

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT2619441

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	CHANGE OF NAME

CONVEYING PARTY DATA

Name	Execution Date
Baxano, Inc.	05/31/2013

RECEIVING PARTY DATA

Name:	Baxano Surgical, Inc.
Street Address:	110 Horizon Drive, Suite 230
City:	Raleigh
State/Country:	NORTH CAROLINA
Postal Code:	27615

PROPERTY NUMBERS Total: 47

Property Type	Number
Patent Number:	7553307
Patent Number:	7555343
Patent Number:	7578819
Patent Number:	7738968
Patent Number:	7738969
Patent Number:	7740631
Patent Number:	7857813
Patent Number:	7887538
Patent Number:	7918849
Patent Number:	7938830
Patent Number:	7959577
Patent Number:	7963915
Patent Number:	8048080
Patent Number:	8062298
Patent Number:	8062300

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Patent Number:	8092456
Patent Number:	8192435
Patent Number:	8192436
Patent Number:	8221397
Patent Number:	8257356
Patent Number:	8303516
Patent Number:	8366712
Patent Number:	8394102
Patent Number:	8398641
Patent Number:	8409206
Patent Number:	8419653
Patent Number:	8430881
Application Number:	12724315
Application Number:	12911537
Application Number:	12984162
Application Number:	13007381
Application Number:	13232882
Application Number:	13243095
Application Number:	13338134
Application Number:	13340363
Application Number:	13437214
Application Number:	13484744
Application Number:	13619930
Application Number:	13662247
Application Number:	13728767
Application Number:	13757599
Application Number:	13757661
Application Number:	13783135
Application Number:	13913801
Application Number:	14023893
Application Number:	14064085
Application Number:	14082052

CORRESPONDENCE DATA

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PATENT
REEL: 031666 FRAME: 0527

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ATTORNEY DOCKET NUMBER:	10376 ET AL.
NAME OF SUBMITTER:	MARY BUGGIE
Signature:	/Richard D. Shoop, Reg. No. 45,763/
Date:	11/18/2013

Total Attachments: 9
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RECORDATION FORM COVER SHEET
10376 et al.

FILE NO.	TITLE	APP. NO.	FILING DATE	PUB. NO.	PUB. DATE	PAT. NO.	ISSUE DATE	
1	10376-700.207	DEVICES AND METHODS FOR TISSUE MODIFICATION	11/251,165	10/15/2005	US-2006-0089609-A1	4/27/2006	7,553,307	6/30/2009
2	10376-700.202	DEVICES AND METHODS FOR SELECTIVE SURGICAL REMOVAL OF TISSUE	11/250,369	10/15/2005	US-2006-0135882-A1	6/22/2006	7,555,343	6/30/2009
3	10376-700.505	SPINAL ACCESS AND NEURAL LOCALIZATION	11/457,416	7/13/2006	US-2006-0258951-A1	11/16/2006	7,578,819	8/25/2009
4	10376-700.201	DEVICES AND METHODS FOR SELECTIVE SURGICAL REMOVAL OF TISSUE	11/250,332	10/15/2005	US-2006-0094976-A1	5/4/2006	7,738,968	6/15/2010
5	10376-700.401	DEVICES AND METHODS FOR SELECTIVE SURGICAL REMOVAL OF TISSUE	12/357,289	1/21/2009	US-2009-0125036-A1	5/14/2009	7,738,969	6/15/2010
6	10376-700.208	DEVICES AND METHODS FOR TISSUE MODIFICATION	11/251,204	10/15/2005	US-2006-0089640-A1	4/27/2006	7,740,631	6/22/2010
7	10376-706.201	TISSUE ACCESS GUIDEWIRE SYSTEM AND METHOD	11/468,247	8/29/2006	US-2008-0086114-A1	4/10/2008	7,857,813	12/28/2010
8	10376-700.US1	METHODS AND APPARATUS FOR TISSUE MODIFICATION	11/375,265	3/13/2006	US-2006-0241648-A1	10/26/2006	7,887,538	2/15/2011
9	10376-700.204	DEVICES AND METHODS FOR TISSUE ACCESS	11/251,205	10/15/2005	US-2006-0089633-A1	4/27/2006	7,918,849	4/5/2011
10	10376-700.502	POWERED TISSUE MODIFICATION DEVICES AND METHODS	11/406,486	4/17/2006	US-2011-0098708-A9	4/28/2011	7,938,830	5/10/2011
11	10376-719.200	METHOD, SYSTEM, AND APPARATUS FOR NEURAL LOCALIZATION	12/060,229	3/31/2008	US-2009-0069709-A1	3/12/2009	7,959,577	6/14/2011
12	10376-700.206	DEVICES AND METHODS FOR TISSUE ACCESS	11/251,186	10/15/2005	US-2006-0109651-A1	5/11/2006	7,963,915	6/21/2011
13	10376-700.504	FLEXIBLE TISSUE RASP	11/429,377	5/4/2006	US-2011-0130758-A9	6/2/2011	8,048,060	11/1/2011
14	10376-700.507	FLEXIBLE TISSUE REMOVAL DEVICES AND METHODS	11/687,558	3/16/2007	US-2007-0225703-A1	9/27/2007	8,062,298	11/22/2011
15	10376-700.506	TISSUE REMOVAL WITH AT LEAST PARTIALLY FLEXIBLE DEVICES	11/687,548	3/16/2007	US-2007-0260252-A1	11/6/2007	8,062,300	11/22/2011

