISSION TYPE:	NEW ASSIGNMENT										
NATURE OF CONVEYANCE:	ASSIGNMENT										
CONVEYING PARTY DATA											
<table border="1"> <thead> <tr> <th>Name</th> <th>Execution Date</th> </tr> </thead> <tbody> <tr> <td>ULRICH FILGES</td> <td>08/07/2013</td> </tr> <tr> <td>BERND DE POTZOLLI</td> <td>08/01/2013</td> </tr> <tr> <td>ANDY WOLFF</td> <td>08/09/2013</td> </tr> </tbody> </table>		Name	Execution Date	ULRICH FILGES	08/07/2013	BERND DE POTZOLLI	08/01/2013	ANDY WOLFF	08/09/2013		
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<table border="1"> <tr> <td>Name:</td> <td>BASF SE</td> </tr> <tr> <td>Street Address:</td> <td>CARL-BOSCH STRASSE 28</td> </tr> <tr> <td>City:</td> <td>LUDWIGSHAFEN</td> </tr> <tr> <td>State/Country:</td> <td>GERMANY</td> </tr> <tr> <td>Postal Code:</td> <td>67056</td> </tr> </table>		Name:	BASF SE	Street Address:	CARL-BOSCH STRASSE 28	City:	LUDWIGSHAFEN	State/Country:	GERMANY	Postal Code:	67056
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CORRESPONDENCE DATA											
Fax Number: Email: lmurphy@dsiplaw.com <i>Correspondence will be sent via US Mail when the email attempt is unsuccessful.</i> Correspondent Name: SERVILLA WHITNEY LLC Address Line 1: 33 WOOD AVENUE SOUTH Address Line 2: SECOND FLOOR, SUITE 210 Address Line 4: ISELIN, NEW JERSEY 08830											
ATTORNEY DOCKET NUMBER:	PF73025										
NAME OF SUBMITTER:	RORY P. ALEGRIA										
Signature:	/Rory P. Alegria, Reg. #66947/										
Date:	10/28/2013										

PATENT

This document serves as an Oath/Declaration (37 CFR 1.63).

Total Attachments: 3

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**DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN
APPLICATION DATA SHEET (37 CFR 1.76) AND ASSIGNMENT**

Title of Invention	Producing Aqueous Solutions of Vinyl lactam Polymers and Powders Thereof
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As the below named inventor, I hereby declare that:

This declaration [] The attached application, or

is directed to: ☒ United States application or PCT international application number 13/361087
filed on 7 August 2013

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

WHEREAS, BASF SE having places of business at: 67056 Ludwigshafen, Germany,
(hereinafter referred to as "ASSIGNEE(S)")

is desirous of acquiring the entire right, title and interest in and to said invention and in and to any Letters Patent that may be granted therefore in the United States and its territorial possessions and in any and all foreign countries;

NOW, THEREFORE, for good and valuable consideration the receipt and sufficiency of which is hereby acknowledged, I, by these presents do assign and transfer unto said ASSIGNEE(S), the full and exclusive right to the said invention in the United States and its territorial possessions and in all foreign countries (including the right to claim priority under the terms of the International Convention and other relevant International Treaties and Arrangements from the aforesaid application) and the entire right, title and interest in and to any and all Letters Patent which may be granted therefor in the United States and its territorial possessions and in any and all foreign countries and in and to any and all divisions, reissues, continuations, substitutions and renewals thereof.

I hereby authorize and request the Patent Office Officials in the United States and its territorial possessions and any and all foreign countries to issue any and all of said Letters Patent, when granted, to said ASSIGNEE(S) as the assignee of my entire right, title and interest in and to the same, for the sole use and behoof of said ASSIGNEE(S), its (his) successors and assigns, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by me had this Assignment and sale not been made.

Further, I agree that I will communicate to said ASSIGNEE(S) or its (his) representatives any facts known to me respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, substitute, renewal and reissue applications, execute all necessary assignment papers to cause any and all of said Letters Patent to be issued to said ASSIGNEE(S), make all rightful oaths, and, generally do everything possible to aid said ASSIGNEE(S), its (his) successors and assigns, to obtain and enforce proper protection for said invention in the United States and its territorial possessions and in any and all foreign countries.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

LEGAL NAME OF INVENTOR

Inventor: Ulrich Filges

Date: 07.08.2013

Signature: _____

Ulrich Filges

**DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN
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LEGAL NAME OF INVENTOR

Inventor: Bernd de Potzoli

Date: 01.08.2013

Signature: _____

Bernd de Potzoli

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LEGAL NAME OF INVENTOR

Inventor: Andy Wolff

Date: 08/09/13

Signature: _____

Andy Wolff