

## PATENT ASSIGNMENT COVER SHEET

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 Stylesheet Version v1.2

EPAS ID: PAT2623460

SUBMISSION TYPE:	NEW ASSIGNMENT												
NATURE OF CONVEYANCE:	ASSIGNMENT												
CONVEYING PARTY DATA													
<table border="1"> <thead> <tr> <th>Name</th> <th>Execution Date</th> </tr> </thead> <tbody> <tr> <td>RAYMOND P. JOHNSTON</td> <td>11/06/2013</td> </tr> <tr> <td>PAUL E. HUMPAL</td> <td>11/06/2013</td> </tr> <tr> <td>ROBERT L. BROTT</td> <td>11/06/2013</td> </tr> <tr> <td>KARL J.L. GEISLER</td> <td>11/11/2013</td> </tr> <tr> <td>MARTIN J. VOS</td> <td>11/06/2013</td> </tr> </tbody> </table>		Name	Execution Date	RAYMOND P. JOHNSTON	11/06/2013	PAUL E. HUMPAL	11/06/2013	ROBERT L. BROTT	11/06/2013	KARL J.L. GEISLER	11/11/2013	MARTIN J. VOS	11/06/2013
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<table border="1"> <tr> <td>Name:</td> <td>3M INNOVATIVE PROPERTIES COMPANY</td> </tr> <tr> <td>Street Address:</td> <td>P.O. BOX 33427</td> </tr> <tr> <td>City:</td> <td>SAINT PAUL</td> </tr> <tr> <td>State/Country:</td> <td>MINNESOTA</td> </tr> <tr> <td>Postal Code:</td> <td>55133-3427</td> </tr> </table>		Name:	3M INNOVATIVE PROPERTIES COMPANY	Street Address:	P.O. BOX 33427	City:	SAINT PAUL	State/Country:	MINNESOTA	Postal Code:	55133-3427		
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Application Number:	14063395												
CORRESPONDENCE DATA													
Fax Number:	(651)736-3833												
Email:	pjbick@mmm.com												
<i>Correspondence will be sent via US Mail when the email attempt is unsuccessful.</i>													
Correspondent Name:	3M INNOVATIVE PROPERTIES COMPANY												
Address Line 1:	P.O. BOX 33427												
Address Line 4:	SAINT PAUL, MINNESOTA 55133-3427												
ATTORNEY DOCKET NUMBER:	74740US002												
NAME OF SUBMITTER:	PAULA J. BICK												
Signature:	/Paula J. Bick/												

CH \$40.00 14063395

Date:	11/21/2013
	This document serves as an Oath/Declaration (37 CFR 1.63).
<b>Total Attachments: 5</b> source=Declaration_Executed_74740US002#page1.tif source=Declaration_Executed_74740US002#page2.tif source=Declaration_Executed_74740US002#page3.tif source=Declaration_Executed_74740US002#page4.tif source=Declaration_Executed_74740US002#page5.tif	

**DECLARATION AND ASSIGNMENT,  
FOR UTILITY OR DESIGN  
PATENT APPLICATION (37 CFR § 1.63)**

Case No:

74740US002

As a below named inventor, I believe I am an original inventor or an original joint inventor of a claimed invention in the application and for which a patent is sought on the invention entitled:

**SOLID STATE AREA LIGHT AND SPOTLIGHT WITH LIGHT GUIDE AND INTEGRATED  
THERMAL GUIDE**

The specification of which:

☐ is attached hereto;

☒ was filed on October 25, 2013  
as United States Application No.

14/063,395

☐ is identified as PCT International Application No.  
filed on \_\_\_\_\_

The above-identified application was made or authorized to be made by me.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than 5 years, or both.

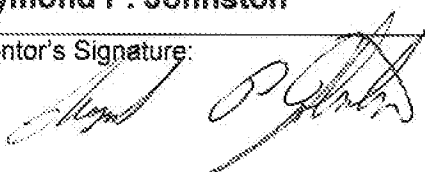
In addition, for good and valuable consideration, receipt of which is acknowledged, I have agreed to assign and transfer and do hereby assign and transfer unto the 3M INNOVATIVE PROPERTIES COMPANY, a wholly-owned subsidiary of 3M COMPANY, and a corporation of Delaware, having its principal office at Saint Paul, Minnesota, its successors and assigns, the entire right, title, and interest in and to the said invention and application, and in and to any division or continuation (in whole or in part) of said application, and in and to any and all improvements in the said invention made by me or made jointly with others (provided any such improvement is made during, or within one year after the termination of, my employment with 3M COMPANY and any of its existing or future subsidiaries), and in and to any and all Letters Patent, reexaminations, reissues, or extensions thereof, of the United States of America and countries foreign thereto (including the right to apply for Letters Patent, Utility Models, or Inventors' Certificates in foreign countries in its own name and to claim any priority rights for such foreign applications to which such applications are entitled under international conventions, treaties, or otherwise), which have been or may be granted thereon or on any divisional, continuation (in whole or in part), renewal, reexamination, reissue, or other or further application based in whole or in part upon the said invention or improvements thereon, to be held and enjoyed as fully and exclusively as they would have been by me had this assignment and transfer not been made;

I do further agree for myself and for my heirs, executors, and administrators, to execute and deliver without further consideration any further applications, assignments, and documents, and to perform such other acts as I lawfully may, that may be deemed necessary by said Company, its successors, assigns, and nominees, fully to secure its right, title, and interest as aforesaid and to obtain or maintain Letters Patent, Utility Models, or Inventors' Certificates in any and all countries; and

I do hereby authorize and request the Commissioner of Patents to issue any and all Letters Patent which may be granted upon any of the said applications, to said 3M Innovative Properties Company, as the assignee of the entire right, title, and interest therein.

Legal Name of Inventor:  
**Raymond P. Johnston**

Inventor's Signature:



Date:

*November 6, 2013*

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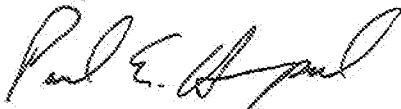
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Legal Name of Inventor:

**Paul E. Humpal**

Inventor's Signature:



Date:

*6 Nov 2013*

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Legal Name of Inventor:

**Robert L. Brott**

Inventor's Signature:



Date:

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Legal Name of Inventor:

**Karl J.L. Geisler**

Inventor's Signature:



Date:

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Legal Name of Inventor:  
**Martin J. Vos**

Inventor's Signature:

*Mjvos*

Date:

*Nov. 6 2013*