PATENT

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RECORDATION FORM COVER SHEET
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FILE NO.	TITLE	APP. NO.	FILING DATE	PUB. NO.	PUB. DATE	PAT. NO.	ISSUE DATE
16	MULTIPLE PATHWAYS FOR SPINAL NERVE ROOT DECOMPRESSION FROM A SINGLE ACCESS POINT DEVICES AND METHODS FOR TISSUE MODIFICATION	12/352,978	1/13/2009	US-2009-0177241-A1	7/9/2009	8,092,456	1/10/2012
17	TISSUE MODIFICATION DEVICES AND METHODS FOR TISSUE MODIFICATION	11/251,199	10/15/2005	US-2006-0095059-A1	5/4/2006	8,192,435	6/5/2012
18	TISSUE MODIFICATION DEVICES AND METHODS FOR TISSUE MODIFICATION	12/324,147	11/26/2008	US-2009-0149865-A1	6/11/2009	8,192,436	6/5/2012
19	TISSUE MODIFICATION GUIDEWIRE EXCHANGE SYSTEMS TO TREAT SPINAL STENOSIS	12/428,369	4/22/2009	US-2009-0204119-A1	8/13/2009	8,221,397	7/17/2012
20	METHOD, SYSTEM, AND APPARATUS FOR NEURAL LOCALIZATION	12/127,535	5/27/2008	US-2008-0275458-A1	11/6/2008	8,257,356	9/4/2012
21	MULTIPLE PATHWAYS FOR SPINAL NERVE ROOT DECOMPRESSION FROM A SINGLE ACCESS POINT SURGICAL TOOLS FOR TREATMENT OF SPINAL STENOSIS	13/090,844	4/20/2011	US-2011-0186257-A1	8/11/2011	8,303,516	11/6/2012
22	TISSUE MODIFICATION DEVICES AND METHODS	13/312,272	12/6/2011	US-2012-0078255-A1	3/29/2012	8,366,712	2/5/2013
23	TISSUE MODIFICATION DEVICES AND METHODS	12/824,043	6/25/2010	US-2010-0331900-A1	12/30/2010	8,394,102	3/12/2013
24	TISSUE MODIFICATION DEVICES AND METHODS	13/338,103	12/27/2011	US-2012-0095468-A1	4/19/2012	8,398,641	3/19/2013
25	SPINAL ACCESS AND NEURAL LOCALIZATION	12/773,595	5/4/2010	US-2010-0274250-A1	10/28/2010	8,409,206	4/2/2013
26	MECHANICAL TISSUE MODIFICATION DEVICES AND METHODS	12/504,545	7/16/2009	US-2010-0010334-A1	1/14/2010	8,419,653	4/16/2013
27		11/405,648	4/17/2006	US-2012-0078253-A9	3/29/2012	8,430,881	4/30/2013
28	FLEXIBLE NEURAL LOCALIZATION DEVICES AND METHODS	12/724,315	3/15/2010	US-2011-0004207-A1	1/6/2011		
29	DEVICES AND METHODS FOR TREATING TISSUE	12/911,537	10/25/2010	US-2011-0060314-A1	3/10/2011		
30	DEVICES AND METHODS FOR TISSUE ACCESS	12/984,162	1/4/2011	US-2011-0160731-A1	6/30/2011		
31	TISSUE MODIFICATION DEVICES	13/007,381	1/14/2011	US-2011-0112539-A1	5/12/2011		

