

PATENT ASSIGNMENT COVER SHEET

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EPAS ID: PAT2632951

SUBMISSION TYPE:	NEW ASSIGNMENT														
NATURE OF CONVEYANCE:	ASSIGNMENT														
CONVEYING PARTY DATA															
<table border="1"> <thead> <tr> <th>Name</th> <th>Execution Date</th> </tr> </thead> <tbody> <tr> <td>KARL R. ERICKSON</td> <td>11/21/2013</td> </tr> <tr> <td>PHIL C. PAONE</td> <td>11/22/2013</td> </tr> <tr> <td>DAVID P. PAULSEN</td> <td>11/21/2013</td> </tr> <tr> <td>JOHN E. SHEETS II</td> <td>11/21/2013</td> </tr> <tr> <td>GREGORY J. UHLMANN</td> <td>11/22/2013</td> </tr> <tr> <td>KELLY L. WILLIAMS</td> <td>11/25/2013</td> </tr> </tbody> </table>		Name	Execution Date	KARL R. ERICKSON	11/21/2013	PHIL C. PAONE	11/22/2013	DAVID P. PAULSEN	11/21/2013	JOHN E. SHEETS II	11/21/2013	GREGORY J. UHLMANN	11/22/2013	KELLY L. WILLIAMS	11/25/2013
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KELLY L. WILLIAMS	11/25/2013														
RECEIVING PARTY DATA															
Name:	INTERNATIONAL BUSINESS MACHINES CORPORATION														
Street Address:	NEW ORCHARD ROAD														
City:	ARMONK														
State/Country:	NEW YORK														
Postal Code:	10504														
PROPERTY NUMBERS Total: 1															
<table border="1"> <thead> <tr> <th>Property Type</th> <th>Number</th> </tr> </thead> <tbody> <tr> <td>Application Number:</td> <td>14093784</td> </tr> </tbody> </table>		Property Type	Number	Application Number:	14093784										
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Application Number:	14093784														
CORRESPONDENCE DATA															
Fax Number:	(507)253-2382														
Phone:	507-253-5333														
Email:	skberge@us.ibm.com														
<i>Correspondence will be sent via US Mail when the email attempt is unsuccessful.</i>															
Correspondent Name:	IBM CORPORATION														
Address Line 1:	3605 HIGHWAY 52 N														
Address Line 4:	ROCHESTER, MINNESOTA 55901-7829														
ATTORNEY DOCKET NUMBER:	ROC920130175US1														
NAME OF SUBMITTER:	ROBERT R. WILLIAMS														

CH \$40.00 14093784

Signature:	/Robert R. Williams/
Date:	12/02/2013
	This document serves as an Oath/Declaration (37 CFR 1.63).
Total Attachments: 6 source=ROC920130175US1_SignedAssignmentDeclarationForms#page1.tif source=ROC920130175US1_SignedAssignmentDeclarationForms#page2.tif source=ROC920130175US1_SignedAssignmentDeclarationForms#page3.tif source=ROC920130175US1_SignedAssignmentDeclarationForms#page4.tif source=ROC920130175US1_SignedAssignmentDeclarationForms#page5.tif source=ROC920130175US1_SignedAssignmentDeclarationForms#page6.tif	

**DECLARATION (37 C.F.R. 1.63) FOR UTILITY PATENT APPLICATION USING AN
APPLICATION DATA SHEET (37 C.F.R. 1.76) AND ASSIGNMENT**

**IMPLEMENTING BURIED FET BELOW AND BESIDE FINFET ON BULK
SUBSTRATE**

As a below named inventor, I hereby declare that:

This declaration is directed to the attached application, or (if following box is checked):

United States application or PCT international application number _____
filed on _____.

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

I have reviewed and understand the contents of the application, including the claims.

I am aware of the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in 37 CFR Section 1.56.

Whereas, the undersigned inventor(s) has/have made certain inventions, improvements, and discoveries (herein referred to as the "Invention") disclosed in the above-identified patent application and further identified by the IBM Docket Number provided above in the header of this document;


Whereas, International Business Machines Corporation, a corporation of New York having a place of business at Armonk, New York (herein referred to as "IBM"), desires to acquire, and each undersigned inventor desires to grant to IBM, the entire worldwide right, title, and interest in and to the Invention and in and to any and all patent applications and patents directed thereto;

