

PATENT ASSIGNMENT COVER SHEET

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EPAS ID: PAT2596528

SUBMISSION TYPE:	NEW ASSIGNMENT										
NATURE OF CONVEYANCE:	ASSIGNMENT										
CONVEYING PARTY DATA											
<table border="1"> <thead> <tr> <th>Name</th> <th>Execution Date</th> </tr> </thead> <tbody> <tr> <td>DAVID APELIAN</td> <td>10/23/2013</td> </tr> <tr> <td>THOMAS H. KING</td> <td>10/16/2013</td> </tr> <tr> <td>CLAIRE COESHOTT</td> <td>10/16/2013</td> </tr> <tr> <td>YINGNIAN LU</td> <td>10/19/2013</td> </tr> </tbody> </table>		Name	Execution Date	DAVID APELIAN	10/23/2013	THOMAS H. KING	10/16/2013	CLAIRE COESHOTT	10/16/2013	YINGNIAN LU	10/19/2013
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THOMAS H. KING	10/16/2013										
CLAIRE COESHOTT	10/16/2013										
YINGNIAN LU	10/19/2013										
RECEIVING PARTY DATA											
Name:	GLOBEIMMUNE, INC.										
Street Address:	1450 INFINITE DRIVE										
City:	LOUISVILLE										
State/Country:	COLORADO										
Postal Code:	80027										
PROPERTY NUMBERS Total: 1											
<table border="1"> <thead> <tr> <th>Property Type</th> <th>Number</th> </tr> </thead> <tbody> <tr> <td>Application Number:</td> <td>13994881</td> </tr> </tbody> </table>		Property Type	Number	Application Number:	13994881						
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Application Number:	13994881										
CORRESPONDENCE DATA											
Fax Number:	(303)863-0223										
Phone:	303-863-9700										
Email:	shouse@sheridanross.com										
<i>Correspondence will be sent via US Mail when the email attempt is unsuccessful.</i>											
Correspondent Name:	SHERIDAN ROSS P.C.										
Address Line 1:	1560 BROADWAY										
Address Line 2:	SUITE 1200										
Address Line 4:	DENVER, COLORADO 80202										
ATTORNEY DOCKET NUMBER:	3923-33-PUS										
NAME OF SUBMITTER:	ANGELA M. DOMITROVICH										

Signature:	/Angela M. Domitrovich/
Date:	10/30/2013
Total Attachments: 5 source=Executed_Assignment#page1.tif source=Executed_Assignment#page2.tif source=Executed_Assignment#page3.tif source=Executed_Assignment#page4.tif source=Executed_Assignment#page5.tif	

Assignment

WHEREAS, We, David APELIAN of 3 Old Beach Glen Road, Boonton Township, New Jersey 07005, UNITED STATES, Thomas H. KING, of 4105 Perry Street, Denver, Colorado 80212, UNITED STATES, Claire COESHOTT, of 875 South Josephine Street, Denver, Colorado 80209, UNITED STATES, and Yingnian LI, of 2829 Akron Court, Denver, Colorado 80238, UNITED STATES, have invented a certain new and useful invention entitled, COMPOSITIONS AND METHODS FOR THE TREATMENT OR PREVENTION OF HUMAN ADENOVIRUS-36 INFECTION, for which an application has been prepared and filed in the United States Patent Office on June 17, 2013, receiving Application No. 13/994,881 and further identified as Attorney File No. 3923-33-PUS.

NOW THEREFORE, be it known that I, the said inventor, for and in consideration of certain good and valuable consideration, the sufficiency and receipt of which is hereby acknowledged, at the request of the assignee do sell, assign and transfer unto said assignee, GLOBEIMMUNE, INC., a Delaware corporation having a place of business at 1450 Infinite Drive, Louisville, Colorado 80027, UNITED STATES, its successors, legal representatives and assigns, the aforesaid application for the territory of the United States of America, all National Stage applications of this international application and all continuation, divisional, continuation-in-part and reissue applications, all patent applications in foreign countries, all applications pursuant to the Patent Cooperation Treaty, and all applications for extension filed or to be filed for the invention, and all Letters Patent, Invention Registrations, Utility Models, Extensions or Reissues and other patent rights, obtained for the invention in the United States or any other country; I also assign any right, title or interest in and to the invention which has not already been transferred to the assignee; I warrant that I have made no assignment of the invention, application or patent therefor to a party other than GLOBEIMMUNE, INC., and I am under no obligation to make any assignment of the invention, application, or patent therefor to any other party; and I further agree to cooperate with the assignee hereunder in the obtaining and sustaining of any and all such Letters Patent and in confirming assignee's exclusive ownership of the invention, but at the expense of said assignee.

The Commissioner of Patents is hereby authorized and requested to issue the Letters Patent solely in accordance with the terms of this Assignment, to GLOBEIMMUNE, INC., its successors, legal representatives and assigns.

IN WITNESS WHEREOF, the parties hereto have executed this Assignment as of the date indicated hereunder.

Date: _____

David Apelian

STATE OF)

COUNTY OF)

Before me, a Notary Public in and for said County and State, personally appeared **David Apelian** demonstrated to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and considerations therein expressed.

Given under my hand and seal of office this _____ day of _____.

Notary Public

My Commission Expires: _____

Date: 10/16/13

Thomas King
Thomas King

STATE OF Colorado)

COUNTY OF Boulder)

Before me, a Notary Public in and for said County and State, personally appeared **Thomas King** known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and considerations therein expressed.

Given under my hand and seal of office this 16th day of October, 2013.

ANGELA SEBOR
NOTARY PUBLIC
STATE OF COLORADO
NOTARY ID 20104048033
MY COMMISSION EXPIRES NOVEMBER 5, 2014

Angela Sebor
Notary Public

My Commission Expires: 11/05/2014

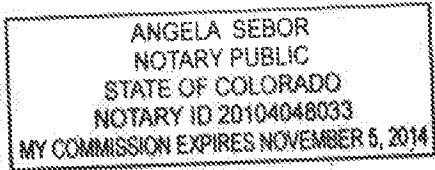
Date: 10/16/13

C. Coeshott
Claire Coeshott

STATE OF Colorado)
COUNTY OF Boulder)

Before me, a Notary Public in and for said County and State, personally appeared Claire Coeshott known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and considerations therein expressed.

Given under my hand and seal of office this 16th day of October, 2013.



Angela Sebor
Notary Public

My Commission Expires: 11/05/2014

Date: _____

Yingnian Lu

STATE OF _____)
COUNTY OF _____)

Before me, a Notary Public in and for said County and State, personally appeared Yingnian Lu known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and considerations therein expressed.

Given under my hand and seal of office this _____ day of _____, _____.

Notary Public
My Commission Expires: _____

