

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT2632138

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	ASSIGNMENT	
CONVEYING PARTY DATA		
Name		Execution Date
CHAO-MING CHANG		11/29/2013
RECEIVING PARTY DATA		
Name:	LUCIDITY ENTERPRISE CO., LTD.	
Street Address:	NO. 18, GONGYE 1ST ROAD, ANNAN DISTRICT	
City:	TAINAN	
State/Country:	TAIWAN	
Postal Code:	70499	
PROPERTY NUMBERS Total: 1		
Property Type	Number	
Application Number:	29475113	
CORRESPONDENCE DATA		
Fax Number:		
Phone:	886-6-3132447	
Email:	sander.pat@msa.hinet.net	
<i>Correspondence will be sent via US Mail when the email attempt is unsuccessful.</i>		
Correspondent Name:	CHAO-MING CHANG	
Address Line 1:	P.O. BOX 90	
Address Line 4:	TAINAN CITY, TAIWAN	
ATTORNEY DOCKET NUMBER:	AMD-4827	
NAME OF SUBMITTER:	CHAO-MING CHANG	
Signature:	/Chao-Ming CHANG/	
Date:	11/29/2013	
This document serves as an Oath/Declaration (37 CFR 1.63).		

OP \$40.00 29475113

Total Attachments: 4

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RECORDATION FORM COVER SHEET
PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

Tab settings ⇄⇄⇄ ▼ ▼ ▼ ▼ ▼ ▼

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
Chao-Ming CHANG (Last name: CHANG)

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: Nov. 29th, 2013

2. Name and address of receiving party(ies)

Name: LUCIDITY ENTERPRISE CO., LTD.

Internal Address: _____

Street Address: _____

No. 18, Gongye 1st Road, Annan District,

Tainan City, Taiwan

City: Tainan State: Taiwan Zip: _____

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: Nov. 29th, 2013

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: LUCIDITY ENTERPRISE CO., LTD.

Internal Address: _____

Street Address: P.O. BOX 90, Tainan City 70499,

TAIWAN

City: Tainan City State: TAIWAN Zip: 70499

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Chao-Ming CHANG (Last name: CHANG)

Name of Person Signing

Chao-Ming CHANG

Signature

Nov. 29th, 2013

Date

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and documents: 4

STATEMENT UNDER 37 CFR 3.73(c)

Applicant/Patent Owner: Chao-Ming CHANG (last name: CHANG)

Application No./Patent No.: _____ Filed/Issue Date: _____

Titled: CLEARANCE SIDEMARKER AND IDENTIFICATION LIGHT

LUCIDITY ENTERPRISE CO., LTD., a corporation in Taiwan

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that, for the patent application/patent identified above, it is (choose one of options 1, 2, 3 or 4 below):

1. ☒ The assignee of the entire right, title, and interest.
2. ☐ An assignee of less than the entire right, title, and interest (check applicable box):
- ☐ The extent (by percentage) of its ownership interest is _____%. Additional Statement(s) by the owners holding the balance of the interest must be submitted to account for 100% of the ownership interest.
- ☐ There are unspecified percentages of ownership. The other parties, including inventors, who together own the entire right, title and interest are:

Additional Statement(s) by the owner(s) holding the balance of the interest must be submitted to account for the entire right, title, and interest.

3. ☐ The assignee of an undivided interest in the entirety (a complete assignment from one of the joint inventors was made). The other parties, including inventors, who together own the entire right, title, and interest are:

Additional Statement(s) by the owner(s) holding the balance of the interest must be submitted to account for the entire right, title, and interest.

4. ☐ The recipient, via a court proceeding or the like (e.g., bankruptcy, probate), of an undivided interest in the entirety (a complete transfer of ownership interest was made). The certified document(s) showing the transfer is attached.

The interest identified in option 1, 2 or 3 above (not option 4) is evidenced by either (choose one of options A or B below):

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

[Page 1 of 2]

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PATENT
REEL: 031691 FRAME: 0512

STATEMENT UNDER 37 CFR 3.73(c)

3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

4. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

5. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

6. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet(s).

☒ As required by 37 CFR 3.73(c)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The Undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Wen-Hsien HUANG
Signature

Nov. 29th, 2013

Date

Wen-Hsien HUANG

President

Printed or Typed Name

Title or Registration Number

ASSIGNMENT

(For Design)

WHEREAS, I (we), **Chao-Ming CHANG (Last name: CHANG)**

Assignor Name(s)

whose post office address(es) appear(s) below, hereinafter referred to as ASSIGNOR, have invented certain new and useful improvements for

CLEARANCE SIDEMARKER AND IDENTIFICATION LIGHT

Title of Invention

(hereinafter referred to as "The Invention") for which an application for United States Letters Patent was

☒ executed on even date herewith;

☐ executed on _____;

☐ filed on _____, Serial No. _____

WHEREAS, **LUCIDITY ENTERPRISE CO., LTD.**

Assignee Name(s)

hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to the same in the United States;

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, I (we), ASSIGNOR, by these presents do sell, assign and transfer unto said ASSIGNEE, the entire right, title and interest in and to said Invention and application through the United States of America, including any and all Letters Patent granted on any division, continuation, continuation-part and reissue of said application.

ALSO, ASSIGNOR hereby agrees to execute any documents that legally may be required in connection with the filing, prosecution and maintenance of said application or any other patent application(s) in the United States for said Invention, including additional documents that may be required to affirm the rights of ASSIGNEE in and to said Invention all without further consideration. ASSIGNOR also agrees, without further consideration and at ASSIGNEE'S expense, to identify and communicate to ASSIGNEE at ASSIGNEE'S request documents and information concerning the Invention that are within ASSIGNOR'S possession or control, and to provide further assurances and testimony on behalf of ASSIGNEE that lawfully may be required of ASSIGNOR in respect of the prosecution, maintenance and defense of any patent application or patent encompassed within the terms of this instrument. ASSIGNOR'S obligations under this instrument shall extend to ASSIGNOR'S heirs, executors, administrators and other legal representatives.

ALSO, ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks to issue any and all Letters Patents referred to above to ASSIGNEE, as the ASSIGNEE of the entire right, title and interest in and to the same, for ASSIGNEE'S sole use and behoof, and for the use and behoof of ASSIGNEE'S legal representatives and successors, to the full end of the term for which such Letters Patents may be granted, as fully and entirely as the same would have been held by ASSIGNOR had this assignment and sale not been made.

Assignor

(1) Name Chao-Ming CHANG (Last name: CHANG)	Date Nov. 29th, 2013
Address No. 7, Lane 445, Section 2, Nan Hsiung Road, Guanmiao, Tainan, Taiwan	Signature <i>Chao-Ming CHANG</i>

(2) Name	Date
Address	Signature

(3) Name	Date
Address	Signature

Assignee

(1) Name LUCIDITY ENTERPRISE CO., LTD.	Date Nov. 29th, 2013
Address No. 18, Gongye 1st Road, Annan District, Tainan City, Taiwan	Signature <i>[Signature]</i> Title: President

☐ Continued on Next Page

PATENT

RECORDED: 11/29/2013

REEL: 031691 FRAME: 0514