

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT2630140

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	ASSIGNMENT	
CONVEYING PARTY DATA		
Name		Execution Date
ALLEN KENT RIVES		11/23/2013
RECEIVING PARTY DATA		
Name:	TIGER 19 PARTNERS, LTD	
Street Address:	254 DRY BEAR CREEK	
City:	NEW BRAUNFELS	
State/Country:	TEXAS	
Postal Code:	78132	
PROPERTY NUMBERS Total: 1		
Property Type	Number	
Application Number:	13981077	
CORRESPONDENCE DATA		
Fax Number:	(713)481-2691	
Phone:	713-856-5825	
Email:	admin@ddiplaw.com	
<i>Correspondence will be sent via US Mail when the email attempt is unsuccessful.</i>		
Correspondent Name:	THE DICKINSON LAW OFFICES	
Address Line 1:	PO BOX 801619	
Address Line 4:	HOUSTON, TEXAS 77280	
ATTORNEY DOCKET NUMBER:	RIV-36	
NAME OF SUBMITTER:	MOIRA K DICKINSON	
Signature:	/Moira K. Dickinson/	
Date:	11/26/2013	
Total Attachments: 6		

502584052

PATENT
 REEL: 031681 FRAME: 0526

OP \$40.00 13981077

source=pto1595#page1.tif
source=assignment of patent to Tiger19#page1.tif
source=assignment of patent to Tiger19#page2.tif
source=aia0096#page1.tif
source=aia0096#page2.tif
source=aia0096#page3.tif

RECORDATION FORM COVER SHEET
PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Allen Kent Rives

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) 23 November 2013

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: Tiger 19 Partners, Ltd

Internal Address: _____

Street Address: 254 Dry Bear Creek

City: New Braunfels

State: Texas

Country: US Zip: 78132

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent Application No.(s)

13/981,077

☐ This document serves as an Oath/Declaration (37 CFR 1.63).
B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: David B. Dickinson

Internal Address: The Dickinson Law Offices

Street Address: PO Box 801619

City: Houston

State: TX Zip: 77280

Phone Number: 713-856-5825

Docket Number: RIV-36US

Email Address: dbd@ddiplaw.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$40

- ☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

Deposit Account Number 504558

Authorized User Name Moira Dickinson

9. Signature: /David B. Dickinson/

26 November 2013

Signature

Date

David B. Dickinson

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: **5**

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

ASSIGNMENT

The ASSIGNOR, Allen Kent Rives, an Individual residing in New Braunfels, Texas, is the inventor of the following invention:

Title: Adjustable Body Supported Cutter Arms for Underreamer
PCT Application No.: PCT/US20011/025982
US Application No.: 13/981,077, filed 22 July 2013
International Filing Date: 24 February 2011

The ASSIGNEE, Tiger 19 Partners, Ltd., a Limited Partnership, having an address at 254 Dry Bear Creek, New Braunfels, Texas 78132 USA, wishes to acquire the entire right, title and interest in and to the design, patent application and Patent that may issue thereon.

For valuable and legally sufficient consideration, receipt of which is hereby acknowledged, Assignor sells, assigns and transfers to Assignee, its successors and assigns, effective July 19, 2013 the entire right, title and interest in and to the above-identified invention, design, application and any Letters Patent to be issued thereon for the full terms thereof.

Assignor also sells, assigns and transfers to Assignee the entire right, title and interest in and to the design and any applications for Letters Patent and Letters Patent therefore in all countries foreign to the United States including all rights under the International Convention. Assignor further authorizes Assignee to apply for Letters Patent in foreign countries in the name of Assignee, and to claim the priority of the filing date of the United States Application under the provisions of the International Convention.

Assignor further agrees, for himself and his legal representatives, that he will assist Assignee in the prosecution before the United States Patent Office and the Federal Courts and any foreign equivalent of these Letter Patent, and other applications for Letters Patent including renewals, continuations, divisions, reissues and substitutions, that Assignee elects to make covering the inventions. Assignor vests in Assignee exclusive title in and to all such other applications and Letters Patent. Assignor will execute and deliver to Assignee in a timely manner any documents which may be requested by Assignee to carry out the terms of this Assignment.

The Commissioner of Patents of the United States is authorized and requested to issue Letters Patent of the United States to Assignee. Assignor also authorizes and requests the equivalent authorities in foreign countries to issue the patents of foreign countries to Assignee.

ASSIGNMENT

ASSIGNOR

Allen Kent Rives
Allen Kent Rives

11/23/13
Date

This assignment may be signed before either a Notary Public or 2 Witnesses.

STATE OF TEXAS

COUNTY OF _____

BEFORE ME, the undersigned authority, on this day personally appeared

ALLEN KENT RIVES

who acknowledged before me that he freely and willingly executed this Assignment and also represented that he is authorized to execute this Assignment for the purposes and considerations therein expressed.

ACKNOWLEDGED BEFORE ME this _____ day of _____, 2013.

NOTARY PUBLIC, STATE OF TEXAS
My Commission expires: _____

or

I attest that I witnessed the signing of this Assignment on this 23 day of NOVEMBER, 2013.

Kevin Miller
Signature of Witness 1

Robyn Waldran
Signature of Witness 2

KEVIN MILLER
Print Name of Witness 1

ROBYN WALDRAN
Print Name of Witness 2

11/23/13
Date

11/23/13
Date

STATEMENT UNDER 37 CFR 3.73(c)Applicant/Patent Owner: Tiger 19 Partners, Ltd.Application No./Patent No.: 13/981,077 Filed/Issue Date: 22 July 2013Titled: Adjustable Body Supported Cutter Arms for UnderreamerTiger 19 Partners, Ltd., a Texas Limited Partnership

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that, for the patent application/patent identified above, it is (choose **one** of options 1, 2, 3 or 4 below):

1. ☒ The assignee of the entire right, title, and interest.
2. ☐ An assignee of less than the entire right, title, and interest (check applicable box):
- ☐ The extent (by percentage) of its ownership interest is _____%. Additional Statement(s) by the owners holding the balance of the interest must be submitted to account for 100% of the ownership interest.
- ☐ There are unspecified percentages of ownership. The other parties, including inventors, who together own the entire right, title and interest are:

Additional Statement(s) by the owner(s) holding the balance of the interest must be submitted to account for the entire right, title, and interest.

3. ☐ The assignee of an undivided interest in the entirety (a complete assignment from one of the joint inventors was made). The other parties, including inventors, who together own the entire right, title, and interest are:

Additional Statement(s) by the owner(s) holding the balance of the interest must be submitted to account for the entire right, title, and interest.

4. ☐ The recipient, via a court proceeding or the like (e.g., bankruptcy, probate), of an undivided interest in the entirety (a complete transfer of ownership interest was made). The certified document(s) showing the transfer is attached.

The interest identified in option 1, 2 or 3 above (not option 4) is evidenced by either (choose **one** of options A or B below):

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at

Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at

Reel _____, Frame _____, or for which a copy thereof is attached.

[Page 1 of 2]

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PATENT**REEL: 031681 FRAME: 0531**

STATEMENT UNDER 37 CFR 3.73(c)

3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
 Reel _____, Frame _____, or for which a copy thereof is attached.

4. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
 Reel _____, Frame _____, or for which a copy thereof is attached.

5. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
 Reel _____, Frame _____, or for which a copy thereof is attached.

6. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
 Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet(s).

☒ As required by 37 CFR 3.73(c)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

/David B. Dickinson/

Signature

David B. Dickinson

Printed or Typed Name

November 26, 2013

Date

47,525

Title or Registration Number

Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.