

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT2610586

SUBMISSION TYPE:	NEW ASSIGNMENT										
NATURE OF CONVEYANCE:	ASSIGNMENT										
CONVEYING PARTY DATA											
<table border="1"> <thead> <tr> <th>Name</th> <th>Execution Date</th> </tr> </thead> <tbody> <tr> <td>RICHARD ALEXANDER EVANS</td> <td>04/26/2012</td> </tr> <tr> <td>NINO MALIC</td> <td>04/26/2012</td> </tr> </tbody> </table>		Name	Execution Date	RICHARD ALEXANDER EVANS	04/26/2012	NINO MALIC	04/26/2012				
Name	Execution Date										
RICHARD ALEXANDER EVANS	04/26/2012										
NINO MALIC	04/26/2012										
RECEIVING PARTY DATA											
<table border="1"> <tr> <td>Name:</td> <td>ADVANCED POLYMERIK PTY LTD.</td> </tr> <tr> <td>Street Address:</td> <td>8 REDWOOD DRIVE</td> </tr> <tr> <td>City:</td> <td>NOTTING HILL, VICTORIA</td> </tr> <tr> <td>State/Country:</td> <td>AUSTRALIA</td> </tr> <tr> <td>Postal Code:</td> <td>3168</td> </tr> </table>		Name:	ADVANCED POLYMERIK PTY LTD.	Street Address:	8 REDWOOD DRIVE	City:	NOTTING HILL, VICTORIA	State/Country:	AUSTRALIA	Postal Code:	3168
Name:	ADVANCED POLYMERIK PTY LTD.										
Street Address:	8 REDWOOD DRIVE										
City:	NOTTING HILL, VICTORIA										
State/Country:	AUSTRALIA										
Postal Code:	3168										
PROPERTY NUMBERS Total: 1											
<table border="1"> <thead> <tr> <th>Property Type</th> <th>Number</th> </tr> </thead> <tbody> <tr> <td>PCT Number:</td> <td>AU2012000458</td> </tr> </tbody> </table>		Property Type	Number	PCT Number:	AU2012000458						
Property Type	Number										
PCT Number:	AU2012000458										
CORRESPONDENCE DATA											
<p>Fax Number: (585)270-2179</p> <p>Phone: 585-270-2118</p> <p>Email: patricia.knisley@leclairryan.com</p> <p><i>Correspondence will be sent via US Mail when the email attempt is unsuccessful.</i></p> <p>Correspondent Name: LECLAIRRYAN., A PROFESSIONAL CORPORATION</p> <p>Address Line 1: 70 LINDEN OAKS, SUITE 210</p> <p>Address Line 4: ROCHESTER, NEW YORK 14625</p>											
ATTORNEY DOCKET NUMBER:	29575.0480										
NAME OF SUBMITTER:	PATRICIA KNISLEY										
Signature:	/Patricia Knisley/										
Date:	11/11/2013										

Total Attachments: 6

source=61481830_Recordation_and_Assignment#page1.tif

source=61481830_Recordation_and_Assignment#page2.tif

source=61481830_Recordation_and_Assignment#page3.tif

source=61481830_Recordation_and_Assignment#page4.tif

source=61481830_Recordation_and_Assignment#page5.tif

source=61481830_Recordation_and_Assignment#page6.tif



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

MAY 2, 2012

PTAS

DOWELL & DOWELL, P.C.
103 ORONOCO STREET
SUITE 220
ALEXANDRIA, VA 22314

501906518

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT RECORDATION BRANCH OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE ASSIGNMENT RECORDATION BRANCH AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT RECORDATION BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 05/01/2012

REEL/FRAME: 028136/0688
NUMBER OF PAGES: 6

BRIEF: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

DOCKET NUMBER: 17607PRO

ASSIGNOR:

EVANS, RICHARD ALEXANDER

DOC DATE: 04/26/2012

ASSIGNOR:

MALIC, NINO

DOC DATE: 04/26/2012

ASSIGNEE:

ADVANCED POLYMERIK PTY LTD
8 REDWOOD DRIVE
NOTTING HILL, VICTORIA, AUSTRALIA
3168

APPLICATION NUMBER: 61481830

PATENT NUMBER:

TITLE: PHOTOCHROMIC POLYMER

FILING DATE: 05/03/2011

ISSUE DATE:

ASSIGNMENT RECORDATION BRANCH
PUBLIC RECORDS DIVISION

PATENT ASSIGNMENT

Electronic Version v1.1

Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
Richard Alexander EVANS	04/26/2012
Nino MALIC	04/26/2012
RECEIVING PARTY DATA	
Name:	Advanced Polymerik Pty Ltd
Street Address:	8 Redwood Drive
City:	Notting Hill, Victoria
State/Country:	AUSTRALIA
Postal Code:	3168
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	61481830
CORRESPONDENCE DATA	
Fax Number:	(703)739-9889
Phone:	7037399888
Email:	dowell@dowellpc.com
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent via US Mail.</i>	
Correspondent Name:	Dowell & Dowell, P.C.
Address Line 1:	103 Oronoco Street
Address Line 2:	Suite 220
Address Line 4:	Alexandria, VIRGINIA 22314
ATTORNEY DOCKET NUMBER:	17607PRO
NAME OF SUBMITTER:	Wendy M. Slade
Total Attachments: 5 source=17607assignment#page1.tif source=17607assignment#page2.tif source=17607assignment#page3.tif source=17607assignment#page4.tif source=17607assignment#page5.tif	

CH \$40.00 61481830

**CONFIRMATORY
DEED OF ASSIGNMENT**

**CRC for Polymers
Project 2.2 – G3 Photochromics**

- E. The Inventors wish to confirm their involvement in the creation of the Invention.
- F. The parties have executed this Confirmatory Deed of Assignment ("**Deed**") to confirm the Assignee's legal ownership of all rights in relation to the Invention and the Patent Application, in consideration of the payment of the sum of \$1.00 by the Assignee to the Assignors, receipt of which is acknowledged by the Assignors.

