

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT2625833

SUBMISSION TYPE:	CORRECTIVE ASSIGNMENT										
NATURE OF CONVEYANCE:	Corrective Assignment to correct the ASSIGNMENT OF U.S. PATENT APPLICATION SERIAL NO. 09/914,515 AND U.S. PATENT NO. 6,909,105 previously recorded on Reel 030801 Frame 0205. Assignor(s) hereby confirms the ASSIGNMENT OF U.S. PATENT APPLICATION NO. 09/914,515.										
CONVEYING PARTY DATA											
<table border="1"> <tr> <th>Name</th> <th>Execution Date</th> </tr> <tr> <td>MAX-PLANCK-GESELLSCHAFT ZUR FORDERUNG DER WISSENSCHAFTEN E.V.</td> <td>11/22/2013</td> </tr> </table>		Name	Execution Date	MAX-PLANCK-GESELLSCHAFT ZUR FORDERUNG DER WISSENSCHAFTEN E.V.	11/22/2013						
Name	Execution Date										
MAX-PLANCK-GESELLSCHAFT ZUR FORDERUNG DER WISSENSCHAFTEN E.V.	11/22/2013										
RECEIVING PARTY DATA											
<table border="1"> <tr> <td>Name:</td> <td>MAX-PLANCK-GESELLSCHAFT ZUR FORDERUNG DER WISSENSCHAFTEN E.V.</td> </tr> <tr> <td>Street Address:</td> <td>HOFGARTENSTRASSE 8</td> </tr> <tr> <td>City:</td> <td>MUCHEN</td> </tr> <tr> <td>State/Country:</td> <td>GERMANY</td> </tr> <tr> <td>Postal Code:</td> <td>D-80539</td> </tr> </table>		Name:	MAX-PLANCK-GESELLSCHAFT ZUR FORDERUNG DER WISSENSCHAFTEN E.V.	Street Address:	HOFGARTENSTRASSE 8	City:	MUCHEN	State/Country:	GERMANY	Postal Code:	D-80539
Name:	MAX-PLANCK-GESELLSCHAFT ZUR FORDERUNG DER WISSENSCHAFTEN E.V.										
Street Address:	HOFGARTENSTRASSE 8										
City:	MUCHEN										
State/Country:	GERMANY										
Postal Code:	D-80539										
PROPERTY NUMBERS Total: 2											
<table border="1"> <tr> <th>Property Type</th> <th>Number</th> </tr> <tr> <td>Application Number:</td> <td>09914515</td> </tr> <tr> <td>Patent Number:</td> <td>6909105</td> </tr> </table>		Property Type	Number	Application Number:	09914515	Patent Number:	6909105				
Property Type	Number										
Application Number:	09914515										
Patent Number:	6909105										
CORRESPONDENCE DATA											
<p>Fax Number: (212)588-0500 Phone: 2125880800 Email: mroopram@flhlaw.com <i>Correspondence will be sent via US Mail when the email attempt is unsuccessful.</i> Correspondent Name: FROMMER LAWRENCE & HAUG LLP Address Line 1: 745 FIFTH AVE Address Line 4: NEW YORK, NEW YORK 10151</p>											
ATTORNEY DOCKET NUMBER:	135432-1000										
NAME OF SUBMITTER:	EUGNE LEDONNE										
Signature:	/Eugene LeDonne/										

OP \$80.00 09914515

Date:	11/22/2013
	This document serves as an Oath/Declaration (37 CFR 1.63).
<p>Total Attachments: 12 source=01363834#page1.tif source=01363834#page2.tif source=01363834#page3.tif source=01363834#page4.tif source=01363834#page5.tif source=01363834#page6.tif source=01363834#page7.tif source=01363834#page8.tif source=01363834#page9.tif source=01363834#page10.tif source=01363850#page1.tif source=01363850#page2.tif</p>	

RECORDATION FORM COVER SHEET
PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

MAX-PLANCK-GESELLSCHAFT ZUR FORDERUNG
DER WISSENSCHAFTEN E.V.

2. Name and address of receiving party(ies)

Name: MAX-PLANCK-GESELLSCHAFT ZUR FORDERUNG DER WISSENSCHAFTEN E.V.

