

## PATENT ASSIGNMENT COVER SHEET

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EPAS ID: PAT2615750

SUBMISSION TYPE:	NEW ASSIGNMENT														
NATURE OF CONVEYANCE:	ASSIGNMENT														
<b>CONVEYING PARTY DATA</b>															
<table border="1"> <thead> <tr> <th>Name</th> <th>Execution Date</th> </tr> </thead> <tbody> <tr> <td>TOMOAKI YAMASAKI</td> <td>10/28/2013</td> </tr> <tr> <td>SHINYA OKAZAKI</td> <td>10/28/2013</td> </tr> <tr> <td>HIROKI OKAZAKI</td> <td>10/28/2013</td> </tr> <tr> <td>SHIGEKI HAMAMOTO</td> <td>10/28/2013</td> </tr> <tr> <td>YUKI HAYASHI</td> <td>10/28/2013</td> </tr> <tr> <td>MINORU IWATA</td> <td>10/28/2013</td> </tr> </tbody> </table>		Name	Execution Date	TOMOAKI YAMASAKI	10/28/2013	SHINYA OKAZAKI	10/28/2013	HIROKI OKAZAKI	10/28/2013	SHIGEKI HAMAMOTO	10/28/2013	YUKI HAYASHI	10/28/2013	MINORU IWATA	10/28/2013
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YUKI HAYASHI	10/28/2013														
MINORU IWATA	10/28/2013														
<b>RECEIVING PARTY DATA</b>															
Name:	SUMITOMO SEIKA CHEMICALS CO., LTD.														
Street Address:	346-1, MIYANISHI, HARIMA-CHO, KAKO-GUN														
City:	HYOGO														
State/Country:	JAPAN														
Postal Code:	675-0145														
Name:	ADVANCED SOFTMATERIALS INC.														
Street Address:	4-6, KASHIWANOHA 5-CHOME														
City:	KASHIWA-SHI, CHIBA														
State/Country:	JAPAN														
Postal Code:	277-0882														
<b>PROPERTY NUMBERS Total: 1</b>															
<table border="1"> <thead> <tr> <th>Property Type</th> <th>Number</th> </tr> </thead> <tbody> <tr> <td>Application Number:</td> <td>14004839</td> </tr> </tbody> </table>		Property Type	Number	Application Number:	14004839										
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Application Number:	14004839														
<b>CORRESPONDENCE DATA</b>															
Fax Number:	(612)455-3801														
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*Correspondence will be sent via US Mail when the email attempt is unsuccessful.*

Correspondent Name: HAMRE, SCHUMANN, MUELLER & LARSON, P.C.  
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ATTORNEY DOCKET NUMBER:	20162.0072USWO
NAME OF SUBMITTER:	CURTIS B. HAMRE
Signature:	/Curtis B. Hamre/
Date:	11/14/2013

Total Attachments: 4  
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Docket No. 20162.0072USWO

**ASSIGNMENT OF PATENT APPLICATION**

WHEREAS,

- 1. Tomoaki YAMASAKI residing at c/o Sumitomo Seika Chemicals Co., Ltd., 1, Irifune-cho, Shikama-ku, Himeji-shi, Hyogo 672-8076, Japan and a citizen of Japan
- 2. Shinya OKAZAKI residing at c/o Sumitomo Seika Chemicals Co., Ltd., 1, Irifune-cho, Shikama-ku, Himeji-shi, Hyogo 672-8076, Japan and a citizen of Japan
- 3. Hiroki OKAZAKI residing at c/o Sumitomo Seika Chemicals Co., Ltd., 1, Irifune-cho, Shikama-ku, Himeji-shi, Hyogo 672-8076, Japan and a citizen of Japan
- 4. Shigeki HAMAMOTO residing at c/o Sumitomo Seika Chemicals Co., Ltd., 1, Irifune-cho, Shikama-ku, Himeji-shi, Hyogo 672-8076, Japan and a citizen of Japan
- 5. Yuki HAYASHI residing at c/o Advanced Softmaterials Inc., 4-6, Kashiwanoha 5-chome, Kashiwa-shi, Chiba 277-0882, Japan and a citizen of Japan
- 5. Minoru IWATA residing at c/o Advanced Softmaterials Inc., 4-6, Kashiwanoha 5-chome, Kashiwa-shi, Chiba 277-0882, Japan and a citizen of Japan

(hereinafter called "THE UNDERSIGNED") have made an invention and have executed an application for Letters Patent of the United States for this invention which was filed on \_\_\_\_\_ entitled PRODUCTION METHOD FOR POWDERED HYDROPHILIC MODIFIED POLYROTAXANE, and which has been given application serial number \_\_\_\_\_;

and

WHEREAS,

1. Sumitomo Seika Chemicals Co., Ltd. a corporation organized under the laws of Japan and having an office and place of business at 346-1, Miyanishi, Harima-cho, Kako-gun, Hyogo 675-0145, Japan

and

2. Advanced Softmaterials Inc. a corporation organized under the laws of Japan and having an office and place of business at 4-6, Kashiwanoha 5-chome, Kashiwa-shi, Chiba 277-0882, Japan

wishes to acquire the entire right, title and interest in and to said invention and patent application and any Letters Patent to be obtained therefor;

NOW, THEREFORE, for and in consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, THE UNDERSIGNED hereby sell, assign, and transfer to the ASSIGNEE, its successors, legal representative and assigns, the entire right, title and interest for the United States in and to the invention disclosed in said application, and in and to said

application, as well as to all divisions, continuations, or renewals thereof, all Letters Patent which may be granted there from, and all reissues or extensions of such patents, and THE UNDERSIGNED hereby authorize and request the Commissioner of Patents of the United States to issue all Letters Patent for said invention to the ASSIGNEE of the entire right, title and interest in and to the same, in accordance with the terms of this instrument.

THE UNDERSIGNED hereby agree that THE UNDERSIGNED, their executors and legal representatives will make, execute and deliver (without charge but at the expense of the ASSIGNEE) any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said ASSIGNEE, its successors and representatives all facts known to the UNDERSIGNED relating to said invention and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable to vest the ASSIGNEE, its successors or assigns, the entire right, title and interest in and to the said invention, patent applications, Letters Patent, rights, titles, benefits, privileges, and advantages hereby sold, assigned and conveyed, or intended so to be.

THE UNDERSIGNED represent and agree with said ASSIGNEE, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the UNDERSIGNED, and that full right to convey the same as herein expressed is possessed by the UNDERSIGNED.

IN TESTIMONY WHEREOF, THE UNDERSIGNED have hereunto set their hand on the dates indicated below.

Tomoaki YAMASAKI OCT. 28. 2013  
1. Tomoaki YAMASAKI Date

Shinya Okazaki OCT. 28. 2013  
2. Shinya OKAZAKI Date

Hiroki Okazaki. OCT. 28. 2013  
3. Hiroki OKAZAKI Date

Shigeki HAMAMOTO OCT. 28. 2013  
4. Shigeki HAMAMOTO Date

Yuki Hayashi \_\_\_\_\_  
5. Yuki Hayashi Date

Minoru IWATA \_\_\_\_\_  
6. Minoru IWATA Date

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2. Shinya OKAZAKI Date

3. Hiroki OKAZAKI Date

4. Shigeki HAMAMOTO Date

*Yuki Hayashi* OCT. 28, 2013

5. Yuki Hayashi Date

*Minoru Iwata* OCT. 28, 2013

6. Minoru IWATA Date