## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2

EPAS ID: PAT2615423

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	CHANGE OF NAME

## **CONVEYING PARTY DATA**

Name	Execution Date
DOW GLOBAL TECHNOLOGIES INC.	12/31/2010

## **RECEIVING PARTY DATA**

Name:	DOW GLOBAL TECHNOLOGIES LLC		
Street Address:	2040 DOW CENTER		
City:	MIDLAND		
State/Country:	MICHIGAN		
Postal Code:	48674		

#### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	12668902

## **CORRESPONDENCE DATA**

 Fax Number:
 (989)636-3237

 Phone:
 9896360618

 Email:
 roedel@dow.com

Correspondence will be sent via US Mail when the email attempt is unsuccessful.

Correspondent Name: MATTHEW ROEDEL Address Line 1: 2040 DOW CENTER

Address Line 4: MIDLAND, MICHIGAN 48674

ATTORNEY DOCKET NUMBER:	66114B-US-PCT	
NAME OF SUBMITTER:	MATTHEW ROEDEL	
Signature:	/Matthew Roedel/	
Date:	11/14/2013	

Total Attachments: 12

PATENT REEL: 031603 FRAME: 0817 H \$40.00

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This Assignment 440 is in the ENGLISH language and has the same meaning in other languages with the same 440 number.

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This Assignment 440 is in the ENGLISH language and has the same meaning in other languages with the same 440 number. FORM 440

## **ASSIGNMENT**

(with Priority Rights)

## INVENTORSHIP DECLARATION

We, ASSIGNOR, of:

THE DOW CHEMICAL COMPANY

2030 Dow Center

Midland, Michigan 48674

· UNITED STATES OF AMERICA

a corporation organized and existing under the laws of the State of Delaware, United States of America do hereby claim and declare that we hold the invention and all patent and other rights and powers obtainable or exercisable in respect thereof from the sole, true and first inventor(s):

Martin R. Greaves, 11320 Sunlit Bay Drive, Pearland, Texas 77584, United States of America

of an invention relating to and entitled:

## WATER-GLYCOL HYDRAULIC FLUID COMPOSITIONS

We do hereby declare, for good and valuable consideration assign, and transfer unto:

received, sell,

WE, the ASSIGNEE:

DOW GLOBAL TECHNOLOGIES INC.

2040 Dow Center Midland, Michigan 48674

UNITED STATES OF AMERICA

a corporation organized and existing under the laws of the State of Delaware, United States of America our entire right, title
and interest throughout the world, in and to the invention and all rights and powers to make application for Letters Patent in its
own name, or in the name of its nominee; and all rights and powers to file any reissue, divisional, continuing or substitute
application and any corresponding applications in any and all countries, including all rights to claim priority under all international Conventions and Treatics in the name of said Assignee, and do hereby authorize said Assignee to insert here the
Priority Number 60/961,007 and Filing Date July 18, 2007
in the United States of America
of the application on which the priority will be based; and in and to any Letters Patent that may be issued for said invention in
any and all countries; and we do hereby agree to assist said Assignce in the prosecution of the said applications and in any
interference, conflict, opposition or litigation which may arise involving said invention or the patent or patents thereon, and to
execute any reissue, divisional, continuing or substitute application and assent to their making any applications in other
countries corresponding to the above and below specifically identified application, as may be requested by said Assignee; and
we do hereby authorize said Assignee to insert here the
Corresponding Application Noand Filing Date
in
of the corresponding application in any country where that patent property must be entered for recordal of this Assignment; and we do hereby authorize and request that any such Letters Patent be issued to said Assignee in accordance herewith, and consent to the recordal of this Assignment being under covenant, not only that we have full power to make the same, but also that such assigned rights are not encumbered by any grant, license, or other right heretofore given.
Subscribed and Sworn by us at Midland, Michigan 48674, USA
this 31 day of October 20 07
THE DOW CHEMICAL COMPANY
CORP.
SEAL BY Minhad Lleyn
MICHAEL L. GLENN
Authorized Representative

Attach Notarial Certificate (Corporation)