Internal Address: _____

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) November 22, 2013

- ☐ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☒ Other corrective document - 030801 / 0205

Street Address: HOFGARTENSTRASSE 8

City: Munchen

State: _____

Country: Germany Zip: D-80539

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

09/914515

6,909,105

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Willi Muhsfeldt

Internal Address: Carl Zeiss Jena GmbH

Street Address: Carl-Zeiss Promenade 10

City: Jena

State: Germany Zip: D-07745

Phone Number: 03641/642400

Docket Number: 03641/642469

Email Address: muhsfeldt@zeiss.de

6. Total number of applications and patents involved: 2

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 80.00

- ☐ Authorized to be charged to deposit account
☒ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

Deposit Account Number 50-0320

Authorized User Name _____

9. Signature:

Willi Muhsfeldt
Signature

11/24/2013
Date

Willi Muhsfeldt

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 10

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

**DECLARATION OF WILLI MUHSFELDT REQUESTING
THE CORECTION OF AN ERROR IN A RECORDED
ASSIGNMENT DOCUMENT (REEL/FRAME: 030801 / 0205)**

I, Willi Muhsfeldt, am Patent Counsel for Carl Zeiss AG and Carl Zeiss Jena GmbH located in Jena, Germany.

1. I submit this declaration in support of Carl Zeiss Jena GmbH's request to correct the assignment record for U.S. Patent No. 6,909,105, which issued from U.S. Patent Application No. 09/914515.

2. On July 16, 2013, Carl Zeiss Jena GmbH recorded a document with the U.S. Patent Office (Reel/Frame: 030801 / 0205) that, among other things, assigned 67 patent applications and numerous patents to Carl Zeiss Microscopy GmbH.

3. One of the 67 patent applications, U.S. Patent Application No. 09/914515, was listed in error. To show the error, I have enclosed a copy of the originally recorded papers and lined out the incorrect application number (Exhibit 1).

4. Additionally, one of the listed patents, U.S. Patent No. 6,909,105, was also listed in error. To show this error, I have also lined out U.S. Patent No. 6,909,105 in Exhibit 1.

5. To my knowledge, the chain of title for U.S. Application No. 09/914515 (which issued as U.S. Patent No. 6,909,105) has never changed following the initial assignment from the named inventors, Rainer Heintzmann and Christoph Cremer, to Max-Planck-Gesellschaft zur Forderung der Wissenschaften e.V.

6. Because of the above-described assignment errors, it is my understanding that Max-Planck-Gesellschaft zur Forderung der Wissenschaften e.V. has been and continues to be the owner of U.S. Application No. 09/914515 and U.S. Patent No. 6,909,105.

7. In support of Carl Zeiss Jena GmbH's request to correct the assignment record, I have enclosed: (i) Exhibit 1, the originally filed papers with the above-described errors noted with strikethroughs; (ii) a Recordation Form Cover Sheet; and (iii) the required fee for each application or patent to be corrected. Thank you for your consideration of Carl Zeiss Jena GmbH's request.

November 21, 2013


Willi Muhsfeldt

EXHIBIT 1

502420202 07/16/2013

PATENT ASSIGNMENT

Electronic Version v1.1

Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
Carl Zeiss Jena GmbH	07/04/2013
RECEIVING PARTY DATA	
Name:	Carl Zeiss Microscopy GmbH
Street Address:	Carl-Zeiss-Promenade 10
City:	Jena
State/Country:	GERMANY
Postal Code:	07745
PROPERTY NUMBERS Total: 67	
Property Type	Number
Application Number:	08826906
Application Number:	08947587
Application Number:	09010079
Application Number:	09043824
Application Number:	09051374
Application Number:	09129340
Application Number:	09129342
Application Number:	09217747
Application Number:	09238858
Application Number:	09238859
Application Number:	09295554
Application Number:	09295555
Application Number:	09298760
Application Number:	09366883
Application Number:	09509228

OP \$2680.00 08826906

502420202

PATENT
REEL: 030801 FRAME: 0205PATENT
REEL: 031710 FRAME: 0717

Application Number:	09559196
Application Number:	09583894
Application Number:	09584322
Application Number:	09581881
Application Number:	09607643
Application Number:	09658321
Application Number:	09677686
Application Number:	09687124
Application Number:	09696553
Application Number:	09657205
Application Number:	09869317
Application Number:	09869951
Application Number:	09895517
Application Number:	09895518
Application Number:	09914816
Application Number:	09924268
Application Number:	09924316
Application Number:	10043008
Application Number:	10051205
Application Number:	10057571
Application Number:	10081098
Application Number:	10243238
Application Number:	10257071
Application Number:	10313649
Application Number:	10313978
Application Number:	10359230
Application Number:	10401023
Application Number:	10418016
Application Number:	10419077
Application Number:	10425302
Application Number:	10443262
Application Number:	10458699
Application Number:	10654702
Application Number:	10763649
Application Number:	10787525

*In correct
with help
11/21.2013*

PATENT
REEL: 030801 FRAME: 0208

PATENT
REEL: 031710 FRAME: 0718

	10804258
Application Number:	10888098
Application Number:	10888260
Application Number:	10916813
Application Number:	10943566
Application Number:	10967304
Application Number:	10967313
Application Number:	10967317
Application Number:	10967321
Application Number:	10967323
Application Number:	10967326
Application Number:	10967339
Application Number:	10967345
Application Number:	10967348
Application Number:	10967348
Application Number:	10967636
Application Number:	11182465