INVENTOR ACKNOWLEDGEMENTS AND ASSIGNMENT

- 1. Each of the Inventors hereby acknowledges that they were each involved in the creation of the Invention and, to the best of their actual knowledge and belief as at the date of signing this Deed, that no person other than the Inventors was involved in the creation of the Invention.
- 2. Each Inventor acknowledges the following:
 - (a) that all work carried out by them in relation to the creation and development of the Invention was carried out in performance of their role and duties as an employee of CSIRO;
 - (b) that all work carried out by them in relation to the creation and development of the Invention was carried out as part of the Project; and
 - (c) that all their right, title and interest in the Invention vested in CSIRO upon its creation or coming into existence.
- 3. If, regardless of the acknowledgements in clause 2 above, as at the date of this Deed any Inventor holds any right, title or interest in the Invention or the Patent Application in their own right, each such Inventor hereby assigns, transfers and sets over to CSIRO, absolutely, any and all of such right, title and interest it has in the Invention or Patent Application.

CSIRO ACKNOWLEDGEMENT AND ASSIGNMENT

- 4. CSIRO acknowledges and agrees that, in accordance with and pursuant to the terms of the Participants Agreement, it has transferred its right, title and interest in and to the Invention and the Patent Application to the Assignee (including any rights assigned to it under clause 3 of this Deed), and that accordingly, the Assignee is the legal owner of the Invention and the Patent Application.
- 5. CSIRO acknowledges the Assignee's entitlement to assignment of all rights in the Patent Application and Invention disclosed in the Patent Application.
- 6. If, regardless of the acknowledgements in clauses 4 and 5 above, but subject to clause 9, as at the date of this Deed CSIRO holds any right, title or interest in the Invention or the Patent Application in its own right, CSIRO hereby

assigns, transfers and sets over to the Assignee, absolutely, any and all of such right, title and interest it has in the Invention or Patent Application.

FURTHER ACKNOWLEDGEMENTS AND ASSURANCES

8. The Assignors acknowledge and agree that the right, title and interest held by the Assignee in the Invention and the Patent Application, whether further to the acknowledgements or the assignments above, includes:
 - (a) the right to apply for the grant of a patent in respect of the Invention in any country of the world;
 - (b) the right to claim priority from the Patent Application in respect of applications made for the grant of a patent anywhere in the world in respect of the Invention; and
 - (c) the right to bring legal proceedings, and to obtain relief, in respect of any infringement of intellectual property rights in relation to the Invention, whether occurring before or after the date of this Deed.
9. Each Assignor agrees to provide any assistance reasonably required by the Assignee (and at the Assignee's cost) in order to give full effect to this Deed (including but not limited to the execution of any documents). Each Inventor irrevocably appoints each of the directors of the Assignee as the Inventor's attorney to do all acts and execute all documents which the Inventors are obligated to do or execute as an Assignor under this clause, provided that the Assignee's directors shall only exercise such power of attorney in circumstances where the Inventor is no longer an employee of CSIRO.
10. Nothing in this Deed shall limit the rights or entitlements held by CSIRO in its capacity as a "Participant" of the CRC for Polymers under the Participants Agreement (including, without limitation, its rights of use for internal research, and any beneficial interest or entitlement to a distribution of commercialisation income it may hold, in respect of the Invention or Patent Application).

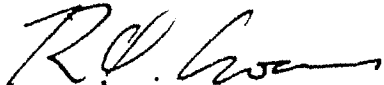
GENERAL

11. Any provision of this Deed which is or becomes prohibited, invalid or unenforceable in any jurisdiction shall, as to such jurisdiction, be read down or severed to the extent of the invalidity or unenforceability without affecting the validity or enforceability of any other provision of this Deed, or the validity or enforceability of this Deed in any other jurisdiction.
12. This Deed may be executed in counterparts. All counterparts together will be taken to constitute one and the same instrument. Each party shall be bound by this Deed immediately upon execution by it.
13. The Assignee shall be responsible for paying the costs of preparation and execution of this Deed, and for any stamp duty payable in respect of this Deed or the assignment.

14. This Deed is governed by and is to be interpreted under the laws of the State of Victoria. The parties hereby submit to the non-exclusive jurisdiction of the Courts of the State of Victoria, and the Courts of Appeal from them.

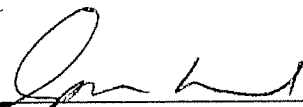
EXECUTED AS A DEED

SIGNED, SEALED AND DELIVERED by
Richard Alexander Evans


Signature

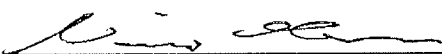
26 April 2012
Date

In the presence of:


Signature of witness


Greene Mould
Name of witness

SIGNED, SEALED AND DELIVERED by
Nino Malic


Signature

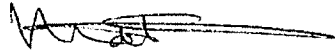
26.4.2012.
Date

In the presence of:


Signature of witness

DANIEL KEDDIE
26/4/2012
Name of witness


EXECUTED AS A DEED for an on behalf of
Commonwealth Scientific and Industrial
Research Organisation by its duly authorised
officer


Signature of officer

SWEE L. MAIK
Name of officer

Director FMR
Position of officer

In the presence of:


Signature of witness

PATRICIA KUBILUNAS
Name of witness

30-4-2012
Date