Attach this Assignee Acceptance 660 to an ASSIGNMENT in the English longuage

This Notarial Certificate 550 is in the ENGLISH language and has the same meaning in other languages with the same 550 number. FORM 550

## NOTARIAL CERTIFICATE (Corporation)

UNITED STATES OF AMERICA	)
STATE OF MICHIGAN	) SS
County/Parish of Midland	)
21 24/	
On this <u>31</u> day of <u>October</u>	, 20 <u>7</u> , personally appeared
before me, MICHAEL L. GLENN, Autl	horized Representative,
	al described in and who executed the foregoing document
	d deed for uses and purposes therein expressed by authority
	he laws of the State of Delaware, United States of
America.	
further certify that said authority, dated Fe	hruary 9, 2006, is based on

A Resolution of the Board of Directors of the said Corporation,

which I have seen, appointing the said individual Attorney-in-fact with full power to sign the foregoing document on behalf of the said corporation and that the purposes for which said document is granted are within the scope of the objects or activities of said corporation,

SEAL

Kathy Sue Wertz
NOTARY PUBLIC, MIDLAND COUNTY, MICHIGAN
MY COMMISSION EXPIRES APRIL 07, 2012

●660 ● 660

This Assignce Acceptance 660 is in the ENGLISH language and has the same meaning in other languages with the same 660 number FORM 660

## ASSIGNEE ACCEPTANCE

WE, the ASSIGNEE:

DOW GLOBAL TECHNOLOGIES INC.

2040 Dow Center

Midland, Michigan 48674

UNITED STATES OF AMERICA

hereby accept the ASSIGNMENT attached hereto which is dated:

October 31, 2007

From, the ASSIGNOR:

THE DOW CHEMICAL COMPANY

2030 Dow Center

Midland, Michigan 48674

UNITED STATES OF AMERICA

of the invention entitled:

#### WATER-GLYCOL HYDRAULIC FLUID COMPOSITIONS

without any restrictions and with all rights and obligations deriving therefrom and declare that this confirmation of acceptance shall be deemed a part of the said ASSIGNMENT.

•	•
Signed at Midla	nd, Michigan 48674, USA
this day of _	November, 20 07
CORP. SEAL	DOW GLOBAL TECHNOLOGIES INC.  By: AM & New Manner of the Control o
	JOHN B. TREANGEN
	Authorized Representative

## NOTARIAL CERTIFICATE (Corporation)

UNITED STATES OF AMERICA )	
STATE OF MICHIGAN ) SS	
County/Parish of Midland )	
On this <u>2</u> day of <i>November</i>	
before me, JOHN B. TREANGEN, Authorized Re	presentative,
known or identified to me to be the individual described	<b>~ ~ ~</b>
and acknowledged the same as a free act and deed for u	
of the said corporation incorporated under the laws of t	he State of Delaware, United States of
America.	

I further certify that said authority, dated August 30, 2007, is based on

A delegation of signature authority of the said Corporation,

which I have seen, appointing the said individual **Attorney-in-fact** with full power to sign the foregoing document on behalf of the said corporation and that the purposes for which said document is granted are within the scope of the objects or activities of said corporation.

**SEAL** 

Notary Public

Kathy Sue Wertz

NOTARY PUBLIC, MIDLAND COUNTY, MICHIGAN
MY COMMISSION EXPIRES APRIL 07, 2012

TO: MONICA RUBIO COMPANY: 2301 N. BRAZOSPORT BLVD.



## UNITED STATES PATENT AND TRADEMARK OFFICE

## **Facsimile Transmission**

To:

Name:

MONICA RUBIO

Company:

2301 N. BRAZOSPORT BLVD.

Fax Number:

19792380878

Voice Phone:

From:

Name:

ASSIGNMENT SERVICES BRANCH

Voice Phone:

571-272-3350

37 C.F.R. 1.6 sets forth the types of correspondence that can be communicated to the Patent and Trademark Office via facsimile transmissions. Applicants are advised to use the certificate of facsimile transmission procedures when submitting a reply to a non-final or final Office action by facsimile (37 CFR 1.8(a)).

