

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT2619420

SUBMISSION TYPE:	NEW ASSIGNMENT														
NATURE OF CONVEYANCE:	ASSIGNMENT														
CONVEYING PARTY DATA															
<table border="1"> <thead> <tr> <th>Name</th> <th>Execution Date</th> </tr> </thead> <tbody> <tr> <td>MICHAEL FRIEDMAN</td> <td>11/14/2013</td> </tr> <tr> <td>LEE MASCHLER</td> <td>11/18/2013</td> </tr> <tr> <td>ERIK GORDON</td> <td>11/14/2013</td> </tr> <tr> <td>ALBI KAVO</td> <td>11/14/2013</td> </tr> <tr> <td>BENJAMIN PEIKES</td> <td>11/14/2013</td> </tr> <tr> <td>OLIVER DANIEL</td> <td>11/14/2013</td> </tr> </tbody> </table>		Name	Execution Date	MICHAEL FRIEDMAN	11/14/2013	LEE MASCHLER	11/18/2013	ERIK GORDON	11/14/2013	ALBI KAVO	11/14/2013	BENJAMIN PEIKES	11/14/2013	OLIVER DANIEL	11/14/2013
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<table border="1"> <tr> <td>Name:</td> <td>TRILLIUM LABS, LLC</td> </tr> <tr> <td>Street Address:</td> <td>417 Fifth Avenue</td> </tr> <tr> <td>Internal Address:</td> <td>6th Floor</td> </tr> <tr> <td>City:</td> <td>New York</td> </tr> <tr> <td>State/Country:</td> <td>NEW YORK</td> </tr> <tr> <td>Postal Code:</td> <td>10016</td> </tr> </table>		Name:	TRILLIUM LABS, LLC	Street Address:	417 Fifth Avenue	Internal Address:	6th Floor	City:	New York	State/Country:	NEW YORK	Postal Code:	10016		
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Street Address:	417 Fifth Avenue														
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PROPERTY NUMBERS Total: 1															
<table border="1"> <thead> <tr> <th>Property Type</th> <th>Number</th> </tr> </thead> <tbody> <tr> <td>Application Number:</td> <td>14083271</td> </tr> </tbody> </table>		Property Type	Number	Application Number:	14083271										
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Application Number:	14083271														
CORRESPONDENCE DATA															
Fax Number:	(212)294-4700														
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<i>Correspondence will be sent via US Mail when the email attempt is unsuccessful.</i>															
Correspondent Name:	WINSTON & STRAWN LLP - PEJMAN SHARIFI														
Address Line 1:	1700 K STREET N.W.														
Address Line 2:	PATENT DEPARTMENT														
Address Line 4:	WASHINGTON, DISTRICT OF COLUMBIA 200063817														
ATTORNEY DOCKET NUMBER:	86426-4099-PRIORITIZED EX														
PATENT															

NAME OF SUBMITTER:	PEJMAN SHARIFI
Signature:	/Pejman Sharifi/
Date:	11/18/2013
	This document serves as an Oath/Declaration (37 CFR 1.63).
Total Attachments: 6 source=86426-4099-Executed-AIA-Declaration-of-Inventorship-Assignment#page1.tif source=86426-4099-Executed-AIA-Declaration-of-Inventorship-Assignment#page2.tif source=86426-4099-Executed-AIA-Declaration-of-Inventorship-Assignment#page3.tif source=86426-4099-Executed-AIA-Declaration-of-Inventorship-Assignment#page4.tif source=86426-4099-Executed-AIA-Declaration-of-Inventorship-Assignment#page5.tif source=86426-4099-Executed-AIA-Declaration-of-Inventorship-Assignment#page6.tif	

DECLARATION OF INVENTORSHIP AND ASSIGNMENT

WHEREAS, **Michael FRIEDMAN, Lee MASCHLER, Erik GORDON, Albi KAVO, Benjamin PEIKES, and Oliver DANIEL**, the **ASSIGNOR** or each of which is an **ASSIGNOR**, hereby declares that:

(a) I have reviewed and understand the contents of the application described herein, including the claims. I believe that I am the original inventor or an original joint inventor of an invention claimed in **COMPLIANCE DATA MANAGEMENT SYSTEMS AND METHODS**, for which an application for a Patent of the United States, identified by Winston & Strawn LLP Docket No. 86426-4099 was filed on November 18, 2013 as Application No. 14/083,271.

(b) This application was made or authorized to be made by me.

(c) My legal name, citizenship and personal or employment mailing address appears below in the box which contains my signature.

