

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
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EPAS ID: PAT2623220

SUBMISSION TYPE:	NEW ASSIGNMENT										
NATURE OF CONVEYANCE:	ASSIGNMENT										
CONVEYING PARTY DATA											
<table border="1"> <thead> <tr> <th>Name</th> <th>Execution Date</th> </tr> </thead> <tbody> <tr> <td>TERENCE FLOTTE</td> <td>06/14/2011</td> </tr> <tr> <td>CHRISTIAN MUELLER</td> <td>06/14/2011</td> </tr> </tbody> </table>		Name	Execution Date	TERENCE FLOTTE	06/14/2011	CHRISTIAN MUELLER	06/14/2011				
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CORRESPONDENCE DATA											
<p>Fax Number: (617)646-8646 Phone: 617-646-8000 Email: slloyd@wolfgreenfield.com <i>Correspondence will be sent via US Mail when the email attempt is unsuccessful.</i> Correspondent Name: WOLF, GREENFIELD & SACKS, P.C. Address Line 1: 600 ATLANTIC AVENUE Address Line 4: BOSTON, MASSACHUSETTS 02210</p>											
ATTORNEY DOCKET NUMBER:	U0120.70052US01										
NAME OF SUBMITTER:	DANIEL W. YOUNG										
Signature:	/Daniel W. Young/										
Date:	11/21/2013										
<p>Total Attachments: 3 source=U0120.70052US01 - Executed Assignment#page1.tif source=U0120.70052US01 - Executed Assignment#page2.tif source=U0120.70052US01 - Executed Assignment#page3.tif</p>											

CH \$40.00 14113118

ASSIGNMENT

For good and valuable consideration, the receipt of which is hereby acknowledged, I, the undersigned Assignor, hereby:

1. Sell, assign and transfer to **University of Massachusetts**, a Massachusetts corporation having a place of business at **225 Franklin Street; Boston, Massachusetts 02110**, its successors, assigns and legal representatives, all hereinafter referred to as the Assignee, my entire right, title and interest for the United States and all foreign countries, in and to any and all inventions and designs which are disclosed in the provisional application filed in the United States Patent and Trademark Office on **April 21, 2011** under No. **61/477,671**, bearing Attorney Docket No. **U0120.70052US00**, and entitled **RAAV-BASED COMPOSITIONS AND METHODS FOR TREATING ALPHA-1 ANTI-TRYPsin DEFICIENCIES**, and in and to the application and all corresponding non-provisional, divisional, continuing, substitute, renewal, reissue and all other applications for Letters Patent, utility models, industrial designs or similar intellectual property rights which have been or shall be filed in the United States, internationally, and in any foreign country, including but not limited to China, Japan and Korea, on any of the inventions; and in and to all original and reissued patents which have been or shall be issued in the United States or any other jurisdiction on the inventions, including the right to apply for patent rights in each foreign country and all rights to priority, including the right to claim priority for China, Japan and Korea, as well as the right to sue in its own name and recover damages for past infringement of any United States Letters Patent and foreign patent;
2. Agree that the Assignee may apply for and receive Letters Patent and utility model and industrial design registrations for the inventions in its own name; and when requested, without charge to but at the expense of the Assignee, I agree to carry out in good faith the intent and purpose of this assignment, by executing all non-provisional, divisional, continuing, substitute, renewal, reissue, and all other patent, utility model and industrial design applications on any and all the inventions, by executing all rightful oaths, assignments, powers of attorney and other papers, by communicating to the Assignee all facts known to me relating to the inventions and the history thereof, and generally by doing everything reasonably possible which the Assignee shall consider desirable for aiding in securing and maintaining proper protection for the inventions and for vesting title to the inventions and all applications for patents and all patents on the inventions, in the Assignee;
3. Request the Director of the United States Patent and Trademark Office and non-US patent authorities to issue the Letters Patent to the Assignee;
4. Authorize and request Wolf, Greenfield & Sacks, P.C. to supply any missing patent/application identification information or correct any errors in the patent/application identification information provided above, whether discovered prior to or after recordation;
5. Covenant with the Assignee that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by me and that full right to convey the same as herein expressed is possessed by me; and
6. Appoint Assignee, through its designee, my attorney-in-fact to execute, in my name and on my behalf, any and all documents required to effectuate this Assignment, specifically including, but not limited to, those documents specified above and any necessary corrective assignments.

This instrument is executed under seal and signed under the pains and penalties of perjury under the laws of the United States of America.

6/14/2011
Date

Terence Flotte
Inventor: Terence Flotte
Address: 122 Paxon Road
Holden, Massachusetts 01520
Citizenship: US

COMMONWEALTH OF MASSACHUSETTS
COUNTY OF Worcester:


On this 14th day of June, 2011, before me, the undersigned notary public, personally appeared Terence Flotte, proved to me through satisfactory evidence of identification, which were MA license, to be the person who signed the preceding or attached document in my presence and swore or affirmed to me that the contents of the document are truthful and accurate to the best of (his) (her) knowledge and belief and acknowledged to me that (he) (she) signed it voluntarily for its stated purpose.

SEAL

Paul Phillips
Notary Public

My commission expires: 4/23/15

6/14/2011
Date


Inventor: Christian Mueller
Address:
Citizenship:

COMMONWEALTH OF MASSACHUSETTS
COUNTY OF Worcester:

On this 14th day of June, 2011, before me, the undersigned notary public, personally appeared Christian Mueller, proved to me through satisfactory evidence of identification, which were MA license, to be the person who signed the preceding or attached document in my presence and swore or affirmed to me that the contents of the document are truthful and accurate to the best of (his) (her) knowledge and belief and acknowledged to me that (he) (she) signed it voluntarily for its stated purpose.

SEAL


Notary Public

My commission expires: 4/23/15