## Fax Notes:

Pg# Description

1 Cover Page

2 231.TXT

4 Document 1, Batch 2096890

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Date and time of transmission: Tuesday, July 06, 2010 7:46:24 PM

Number of pages including this cover sheet: 04

TO: MONICA RUBIO COMPANY: 2301 N. BRAZOSPORT BLVD.



## UNITED STATES PATENT AND TRADEMARK OFFICE

## **Facsimile Transmission**

To:

Name:

MONICA RUBIO

19792380878

Company:

2301 N. BRAZOSPORT BLVD.

Fax Number: Voice Phone:

From:

Name:

ASSIGNMENT SERVICES BRANCH

Voice Phone:

571-272-3350

37 C.F.R. 1.6 sets forth the types of correspondence that can be communicated to the Patent and Trademark Office via facsimile transmissions. Applicants are advised to use the certificate of facsimile transmission procedures when submitting a reply to a non-final or final Office action by facsimile (37 CFR 1.8(a)).

## Fax Notes:

Pg#	Description
1	Cover Page
2	231.TXT
4	Document 1, Batch 2096890

USPTO ASSIGNMENT SYSTEM PROCESSING

Date and time of transmission: Tuesday, July 06, 2010 7:46:24 PM

Number of pages including this cover sheet: 04

7/6/2010 7:46:42 PM PAGE 2/004 Fax Server

TO: MONICA RUBIO COMPANY: 2301 N. BRAZOSPORT BLVD.



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE



JULY 06, 2010

PTAS

\*501223895A\*

MONICA RUBIO 2301 N. BRAZOSPORT BLVD. B-1211 FREEPORT, TX 77541

> UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 07/06/2010

REEL/FRAME: 024635/0935

NUMBER OF PAGES: 4

BRIFF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

DOCKET NUMBER: 66114A

ASSIGNOR:

THE DOW CHEMICAL COMPANY

DOC DATE: 10/31/2007

ASSIGNEE:

DOW GLOBAL TECHNOLOGIES INC. 2040 DOW CENTER

MIDLAND, MICHIGAN 48674

SERIAL NUMBER: 12668902

FILING DATE: 01/13/2010

PATENT NUMBER: ISSUE DATE: TITLE: WATER-GLYCOL HYDRAULIC FLUID COMPOSITIONS

**PATENT** 

P.O. Box 1450, Alexandria, Virginia 22313-1450 - www.usp REEL: 031603 FRAME: 0824

USPTO

7/6/2010 7:46:42 PM PAGE 3/004 Fax Server

TO: MONICA RUBIO COMPANY: 2301 N. BRAZOSPORT BLVD.

024635/0935 PAGE 2

ASSIGNMENT SERVICES BRANCH PUBLIC RECORDS DIVISION

**PATENT** 

**REEL: 031603 FRAME: 0825** 

PAGE

4/004

Fax Server

TO: MONICA RUBIO COMPANY: 2301 N. BRAZOSPORT BLVD.

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Electronic Version v1.1 Stylesheet Version v1.1

07/06/2010 501223895

SUBMISSION TYPE:

**NEW ASSIGNMENT** 

NATURE OF CONVEYANCE:

**ASSIGNMENT** 

#### CONVEYING PARTY DATA

Name	Execution Date
The Dow Chemical Company	

#### RECEIVING PARTY DATA

Name:	Dow Global Technologies Inc.	
Street Address:	2040 Dow Center	
City:	Midland	
State/Country:	MICHIGAN	
Postal Code:	48674	

## **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	12668902

## **CORRESPONDENCE DATA**

Fax Number:

(979)238-0878

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Phone:

9792382458

Email:

MRubio@dow.com

Correspondent Name:

Monica Rubio

Address Line 1:

2301 N. Brazosport Blvd.

Address Line 2:

B-1211

Address Line 4:

Freeport, TEXAS 77541

ATTORNEY DOCKET NUMBER:

66114A

NAME OF SUBMITTER:

Monica Rubio

Total Attachments: 3

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PATENT

**REEL: 031603 FRAME: 0826** 

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## The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE DO HEREBY CERTIFY THAT THE ATTACHED IS A TRUE AND CORRECT COPY OF CERTIFICATE OF FORMATION OF "DOW GLOBAL TECHNOLOGIES LLC" FILED IN THIS OFFICE ON THE TWENTY-THIRD DAY OF DECEMBER, A.D. 2010, AT 10:40 O'CLOCK A.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF FORMATION IS THE THIRTY-FIRST DAY OF DECEMBER, A.D. 2010.