Now, therefore, for good and valuable consideration, the receipt and sufficiency thereof being hereby acknowledged, each undersigned inventor ("ASSIGNOR") hereby sells or has sold, assigns or has assigned, and otherwise transfers or has transferred to IBM (the "ASSIGNEE"), its successors, legal representatives, and assigns, the entire worldwide right, title, and interest in and to the Invention, the above-identified United States patent application, and any and all other patent applications and patents for the Invention which may be applied for or granted therefor in the United States and in all foreign countries and jurisdictions, including all divisions, continuations, reissues, reexaminations, renewals, extensions, counterparts, substitutes, and extensions thereof, and all rights of priority resulting from the filing of such applications and granting of such patents. In addition, each undersigned inventor hereby authorizes and requests the Director of the United States Patent and Trademark Office to issue any United States Patent, and foreign patent authorities to issue any foreign patent, granted for the Invention, to IBM, its successors, legal representatives, and assigns, the entire worldwide right, title, and interest in and to the same to be held and enjoyed by IBM, its successors, legal representatives, and assigns to the full end of the terms for which any and all such patents may be granted, as fully and entirely as would have been held and enjoyed by the undersigned had this Assignment not been made; and each undersigned inventor agrees to execute any and all documents and instruments and perform all lawful acts reasonably related to recording this Assignment or perfecting title to the Invention and all related patents and applications, in IBM, its successors, legal representatives, and assigns, whenever requested by IBM, its successors, legal representatives, or assigns.

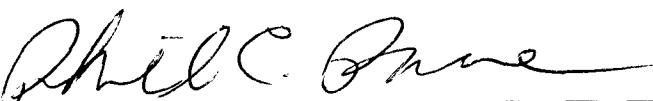
Each undersigned inventor acknowledges their prior and ongoing obligations to sell, assign, and transfer the rights under this Assignment to IBM and is unaware of any reason why they may not have the full and unencumbered right to sell, assign, and transfer the rights hereby sold, assigned, and transferred, and has not executed, and will not execute, any document or instrument in conflict herewith. Each undersigned inventor also hereby grants IBM, its successors, legal representatives, and assigns, the right to insert in this Assignment any further identification (including, but not limited to, patent Application Number) which may be necessary or desirable for recordation of this Assignment. This Assignment is governed by the substantive laws of the State of New York, and any disputes will be resolved in a New York state court or federal court sited in New York.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

(1) Legal Name of Inventor: **Karl R. Erickson**

Signature:  Date: 11/21/2013
Karl R. Erickson

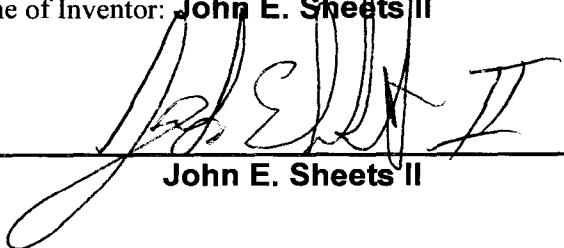
(2) Legal Name of Inventor: **Phil C. Paone**

Signature:  Date: 11/22/2013
Phil C. Paone


(3) Legal Name of Inventor: **David P. Paulsen**

Signature:  Date: 11/21/13
David P. Paulsen

(4) Legal Name of Inventor: **John E. Sheets II**

Signature:  Date: 11/21/13
John E. Sheets II

(5) Legal Name of Inventor: **Gregory J. Uhlmann**

Signature:  Date: 11/22/13
Gregory J. Uhlmann

(6) Legal Name of Inventor: **Kelly L. Williams**

Signature: _____ Date: _____
Kelly L. Williams

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Now, therefore, for good and valuable consideration, the receipt and sufficiency thereof being hereby acknowledged, each undersigned inventor ("ASSIGNOR") hereby sells or has sold, assigns or has assigned, and otherwise transfers or has transferred to IBM (the "ASSIGNEE"), its successors, legal representatives, and assigns, the entire worldwide right, title, and interest in and to the Invention, the above-identified United States patent application, and any and all other patent applications and patents for the Invention which may be applied for or granted therefor in the United States and in all foreign countries and jurisdictions, including all divisions, continuations, reissues, reexaminations, renewals, extensions, counterparts, substitutes, and extensions thereof, and all rights of priority resulting from the filing of such applications and granting of such patents. In addition, each undersigned inventor hereby authorizes and requests the Director of the United States Patent and Trademark Office to issue any United States Patent, and foreign patent authorities to issue any foreign patent, granted for the Invention, to IBM, its successors, legal representatives, and assigns, the entire worldwide right, title, and interest in and to the same to be held and enjoyed by IBM, its successors, legal representatives, and assigns to the full end of the terms for which any and all such patents may be granted, as fully and entirely as would have been held and enjoyed by the undersigned had this Assignment not been made; and each undersigned inventor agrees to execute any and all documents and instruments and perform all lawful acts reasonably related to recording this Assignment or perfecting title to the Invention and all related patents and applications, in IBM, its successors, legal representatives, and assigns, whenever requested by IBM, its successors, legal representatives, or assigns.

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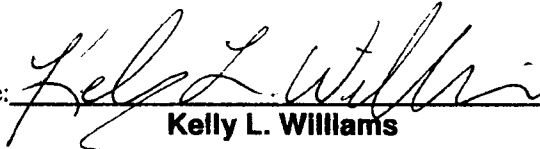
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Signature: _____ Date: _____
John E. Sheets II

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Signature: _____ Date: _____
Gregory J. Uhlmann

(6) Legal Name of Inventor: **Kelly L. Williams**

Signature:  _____ Date: 11/25/13
Kelly L. Williams