PATENT

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RECORDATION FORM COVER SHEET
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FILE NO.	TITLE	APP. NO.	FILING DATE	PUB. NO.	PUB. DATE	PAT. NO.	ISSUE DATE
32	TISSUE MODIFICATION DEVICES	13/232,882	9/14/2011	US-2012-0065639-A1	3/15/2012		
33	FLEXIBLE TISSUE RASP	13/243,095	9/23/2011	US-2012-0016368-A1	1/19/2012		
34	SURGICAL TOOLS FOR TREATMENT OF SPINAL STENOSIS	13/338,134	12/27/2011	US-2012-0143206-A1	6/7/2012		
35	FLEXIBLE NEURAL LOCALIZATION DEVICES AND METHODS	13/340,363	12/29/2011	US-2012-0123294-A1	5/17/2012		
36	FLEXIBLE NEURAL LOCALIZATION DEVICES AND METHODS	13/437,214	4/2/2012	US-2012-0191003-A1	7/26/2012		
37	DEVICES AND METHODS FOR TISSUE MODIFICATION	13/484,744	5/31/2012	US-2012-0239041-A1	9/20/2012		
38	METHOD, SYSTEM AND APPARATUS FOR NEURAL LOCALIZATION	13/619,930	9/14/2012	US-2013-0012831-A1	1/10/2013		
39	DEVICES, METHODS AND SYSTEMS FOR NEURAL LOCALIZATION	13/662,247	10/26/2012	US-2013-0053853-A1	2/28/2013		
40	DEVICES, SYSTEMS AND METHODS FOR TISSUE MODIFICATION	13/728,767	12/27/2012	US-2013-0172895	7/4/2013		
41	MULTIPLE PATHWAYS FOR SPINAL NERVE ROOT DECOMPRESSION FROM A SINGLE ACCESS POINT	13/757,599	2/1/2013	US-2013-0150855	6/13/2013		
42	TISSUE MODIFICATION DEVICES AND METHODS	13/757,661	2/1/2013	US-2013-0150856	6/13/2013		
43	SYSTEMS AND METHODS FOR PERFORMING SPINAL FUSION	13/783,135	3/1/2013	US-2013-0178904-A1	7/11/2013		
44	POWERED TISSUE MODIFICATION DEVICES AND METHODS	13/913,801	6/10/2013				
45	TISSUE ACCESS GUIDEWIRE SYSTEM AND METHOD	14/023,893	9/11/2013				
46	ACCESS AND TISSUE MODIFICATION SYSTEMS AND METHODS	14/064,085	10/25/2013				
47	FLEXIBLE TISSUE REMOVAL DEVICES AND METHODS	14/082,052	11/15/2013				

Delaware

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The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF OWNERSHIP, WHICH MERGES:


"BAXANO, INC.", A DELAWARE CORPORATION,
WITH AND INTO "TRANS1 INC." UNDER THE NAME OF "BAXANO SURGICAL, INC.", A CORPORATION ORGANIZED AND EXISTING UNDER THE LAWS OF THE STATE OF DELAWARE, AS RECEIVED AND FILED IN THIS OFFICE THE THIRTY-FIRST DAY OF MAY, A.D. 2013, AT 11:12 O'CLOCK A.M.

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You may verify this certificate online
at corp.delaware.gov/authver.shtml




Jeffrey W. Bullock, Secretary of State
AUTHENTICATION: 0487295

DATE: 06-05-13

PATENT
REEL: 031666 FRAME: 0532

STATE OF DELAWARE
CERTIFICATE OF OWNERSHIP AND MERGER

MERGING SUBSIDIARY INTO PARENT

BAXANO, INC.
a Delaware corporation

INTO

TRANS1 INC.
a Delaware corporation

Pursuant to section 253 of the General Corporation Law of the State of Delaware, which permits the merger of subsidiaries of a corporation with and into a parent corporation where one of the corporations is organized and existing under the laws of Delaware, TranS1 Inc. (the "Corporation"), a corporation organized on May 5, 2000, and existing under the laws of the State of Delaware, does hereby certify:

First: That immediately prior to the filing of this Certificate of Ownership and Merger the Corporation owned one hundred percent (100%) of the issued and outstanding capital stock of Baxano, Inc., a corporation organized on March 24, 2005, and existing under the laws of the State of Delaware (the "Subsidiary").

