## 502572339 11/18/2013

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2

EPAS ID: PAT2618217

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	ASSIGNMENT	

## **CONVEYING PARTY DATA**

Name	Execution Date
GERHARD RANDERS-PEHRSON	10/31/2013
DAVID JONATHAN BRENNER	11/09/2013
ALAN BIGELOW	11/01/2013

## **RECEIVING PARTY DATA**

Name:	THE TRUSTEES OF COMUMBIA UNIVERSITY IN THE CITY OF NEW YORK	
Street Address:	412 LOW MEMORIAL LIBRARY	
Internal Address:	535 WEST 116TH STREET	
City:	NEW YORK	
State/Country:	NEW YORK	
Postal Code:	10027	

# PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	14021631

## **CORRESPONDENCE DATA**

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ATTORNEY DOCKET NUMBER:	219262WOUS04-486328-135	
NAME OF SUBMITTER:	GARY ABELEV	
Signature:	/s/ Gary Abelev	
	PATENT	

502572339 REEL: 031619 FRAME: 0753

DP \$40,00 14021631

Date:	11/18/2013
Total Attachments: 5 source=486328-135 Assignment#page1.tif source=486328-135 Assignment#page2.tif source=486328-135 Assignment#page3.tif source=486328-135 Assignment#page4.tif source=486328-135 Assignment#page5.tif	

PATENT REEL: 031619 FRAME: 0754

# ASSIGNMENT

WHEREAS, WE,

#### **GERHARD RANDERS-PEHRSON**

113 Hawkes Avenue Ossining, New York 10562

Citizenship: United States of America

# DAVID JONATHAN BRENNER

139 West 82<sup>nd</sup> Street, Apt. 9G New York, New York 10024

Citizenship: United Kingdom

#### **ALAN BIGELOW**

97 Washington Avenue Hastings-on-Hudson, New York 10706

Citizenship: United States of America

have made an invention entitled "APPARATUS, METHOD AND SYSTEM FOR SELECTIVELY EFFECTING AND/OR KILLING BACTERIA", as set forth and described in U.S. Non-Provisional Patent Application No. 14/021,631 filed September 9, 2013 and in International Patent Application No. PCT/US2012/122210 which was filed on March 7, 2012 and in U.S. Provisional Patent Application No. 61/450,038 filed March 7, 2011 with the United States Patent and Trademark Office; and

WHEREAS, THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK, a not-for-profit organization having an office for the transaction of business at 412 Low Memorial Library, 535 West 116<sup>th</sup> Street, New York, New York 10027, is desirous of acquiring our entire right, title and interest in and to said invention, and in and to the said application for Letters Patent therefor, and any Letters Patent which may be obtained therefor;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN, BE IT KNOWN, that WE, the GERHARD RANDERS-PEHRSON, DAVID JONATHAN BRENNER and ALAN BIGELOW for and in consideration of the sum of One Dollar (\$1.00), lawful money of the United States, to us in hand paid by said THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK, and other valuable considerations unto us moving from said THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK, at or before the ensealing and delivery of these presents, the receipt of which is hereby acknowledged, have sold, assigned, transferred and conveyed and by these presents do sell, assign, transfer and convey, unto said THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK,

its successors and assigns, the entire right, title and interest in and to the said invention entitled "APPARATUS, METHOD AND SYSTEM FOR SELECTIVELY EFFECTING AND/OR KILLING BACTERIA", as set forth and described in U.S. Non-Provisional Patent Application No. 14/021,631 filed September 9, 2013 and in International Patent Application No. PCT/US2012/122210 which was filed on March 7, 2012 and in U.S. Provisional Patent Application No. 61/450,038 filed March 7, 2011 with the United States Patent and Trademark Office, and in and to said application and any application claiming priority therefrom, and any non-provisional application, division, continuation or continuation-in-part thereof, and in and to any Letters Patent of the United States which may be issued on any of said applications, and any reissues thereof, and in and to any and all applications for Letters Patent filed in foreign countries for said invention, including all priority rights under the Paris Convention, and any and all Letters Patent which may be granted in foreign countries therefor, TO HAVE AND TO HOLD THE SAME to the full end of the term or terms for which any and all said Letters Patent may be granted;

AND WE, the said GERHARD RANDERS-PEHRSON, DAVID JONATHAN BRENNER and ALAN BIGELOW, do hereby authorize and request the Commissioner of Patents and Trademarks to issue the said Letters Patent of the United States to said THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK, as the assignee of the entire right, title and interest in and to the same, for the sole use and benefit of said THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK, its successors and assigns;

AND WE, the said GERHARD RANDERS-PEHRSON, DAVID JONATHAN BRENNER and ALAN BIGELOW, for the considerations aforesaid, do hereby covenant and agree to and with said THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK, its successors and assigns, that we have the full power to make this assignment, and that the rights assigned are not encumbered by any grant, license or right heretofore given, and that we, our executors or administrators, shall and will do all lawful acts and things and make, execute and deliver without further compensation, any and all other instruments in writing, further applications, papers, affidavits, powers of attorney, assignments, and other documents which, in the opinion of counsel for said THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK, its successors and assigns, may be required or necessary to more effectively secure to and vest in said THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK, its successors and assigns, the entire right, title and interest in and to said invention, applications, Letters Patent, rights, benefits, privileges and advantages hereby sold, assigned, transferred and conveyed, and that we will sign any applications for reissue which may be desired by the owner of the patent or patents which may be issued for the said invention.

IN WITNESS WHEREOF, GERHARD RANDERS-PEHRSON, DAVID JONATHAN BRENNER and ALAN BIGELOW, have hereunto set our hands and seals on the date below written.

GERHARD RANDERS-PEHRSON

STATE OF M

COUNTY OF WEST CHETTERS

BE IT KNOWN, that on this 3 day of \_\_\_\_\_\_\_, 2013, before me personally came GERHARD RANDERS-PEHRSON, to me known and known to me to be the person mentioned in and who executed the foregoing assignment, and he acknowledged to me that he executed the same as his free act and deed for the use and purposes therein mentioned.

Notary Public

JOHN A PERRONE
NOTARY PUBLIC, STATE OF NEW YORK
NO. 01PE6130919
QUALIFIED IN WESTCHESTER COUNTY
MY COMMISSION EXPIRES JULY 25, 2017

Dal Brenner

David Jonathan Brenner

STATE OF NEWYOR )
COUNTY OF NEWYOR

BE IT KNOWN, that on this The day of Mornow, 2013, before me personally came DAVID JONATHAN BRENNER, to me known and known to me to be the person mentioned in and who executed the foregoing assignment, and he acknowledged to me that he executed the same as his free act and deed for the use and purposes therein mentioned.

Notary Public

STACEY NICHOLS Notary Public - State of New York NO. 01NI6286540 Qualified in Queens County My Commission Expires Jul 29, 2017

	Ā	Man Brewy
STATE OF	)	
COUNTY OF	;	
personally came AL	AN BIGELOW,	is day of <u>Nov.</u> , 2013, before me to me known and known to me to be the person oregoing assignment, and he acknowledged to me act and deed for the use and purposes therein

Notary Public

NOTARY PUBLIC, STATE OF NEW YORK
QUALIFIED IN WESTCHESTER COUNTY
REG. # 01PE6136586
MY COMM. EXP. NOV. 14, 2013

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mentioned.