Client Code: INNAT.010A

### RECORDATION FORM COVER SHEET **PATENTS ONLY**

To the Director, U.S. Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): (List using letters or numbers for multiple parties)  1. PATRICK DENNLER 2. ELIANE FISCHER 3. ROGER SCHIBLI  Additional name(s) of conveying party(ies) attached?  () Yes (X) No  3. Nature of conveyance: (X) Assignment () Security Agreement () Merger () Change of Name () Other:  Execution Date: (List as in section 1 if multiple signatures)  1. May 21, 2013 2. May 22, 2013 3. May 21, 2013  5. Party to whom correspondence concerning document should be mailed:  Customer No. 20,995  Address: Knobbe, Martens, Olson & Bear, LLP 2040 Main Street, 14 <sup>th</sup> Floor Irvine, CA 92614  Email: efiling@knobbe.com	2. Name and address of receiving party(ies): Name: PAUL SCHERRER INSTITUT  Street Address: 5232 VILLIGEN PSI  SWITZERLAND  Additional name(s) of receiving party(ies) attached?  () Yes (X) No  4. US or PCT Application number(s) or US Patent number(s):  (X) Patent Application No.: 13/725382  Filing Date: December 21, 2012  Additional numbers attached?  () Yes (X) No  6. Total number of applications and patents involved: 1	
7. Total fee (37 CFR 1.21(h)); \$40.00	8. Deposit account number: 11-1410	
(X) Authorized to be charged to deposit account	Please charge this account for any additional fees which may be required, or credit any overpayment to this account.	
9. Statement and signature.  To the best of my knowledge and belief, the foregoing information is true and correct, and any attached copy is a true copy of the original document.  Name of Person Signing:  Date		
Total number of pages including cover sheet, attachments and document:		

Documents transmitted via Facsimile to be recorded with required cover sheet information to: 4

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Alexandria, VA 22313-1450 Facsimile Number: (571) 273-0140

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**PATENT** REEL: 031543 FRAME: 0004

### COMBINED DECLARATION & ASSIGNMENT (37 CFR 1.63(e))

Application Data Sheet filed previously or concurrently

Docket No.: INNAT.010A

Page 1 of 3

Title: ENZYMATIC CONJUGATION OF POLYPEPTIDES

Inventors: PATRICK DENNLER, ELIANE FISCHER, ROGER SCHIBLI

#### Declaration

This Declaration is directed to the application identified above that:

Was filed December 21, 2012 as U.S. or International Application No. 13/725,382 and incorporating any amendments made thereto prior to the signature date of this Declaration.

As a named inventor, I declare that:

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor in the application:

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 USC 1001 by fine or imprisonment of not more than five (5) years, or both.

I have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

### Assignment from Inventors

WHEREAS, We, Patrick Dennier, Ellane Fischer, and Roger Schibli (individual(s) hereinafter "ASSIGNOR") and other named inventors have invented certain new and useful improvements, technology, inventions, developments, ideas or discoveries, and hereby assign or are under an obligation to assign to the below identified Assignee our interest in the above-titled application (collectively hereinafter referred to as the "Work") for which an application for Letters Patent in the United States (identified above) has been prepared for filing with the United States Patent and Trademark Office (hereinafter the "Application").

WHEREAS, the other named inventors are assigning their rights to Innate Pharma;

AND WHEREAS, PAUL SCHERRER INSTITUT, with its principal place of business at 5232 Villigen PSI, Switzerland (hereinafter the "ASSIGNEE"), desires to acquire our entire right, title, and interest in and to the Application and the Work.

NOW, THEREFORE, for good and valuable consideration of which receipt is hereby acknowledged, ASSIGNOR hereby acknowledges that ASSIGNOR has sold, assigned, transferred and set over, and by these presents does hereby sell, assign, transfer and set over, unto said ASSIGNEE, its successors, legal representatives and assigns, our entire right, title, and interest throughout the world in the Application and the Work, including all patent properties filed or issued upon the Application and the Work; where "Patent Properties" include, but are not limited to:

all provisional applications relating thereto (including but not limited to U.S. Provisional Application No(s). 61/579,908, 61/661,569, 61/671122, filed December 23, 2011, June 19, 2012, July 13, 2012 (respectively if plural applications));

all nonprovisional applications claiming priority to aforementioned provisional(s) and/or the present Application, including, all divisions, continuations, continuations-in-part, and reissues, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and

PATENT

**REEL: 031543 FRAME: 0005** 

# COMBINED DECLARATION & ASSIGNMENT (37 CFR 1.63(e))

Application Data Sheet filed previously or concurrently

Docket No.: INNAT.010A

Page 2 of 3

Title: ENZYMATIC CONJUGATION OF POLYPEPTIDES

inventors: PATRICK DENNLER, ELIANE FISCHER, ROGER SCHIBLI

all rights of priority under International Conventions and any related Letters Patent which may hereafter be granted or filed in any country or countries foreign to the United States, all extensions, renewals and reissues thereof;

with the understanding that the individuals included within the designation of ASSIGNOR only assign their entire right, title, or interest in the Patent Properties to the extent that they have a right, title, or interest in the Patent Properties.

ASSIGNOR hereby acknowledges the ASSIGNEE as the Applicant for all aforementioned patent properties, and authorizes and requests the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all related Letters Patent to the ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND ASSIGNOR DOES HEREBY sell, assign, transfer, and convey to ASSIGNEE, its successors, legal representatives, and assigns all claims for damages and all remedies arising out of any violation of the rights assigned hereby that may have accrued prior to the date of assignment to ASSIGNEE, or may accrue hereafter, including, but not limited to, the right to sue for, collect, and retain damages for past infringements of said Letters Patent before or after issuance.

AND ASSIGNOR DOES HEREBY covenant and agree that ASSIGNOR will communicate to said ASSIGNEE, its successors, legal representatives and assigns, any facts known to ASSIGNOR respecting the Work, and testify in any legal proceeding, assist in the preparation of any other Patent Property relating to the Application and the Work or any improvements made thereto, sign/execute all tawful papers, execute and make all rightful oaths and/or declarations in connection with the Application and the Work including any improvements made thereto, any patent applications filed therefrom, and any continuing application filed from any of the aforementioned applications, and generally do everything possible to aid the ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for the Work in all countries.

**PATENT** 

REEL: 031543 FRAME: 0006

COMBINED DECLARATION & ASSIGNMENT (37 CFR 1.63(e))  Application Data Sheet filed previously or concurrently		
Docket No.: INNAT.010A	Page 3 of 3	
Title: ENZYMATIC CONJUGATION OF POLYPEPTIDES		
Inventors: PATRICK DENNLER, ELIANE FISCHER, ROGER SCHIBLI		
Legal Name of inventor: PATRICK DENNLER	*	
Signature: P.D. Date: 21	05.2013	
Witnessed by:		
Witness Name (printed):	5 5 6	
Legal Name of inventor: ELIANE FISCHER		
Signature: Date: 27.05	. Z013	
Witnessed by:		
Witness Name (printed): Sudha kelks		
	\$\tag{\text{dis} \text{VVWP & 2 \text{dis} & 6 \text{dis} & 5 \text{dis} & 5 \text{vision } \text{V \text{min} } \text{A} \text{vision } \text{V} \text{.}	
Legal Name of Inventor: ROGER SCHIBLI		
Signature: Date: 21. C	<u>5.2013</u>	
Witnessed by:		
Witness Name (printed): Sandha Lelles		

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PATENT REEL: 031543 FRAME: 0007

**RECORDED: 10/25/2013**