Second: That the Corporation, on May 30, 2013, by resolutions of its Board of Directors duly adopted by unanimous written consent, a copy of which is attached hereto as Attachment A, determined to, and, effective upon the filing of this Certificate of Ownership and Merger with the Secretary of State of Delaware, hereby does, merge the Subsidiary into the Corporation.

Third: That as of the effective time of the merger, TranS1 Inc. relinquishes its corporate name and assumes in place thereof the name Baxano Surgical, Inc.

Fourth: That the Certificate of Incorporation and the Bylaws of the Corporation prior to the merger shall be the Certificate of Incorporation and the Bylaws of the Corporation after the merger without any change or amendment.

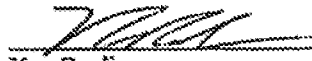
Fifth: That this Certificate of Ownership and Merger shall be effective upon filing.

[signature page follows]

[Signature Page to Certificate of Ownership and Merger]

IN WITNESS WHEREOF, the Corporation has caused this Certificate to be signed by an authorized officer this the 31st day of May, 2013.

TRANS1 INC.

By: 

Ken Reali
President and Chief Executive Officer

ATTACHMENT A

RESOLUTIONS OF DIRECTORS

Approval of Rollup Merger with Baxano, Inc.

WHEREAS, effective as of the effective time under Delaware law (the "Effective Time") of the merger (the "Merger") of RacerX Acquisition Corp. ("Merger Sub"), a wholly-owned subsidiary of TranS1 Inc., a Delaware corporation (the "Company"), with and into Baxano, Inc., a Delaware corporation ("Baxano"), pursuant to that certain Agreement and Plan of Merger, dated as of March 3, 2013, by and among the Company, Baxano, Merger Sub, and Sumeet Jain and David Schulte, solely as the Securityholder Representatives, the Company will be the legal and beneficial owner of all of the issued and outstanding shares of capital stock of Baxano;

WHEREAS, the Company desires to merge Baxano into itself pursuant to the provisions of Section 253 of the Delaware General Company Law (the "Rollup Merger");

WHEREAS, the Board of Directors of the Company (the "Board") has previously approved on March 25, 2013 changing the name of the Company from TranS1 Inc. to Baxano Surgical, Inc. (the "Name Change") and the stockholders of the Company are expected to vote on the Name Change at a special meeting of stockholders on May 31, 2013;

WHEREAS, the Board has determined that the Rollup Merger is in the best interests of the Company; and

WHEREAS, the Board has determined that it is in the best interests of the Company that, subject to approval of the Name Change by the stockholders of the Company, the Name Change take effect as of the effective time of the Rollup Merger.

RESOLVED, that, subject to the closing of the Merger and the approval of the Name Change by the stockholders of the Company, effective upon the filing of an appropriate Certificate of Ownership and Merger attaching a copy of these resolutions with the Secretary of State of Delaware, or such later time as the Company's officers may deem necessary or advisable and shall specify in such Certificate of Ownership and Merger, Baxano shall merge with and into the Company, which will assume all the liabilities and obligations of Baxano and that after the Rollup Merger, the name of the surviving corporation shall be Baxano Surgical, Inc.;

FURTHER RESOLVED, that, subject to the closing of the Merger and the approval of the Name Change by the stockholders of the Company, the officers of the Company be, and they hereby are, authorized to make and execute a Certificate of Ownership and Merger setting forth a copy of these resolutions providing for the merger of Baxano into the Company and the Name Change, and the date of adoption thereof, and to file the same with the Secretary of State of Delaware;

FURTHER RESOLVED, that the officers of the Company be, and they hereby are, authorized to do all acts and things, whatsoever, whether within or without the State of Delaware, which may be in any way necessary or appropriate to effect the Rollup Merger and Name Change; and

FURTHER RESOLVED, that the officers of the Company be, and they hereby are, authorized in their discretion to abandon execution and delivery of the Certificate of Ownership and Merger and consummation of the Rollup Merger and Name Change without any further action of the Board.