8100V

101227862

You may verify this certificate online at corp. delaware.gov/authwer.shtml

Jeffrey W. Bullock, Secretary of State AUTHENTICATION: 8457755

DATE: 12-29-10

State of Delaware Secretary of State Division of Corporations Delivered 10:40 AM 12/23/2010 FILED 10:40 AM 12/23/2010 SRV 101227862 - 2332072 FILE

To: Fax Server

## Certificate of Formation

of

## Dow Global Technologies LLC

This Certificate of Formation of Dow Globul Technologies LLC has been duly executed und is being filed by Noreen D. Warrick, as an authorized representative, to form a limited liability company under the Delaware Limited Liability Company Act (6 <u>Del.C.</u>§18-101, <u>ct seq.</u>).

The name of the limited liability company is:

## Dow Global Technologies LLC

- 2. The address of the limited liability company's registered office in the State of Delaware is Corporation Trust Center, 1209 Orange Street, in the City of Wilmington, County of New Castle. The name of the limited liability company's registered agent for service of process in the State of Delaware at such address is the Corporation Trust Company.
- The effective date for the formation of this limited liability company is December 31, 2010.

IN WITNESS WHEREOF, the undersigned has executed this Certificate of Formation of Dow Global Technologies LLC this 22 day of December, 2010.

Norcen D. Warrick

Authorized Representative

Moreen Drianuck

# Delaware

PAGE 1

## The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF
DELAWARE DO HEREBY CERTIFY THAT THE ATTACHED IS A TRUE AND
CORRECT COPY OF THE CERTIFICATE OF CONVERSION OF A DELAWARE
CORPORATION UNDER THE NAME OF "DOW GLOBAL TECHNOLOGIES INC." TO
A DELAWARE LIMITED LIABILITY COMPANY, CHANGING ITS NAME FROM
"DOW GLOBAL TECHNOLOGIES INC." TO "DOW GLOBAL TECHNOLOGIES LLC",
FILED IN THIS OFFICE ON THE TWENTY-THIRD DAY OF DECEMBER, A.D.
2010, AT 10:40 O'CLOCK A.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF CONVERSION IS THE THIRTY-FIRST DAY OF DECEMBER, A.D. 2010.

2332072 8100V

101227862

You may verify this certificate online at corp.delaware.gov/authver.shtml

AUTHENTY CATION: 8457755

DATE: 12-29-10

State of Delaware Secretary of State Division of Corporations Delivered 10:40 AM 12/23/2010 FILED 10:40 AM 12/23/2010 SEV 101227862 - 2332072 FILE

Certificate of Conversion
of

Dow Global Technologies Inc.
(a Delaware corporation)
to

Dow Global Technologies LLC

(a Delaware limited liability company)

Pursuant to Section 18-214 of the Limited Liability Company Act

Dow Global Technologies Inc., a Delaware corporation (the "Corporation"), files this Certificate of Conversion (the "Certificate") and hereby certifies the following:

- The jurisdiction where the Corporation was first formed is Delawate.
- The jurisdiction immediately prior to filing this Certificate is Delaware.
- The date the Corporation was first formed is April 6, 1993.
- The name of the Corporation immediately prior to filling this Certificate is Dow Global Technologies Inc.
- 5. The name of the limited liability company as set forth in the Certificate of Formation is Dow Global Technologies LLC.
- 6. The future effective date of the conversion to a limited liability company is December 31, 2010.

IN WITNESS WHEREOF, the undersigned has executed this Certificate on the 23 day of December, 2010.

Dow Global Technologies Inc.

Name: Noreen D. Warrick

Title: Secretary

PATENT

REEL: 031603 FRAME: 0830