(d) I acknowledge the duty to disclose information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

(e) If applicable, I hereby grant the USPTO authority to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), the World Intellectual Property Office (WIPO) and any other intellectual property offices in which a foreign application claiming priority to the above-identified patent application is filed access to the above-identified patent application. In accordance with 37 CFR 1.14(h)(3), access will be provided to a copy of the above-identified patent application with respect to: 1) the above-identified patent application as filed; 2) any foreign application to which the above-identified patent application claims priority under 35 U.S.C. 119(a)-(d) if a copy of the foreign application that satisfies the certified copy requirement of 37 CFR 1.55 has been filed in the above-identified patent application; and 3) any U.S. application-as-filed from which benefit is sought in the above-identified patent application. Also, in accordance with 37 CFR 1.14(c), access may be provided to information concerning the date of filing the Authorization to Permit Access to Application by Participating Offices.

(f) Any claim for priority to an earlier filed US or foreign application appears in an Application Data Sheet which is or will be submitted into the file of the above-identified application.

(g) I acknowledge that any willful false statement made herein is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

WHEREAS, **TRILLIUM LABS, LLC**, having a place of business at 417 Fifth Avenue, 6th Floor, New York, NY 10016, ASSIGNEE, has obtained by employment or other agreement, or is desirous of obtaining each inventor's entire right, title and interest in, to and under the said invention, the said application and corresponding applications worldwide.

NOW, THEREFORE, in exchange for good and valuable consideration to each inventor, the receipt and sufficiency of which is hereby acknowledged, each ASSIGNOR has sold, assigned, transferred and set over, and by these presents does hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, his or her entire, worldwide right, title and interest in, to and under the invention, including any United States provisional or non-provisional application embodying the invention or any other United States application claiming priority to a provisional application under 35 U.S.C. § 119(e) or converted therefrom, or to any application claiming the benefit of a non-provisional application under 35 U.S.C. § 120, including all divisions, continuations, and continuations-in-part thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and, if applicable, all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries other than the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for the invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof, the same to be held and enjoyed by the ASSIGNEE, for its own use and behalf and the use and behalf of its successors, legal representatives, and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted as fully and entirely as the same would have been held and enjoyed by the ASSIGNOR had this sale and assignment not been made;

And each ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks of the United States, and, if applicable, any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument;

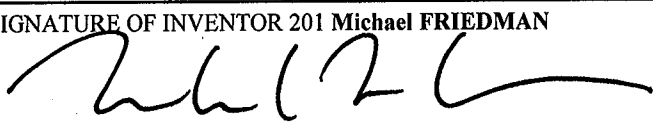
And each ASSIGNOR hereby covenants and agrees that he or she has the full right to convey the entire interest previously or herein assigned, and that he or she has not executed, and will not execute, any agreement in conflict herewith;

And each ASSIGNOR hereby further covenants and agrees that he or she will communicate to the ASSIGNEE, its successors, legal representatives and assigns, any facts

known to him or her respecting said invention, testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the ASSIGNEE or its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries;

And each ASSIGNOR hereby authorizes the ASSIGNEE or its patent attorneys to complete this form by the addition of the application number, application filing date, and attorney docket number, if necessary.

In witness whereof, each inventor has affixed his or her signature.

2 0 1	LEGAL NAME OF SOLE OR JOINT INVENTOR	FAMILY NAME OR SURNAME FRIEDMAN	GIVEN FIRST NAME Michael	GIVEN MIDDLE NAME
	RESIDENCE & CITIZENSHIP OF INVENTOR	CITY New York	STATE OR COUNTRY New York	COUNTRY OF CITIZENSHIP United States
	MAILING OR EMPLOYMENT ADDRESS OF INVENTOR	STREET 10 White St.		
		CITY New York	STATE OR COUNTRY New York	POSTAL CODE 10013
SIGNATURE OF INVENTOR 201 Michael FRIEDMAN 				DATE 11/14/2013

☒ Additional Inventors are being named on the following three (3) pages of Supplemental Sheet(s) attached hereto.

THE FOREGOING ASSIGNMENT IS AGREED TO AND ACCEPTED BY:

TRILLIUM LABS, LLC,
By Trillium Management, LLC, its Manager,

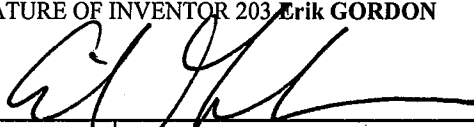
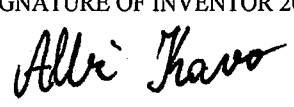
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Date

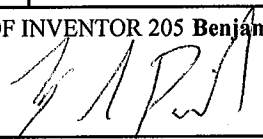
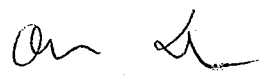


