

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT2619412

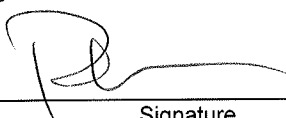
SUBMISSION TYPE:	NEW ASSIGNMENT												
NATURE OF CONVEYANCE:	ASSIGNMENT												
CONVEYING PARTY DATA													
<table border="1"> <thead> <tr> <th>Name</th> <th>Execution Date</th> </tr> </thead> <tbody> <tr> <td>RONNIE I. MIMRAN</td> <td>04/05/2013</td> </tr> <tr> <td>AMIE R. BORGSTROM</td> <td>03/12/2013</td> </tr> <tr> <td>MICHAEL P. WALLACE</td> <td>02/27/2013</td> </tr> <tr> <td>ROBERT GARABEDIAN</td> <td>02/27/2013</td> </tr> <tr> <td>ROY LEGUIDLEGUID</td> <td>02/28/2013</td> </tr> </tbody> </table>		Name	Execution Date	RONNIE I. MIMRAN	04/05/2013	AMIE R. BORGSTROM	03/12/2013	MICHAEL P. WALLACE	02/27/2013	ROBERT GARABEDIAN	02/27/2013	ROY LEGUIDLEGUID	02/28/2013
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RECEIVING PARTY DATA													
<table border="1"> <tr> <td>Name:</td> <td>Baxano, Inc.</td> </tr> <tr> <td>Street Address:</td> <td>655 River Oaks Parkway</td> </tr> <tr> <td>City:</td> <td>San Jose</td> </tr> <tr> <td>State/Country:</td> <td>CALIFORNIA</td> </tr> <tr> <td>Postal Code:</td> <td>95134</td> </tr> </table>		Name:	Baxano, Inc.	Street Address:	655 River Oaks Parkway	City:	San Jose	State/Country:	CALIFORNIA	Postal Code:	95134		
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PROPERTY NUMBERS Total: 1													
<table border="1"> <thead> <tr> <th>Property Type</th> <th>Number</th> </tr> </thead> <tbody> <tr> <td>Application Number:</td> <td>13757661</td> </tr> </tbody> </table>		Property Type	Number	Application Number:	13757661								
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Application Number:	13757661												
CORRESPONDENCE DATA													
Fax Number:	(650)212-7562												
Phone:	(650) 212-1700												
Email:	info@shayglenn.com												
<i>Correspondence will be sent via US Mail when the email attempt is unsuccessful.</i>													
Correspondent Name:	SHAY GLENN LLP												
Address Line 1:	2755 CAMPUS DRIVE, SUITE 210												
Address Line 4:	SAN MATEO, CALIFORNIA 94403												
ATTORNEY DOCKET NUMBER:	10376-731.501												
NAME OF SUBMITTER:	MARY BUGGIE												

Signature:	/Richard D. Shoop, Reg. No. 45,763/
Date:	11/18/2013
Total Attachments: 4 source=10376-731-501_Assignment#page1.tif source=10376-731-501_Assignment#page2.tif source=10376-731-501_Assignment#page3.tif source=10376-731-501_Assignment#page4.tif	

RECORDATION FORM COVER SHEET
PATENTS ONLY

PATENT AND TRADEMARK OFFICE

TO THE HONORABLE DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE. PLEASE RECORD THE ATTACHED ORIGINAL DOCUMENTS OR COPY THEREOF.

1. Name of conveying party(ies): (1) Ronnie I. Mimran (04/05/2013) (2) Amie R. Borgstrom (03/12/2013) (3) Michael P. Wallace (02/27/2013) (4) Robert Garabedian (02/27/2013) (5) Roy Leguidleguid (02/28/2013) Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		2. Name and address of receiving party(ies): Name: Baxano, Inc. 655 River Oaks Parkway San Jose, CA 95134	
3. Nature of Conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other		Name and address of receiving party(ies): Name: Street Address: City: State: Zip: Country: Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is:			
A. Patent Application No.(s) – 13/757,661 Title: TISSUE MODIFICATION DEVICES AND METHODS		B. Patent No.(s)	
Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
5. Name and address of party to whom correspondence concerning document should be mailed: Richard D. Shoop Shay Glenn LLP 2755 Campus Drive, Suite 210 San Mateo, CA 94403		6. Total number of applications and patents involved: <u>1</u>	
		7. Total fee (37 CFR 3.41): \$40.00 <input type="checkbox"/> A check is enclosed that includes the total fee. <input checked="" type="checkbox"/> Charge the \$40 fee to Deposit Account 50-4050.	
DO NOT USE THIS SPACE			
8. Statement and signature. <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i> Richard D. Shoop, Reg. No. 45,763  <u>November 18, 2013</u> Name of Person Signing Signature Date Total number of pages including cover sheet, attachments, and documents: 4			

ASSIGNMENT OF PATENT APPLICATION**Docket Number: 10376-731.501**

This Assignment of Patent Application is between: **Ronnie I. MIMRAN** of Diablo, CA; **Amie R. BORGSTROM** of San Francisco, CA; **Michael P. WALLACE** of Pleasanton, CA; **Robert GARABEDIAN** of Sunnyvale, CA; and **Roy LEGUIDLEGUID** of Union City, CA (hereinafter referred to as "Inventor") and **Baxano, Inc.**, a corporation of the State of Delaware, having a place of business at 655 River Oaks Parkway, San Jose, CA 95134 (hereinafter termed "Assignee").

WHEREAS Inventor has invented certain new and useful improvements in:

"TISSUE MODIFICATION DEVICES AND METHODS"

for which an application for the United States Patent was filed on **February 1, 2013**, as Application No. **13/757,661**.

WHEREAS, Assignee is desirous of acquiring the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered by said Inventor (all collectively hereinafter termed "said invention"), and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter termed "patents") thereon granted in the United States and foreign countries.

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Inventor to have been received in full from said Assignee:

1. Said Inventor does hereby sell, assign, transfer and convey unto said Assignee the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply for foreign patents on said invention pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all applications filed and any and all patents granted on said invention in the United States or any foreign country, including each and every application filed and each and every patent granted on any application which is a divisional, substitution, continuation, or continuation-in-part of any of said applications; and (d) in and to each and every reissue or extensions of any of said patents.


2. Said Inventor hereby covenants and agrees to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and foreign countries. Such cooperation by said Inventor shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of the said applications; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (d) for filing and prosecuting applications for reissuance of any said patents; (e) for interference or other priority proceedings involving said invention; and (f) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation reissues and reexaminations, opposition proceedings, cancellation proceedings, priority contest, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventor in providing such cooperation shall be paid for by said Assignee.

3. The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventor, his heirs, legal representatives and assigns.

4. Said Inventor hereby warrants and represents that he has not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

ASSIGNMENT OF PATENT APPLICATION**Docket Number: 10376-731.501**

IN WITNESS WHEREOF, said Inventor has executed and delivered this instrument to said Assignee:

4/5/13
Date
Ronni I. MIMBAN

Date

Amie R. BORGSTROM

Date

Michael P. WALLACE

Date

Robert GARABEDIAN

Date

Roy LEGUIDLEGUID

ASSIGNMENT OF PATENT APPLICATION**Docket Number: 10376-731.501**

IN WITNESS WHEREOF, said Inventor has executed and delivered this instrument to said Assignee:

Date

3/12/13

Date

2/22/13

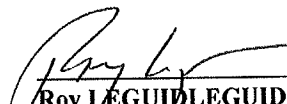
Date

2/27/13

Date

2/28/13

Date

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Roy LEGUIDLEGUID