

Form PTO-1595 (Rev. 06-12)  
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U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office

## RECORDATION FORM COVER SHEET

## PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

## 1. Name of conveying party(ies)

STICHTING LEVERONDERZOEK

## 2. Name and address of receiving party(ies)

Name: Melbourne Health

Internal Address: ABN 73 802 706 972 of Level 8 South

The Royal Melbourne Hospital

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance/Execution Date(s):

Execution Date(s) May 2, 2013 (Stichting Leveronderzoek);  
May 29, 2013 (Melbourne Health)

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

Street Address: 300 Grattan Street

City: Parkville

State: Victoria

Country: Australia Zip: 3050

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application or patent number(s):

A. Patent Application No.(s)

☐ This document serves as an Oath/Declaration (37 CFR 1.63).

B. Patent No.(s) 6,555,311 (reissued as RE40,233)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address to whom correspondence concerning document should be mailed:

Name: David Bradin

HULTQUIST IP

Internal Address: \_\_\_\_\_

Street Address: P.O. Box 14329

City: Research Triangle Park

State: NC Zip: 27709

Phone Number: (919) 419-9350

Docket Number: 4515-100

Email Address: hip@hultquistip.com

6. Total number of applications and patents involved: 17. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged to deposit account  
☒ Enclosed  
☐ None required (government interest not affecting title)

## 8. Payment Information

Deposit Account Number \_\_\_\_\_

Authorized User Name \_\_\_\_\_

## 9. Signature: \_\_\_\_\_

Signature

August 28, 2013

Date

David Bradin

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

4

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

OP \$40.00 655531

**ASSIGNMENT****WHEREAS,**

Stichting Leveronderzoek, c/o Academic Hospital Rotterdam, Department of Hepatology and Gastroenterology, Room CA 326, Dr. Molewaterplein 40, 3015 GD Rotterdam, The Netherlands, ("Assignor"), may own certain rights in U.S. Patent No. 6,555,311 (re-issued as RE40,233), and related foreign applications, by virtue of an assignment by Academic Hospital Rotterdam of Dr. Molewaterplein 40, 3015 GD Rotterdam, The Netherlands, purporting to assign the rights of inventor Dr. Robert A De Man to PCT/AU97/00520 and related patents and patent applications, to which RE40,233 claims priority (the "IP Portfolio"), in an undated assignment believed to have been signed in 2001; and

Melbourne Health ABN 73 80 2706 972 of Level 8 South, The Royal Melbourne Hospital, 300 Grattan Street, Parkville, Victoria 3050, Australia ("Assignee"), may also own, by assignment, certain rights in U.S. Patent No. 6,555,311 (re-issued as RE40,233), and related national stage applications, by virtue of an assignment by Inventors Locarnini, Bartholomeusz, Aye and de Man to Western Health Care Network recorded on July 20, 1999 at Reel/Frame 010105/0248, as well as a name change from Western Health Care Network to North Western Health Care Network, recorded at Reel/Frame 015788/0698, and a name change from North Western Health Care Network to Melbourne Health, recorded at Reel/Frame 015718/0117.

Assignee desires to acquire whatever rights, title, and interest Assignor may have in and to the IP Portfolio.

NOW THEREFORE, be it known that, for good and valuable consideration, receipt of which is hereby acknowledged, Assignor hereby sells, assigns, transfers, and sets over to Assignee, its lawful successors and assigns, whatever rights, title and interest Assignor may have in and to the IP Portfolio, all inventions described in the individual patents and patent applications in the IP Portfolio ("Inventions"), any other patent application(s) directed to the Inventions, all Letters Patent of the United States of America that may be granted thereon, all reissues, reexaminations, and extensions thereof; all rights to claim priority on the basis of such application, all applications for Letters Patent that may be filed for the Inventions in any foreign country and all Letters Patent that may be granted on the Inventions in any foreign country, and all extensions, renewals, and reissues thereof.

Assignor hereby authorizes and requests the Commissioner of Patents and Trademarks of the United States of America and any official of any foreign country whose duty it is to issue patents on applications as described above, to issue all Letters Patent for the inventions to Assignee, its successors and assigns, in accordance with the terms of this Assignment.

AND, Assignor hereby further covenants that Assignor has the full right to convey the interest assigned by this Assignment, Assignor will take all action and execute all documents necessary to perfect the interest assigned hereby, and Assignor has not executed and will not execute any agreement in conflict with this Assignment;

AND, Assignor hereby further covenants and agrees that Assignor, through its officers and employees, will communicate with Assignee, its successors and assigns, any facts known to Assignor and its officers and employees respecting the inventions and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver all papers that may be necessary or desirable to perfect the title to the inventions in said Assignee, its successors and assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths, and generally do everything reasonable to aid Assignee, its successors and assigns, to obtain and enforce proper patent protection for the inventions in the United States of America and any foreign country, it being understood that any expense incident to the execution of such papers shall be borne by Assignee, its successors and assigns.

IN TESTIMONY WHEREOF, each party has caused its authorized representative to execute this Assignment.

Stichting Levensonderzoek

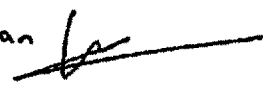
By: 

Date: 2/5/2013

Melbourne Health (ABN 73 80 2706 972)

By: 

Date: 29-May-2013

RA de man 

010513

Dr. R.A. de Man  
Maag-, Darm-, Leverarts

GARETH GOODIER, CEO

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PATENT

RECORDED: 11/04/2013

REEL: 031617 FRAME: 0890