Barry Schwarz, Chief Executive Officer


SUPPLEMENTAL SHEET FOR DECLARATION

202	LEGAL NAME OF JOINT INVENTOR	FAMILY NAME OR SURNAME MASCHLER	GIVEN FIRST NAME Lee	GIVEN MIDDLE NAME
	RESIDENCE & CITIZENSHIP OF INVENTOR	CITY Skillman	STATE OR COUNTRY New Jersey	COUNTRY OF CITIZENSHIP United States
	MAILING OR EMPLOYMENT ADDRESS OF INVENTOR	STREET 129 Bedens Brook Rd.		
		CITY Skillman	STATE OR COUNTRY New Jersey	POSTAL CODE 08558
SIGNATURE OF INVENTOR 202 Lee MASCHLER				DATE
203	LEGAL NAME OF JOINT INVENTOR	FAMILY NAME OR SURNAME GORDON	GIVEN FIRST NAME Erik	GIVEN MIDDLE NAME
	RESIDENCE & CITIZENSHIP OF INVENTOR	CITY West Windsor	STATE OR COUNTRY New Jersey	COUNTRY OF CITIZENSHIP United States
	MAILING OR EMPLOYMENT ADDRESS OF INVENTOR	STREET 10 Yorktowne Court		
		CITY West Windsor	STATE OR COUNTRY New Jersey	POSTAL CODE 08550
SIGNATURE OF INVENTOR 203 Erik GORDON 				DATE 11/14/13
204	LEGAL NAME OF JOINT INVENTOR	FAMILY NAME OR SURNAME KAVO	GIVEN FIRST NAME Albi	GIVEN MIDDLE NAME
	RESIDENCE & CITIZENSHIP OF INVENTOR	CITY Clifton	STATE OR COUNTRY New Jersey	COUNTRY OF CITIZENSHIP United States
	MAILING OR EMPLOYMENT ADDRESS OF INVENTOR	STREET 39 Bart Place		
		CITY Clifton	STATE OR COUNTRY New Jersey	POSTAL CODE 07013
SIGNATURE OF INVENTOR 204 Albi KAVO 				DATE 11/14/13

SUPPLEMENTAL SHEET FOR DECLARATION

205	LEGAL NAME OF JOINT INVENTOR	FAMILY NAME OR SURNAME PEIKES	GIVEN FIRST NAME Benjamin	GIVEN MIDDLE NAME
	RESIDENCE & CITIZENSHIP OF INVENTOR	CITY Brooklyn	STATE OR COUNTRY New York	COUNTRY OF CITIZENSHIP United States
	MAILING OR EMPLOYMENT ADDRESS OF INVENTOR	STREET 74 Dikeman Street		
		CITY Brooklyn	STATE OR COUNTRY New York	POSTAL CODE 11231
	SIGNATURE OF INVENTOR 205 Benjamin PEIKES 			DATE 11/14/2013
206	LEGAL NAME OF JOINT INVENTOR	FAMILY NAME OR SURNAME DANIEL	GIVEN FIRST NAME Oliver	GIVEN MIDDLE NAME
	RESIDENCE & CITIZENSHIP OF INVENTOR	CITY Brooklyn	STATE OR COUNTRY New York	COUNTRY OF CITIZENSHIP United States
	MAILING OR EMPLOYMENT ADDRESS OF INVENTOR	STREET 503 Atlantic Avenue, Apt. #4R		
		CITY Brooklyn	STATE OR COUNTRY New York	POSTAL CODE 11217
	SIGNATURE OF INVENTOR 206 Oliver DANIEL 			DATE 11/14/13

SUPPLEMENTAL SHEET FOR DECLARATION

2 0 2	LEGAL NAME OF JOINT INVENTOR	FAMILY NAME OR SURNAME MASCHLER	GIVEN FIRST NAME Lee	GIVEN MIDDLE NAME
	RESIDENCE & CITIZENSHIP OF INVENTOR	CITY Skillman	STATE OR COUNTRY New Jersey	COUNTRY OF CITIZENSHIP United States
	MAILING OR EMPLOYMENT ADDRESS OF INVENTOR	STREET 129 Bedens Brook Rd.		
		CITY Skillman	STATE OR COUNTRY New Jersey	POSTAL CODE 08558
SIGNATURE OF INVENTOR 202 Lee MASCHLER 				DATE 11/18/13
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	RESIDENCE & CITIZENSHIP OF INVENTOR	CITY West Windsor	STATE OR COUNTRY New Jersey	COUNTRY OF CITIZENSHIP United States
	MAILING OR EMPLOYMENT ADDRESS OF INVENTOR	STREET 10 Yorktowne Court		
		CITY West Windsor	STATE OR COUNTRY New Jersey	POSTAL CODE 08550
SIGNATURE OF INVENTOR 203 Erik GORDON				DATE
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	RESIDENCE & CITIZENSHIP OF INVENTOR	CITY Clifton	STATE OR COUNTRY New Jersey	COUNTRY OF CITIZENSHIP United States
	MAILING OR EMPLOYMENT ADDRESS OF INVENTOR	STREET 39 Bart Place		
		CITY Clifton	STATE OR COUNTRY New Jersey	POSTAL CODE 07013
SIGNATURE OF INVENTOR 204 Albi KAVO				DATE