PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
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EPAS ID: PAT2615351

SUBMISSION TYPE:			NEW ASSIGNMENT			
NATURE OF CONVEYANCE:			ASSIGNMENT			
CONVEYING PARTY DATA						
	Name Execution Date					
TAO XU 10/31/2013						
RECEIVING PARTY DATA						
Name:	Board of Trustees of Northern Illinois University					
Street Address:	Altgeld Hall 330					
City:	DeKalb					
State/Country:	ILLINOIS					
Postal Code:	60115					
PROPERTY NUMBERS Total: 1						
Property Type			Number			
Application Number: 139724		139724	147			
CORRESPONDENCE DATA						
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Address Line 4: CHICAGO, ILLINOIS 60661						
ATTORNEY DOCKET NUMBER:			NIR01-013-US			
NAME OF SUBMITTER:			FRANK R. COZZI			
Signature:			/FRC/			
Date:			11/14/2013			
			This document serves as an Oath/Declaration (37 CFR 1.63).			
Total Attachments: 1 source=NIR01-013-US EXECUTED DECL AND ASGN#page1.tif						

DECLARATION AND ASSIGNMENT DECLARATION

The below-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

ASSIGNMENT

WHEREAS, Tao Xu referred to as ASSIGNOR, has invented a certain invention entitled ELECTROCHROMIC DEVICE HAVING THREE-DIMENSIONAL ELECTRODE, filed on August 21, 2013 and assigned Application Serial No 13/972,447; and

WHEREAS, Board of Trustees of Northern Illinois University, a body corporate and politic, with a principal place of business at Altgeld Hall 330, DeKalb, IL 60115, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in, to and under said invention and the United States Letters Patent to be obtained therefore.

NOW THEREFORE, TO ALL WHOM IT MAY CONCERN:

Be it known that for good and valuable consideration, the receipt of which is hereby acknowledged, the ASSIGNORS hereby sell, assign and transfer to ASSIGNEE the full and exclusive right, title and interest to said invention and all Letters Patent of the United States to be obtained therefor on said applications or any non-provisional, continuation, division, renewal, substitute or reissue thereof, as well as any and all provisional applications from which said applications claim priority, for the full term or terms for which the same may be granted.

ASSIGNORS also assigns all of its rights, title, and interest in and to said invention, the worldwide right to file applications for Letters Patent for said invention, and all applications for Letters Patent for said invention, which may evolve therefrom, including the right to claim International Convention priority.

ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale.

ASSIGNORS further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said applications, said invention and said Letters Patent as may be known and accessible to ASSIGNORS, and ASSIGNORS will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain and enforce said applications, said invention and said Letters Patent which may be necessary or desirable to carry out the purposes hereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known, and correct any minor typographical errors.

DATE

STATE OF /

COUNTY OF

A Market A State aforesaid, do hereby certify that Tao Xu, personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that (s)he signed, sealed and delivered the said instrument as his/her free and voluntary act for the uses and purposes therein set forth.

IN WITNESS WHEREOF, I have hereunto set my hand and Notarial Seal, this 🖉 day of _________ day of _______ 2013.

SS.

(SEAL)

My Commission Expires:

SEND CORRESPONDENCE AND DIRECT TELEPHONE CALLS TO:

Evan Law Group LLC 600 West Jackson, Suite 625 Chicago, Illinois 60661 (312)-876-1400 Customer Number 43320

RECORDED: 11/14/2013

PATENT REEL: 031603 FRAME: 0539