CORRESPONDENCE DATA

Fax Number:

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Email: patent@zeiss.de

Correspondent Name: Carl Zeiss AG Location Jena Patent Dept. -

Address Line 1: Carl-Zeiss-Promenade 10

Address Line 4: Jena, GERMANY 07745

ATTORNEY DOCKET NUMBER:	U03413 (MA-GB)
NAME OF SUBMITTER:	Willi Muhsfeldt
Signature:	/Willi Muhsfeldt/
Date:	07/16/2013

Total Attachments: 3

source=U03413_Assignment_MA-GB#page1.tif

source=U03413_Assignment_MA-GB#page2.tif

source=U03413_Assignment_MA-GB#page3.tif

PATENT
REEL: 030801 FRAME: 0207

PATENT
REEL: 031710 FRAME: 0719

ASSIGNMENT

WHEREAS, Carl Zeiss Jena GmbH ("Assignor"), a business entity organized and existing under the laws of the Country of Germany, and having its principal offices at Carl-Zeiss-Prinzenstrade 10, Jena, Germany D-07745, is the owner of the following U.S. Applications/Patents:

Application-No.	Filing Date	Patent-No.
08/826,906	April 9, 1997	6,178,041
08/947,587	October 9, 1997	5,995,281
09/019,079	January 21, 1998	6,037,583
09/043,624	July 22, 1997	5,969,854
09/051,374	July 22, 1997	6,064,518
09/129,340	August 5, 1998	6,356,088
09/129,342	August 5, 1998	6,167,173
09/217,747	December 21, 1998	6,276,555
09/238,838	January 28, 1999	6,269,206
09/238,859	January 28, 1999	6,848,523
09/295,534	April 21, 1999	6,377,344
09/295,555	April 21, 1999	---
09/296,760	April 23, 1999	6,308,639
09/366,883	August 4, 1999	6,594,074
09/509,228	July 27, 1999	---
09/539,196	April 27, 2000	6,521,869
09/563,694	May 3, 2000	6,563,632
09/564,322	May 3, 2000	6,486,458
09/581,881	October 13, 1999	6,586,719
09/607,643	June 30, 2000	---
09/658,321	September 8, 2000	---
09/677,685	October 2, 2000	6,631,226
09/687,124	October 13, 2000	6,666,068
09/696,553	October 25, 2000	6,693,742
09/857,205	December 22, 1999	7,009,763
09/869,317	November 22, 2000	6,741,346
09/869,951	November 2, 2000	6,850,363
09/895,517	June 28, 2001	6,958,811

Application No.	Filing Date	Patent No.
09/895,518	June 28, 2001	6,747,737
09/914,215	March 2, 2002	6,988,196
09/924,268	August 7, 2001	6,947,133
09/924,313	August 7, 2001	6,858,852
10/043,009	January 8, 2002	7,274,446
10/051,265	January 16, 2002	6,703,621
10/057,571	January 24, 2002	7,009,699
10/081,096	February 21, 2002	6,888,148
10/243,238	September 12, 2002	6,717,125
10/257,071	April 9, 2001	6,995,444
10/313,649	December 6, 2002	6,947,127
10/313,978	December 6, 2002	6,927,902
10/359,230	February 6, 2003	6,887,915
10/401,023	March 28, 2003	7,324,271
10/419,016	April 17, 2003	7,146,632
10/419,077	April 17, 2003	6,891,613
10/425,362	April 29, 2003	7,170,696
10/443,262	December 3, 2001	6,982,822
10/458,699	June 10, 2003	6,888,680
10/654,702	September 4, 2003	7,298,551
10/763,649	January 23, 2004	7,119,898
10/787,525	February 26, 2004	7,081,994
10/804,258	March 18, 2004	---
10/888,098	July 9, 2004	7,212,337
10/888,260	July 9, 2004	---
10/916,813	August 12, 2004	---
10/943,566	September 16, 2004	7,212,338
10/967,304	October 19, 2004	7,270,491
10/967,313	October 19, 2004	7,304,260
10/967,317	October 19, 2004	---
10/967,321	October 19, 2004	7,301,696
10/967,323	October 19, 2004	---
10/967,326	October 19, 2004	7,323,679
10/967,339	October 19, 2004	7,369,397
10/967,345	October 19, 2004	7,388,713

incorrect will be
11/21 2013

Application No.	Filing Date	Patent No.
10/967,346	October 19, 2004	---
10/967,348	October 19, 2004	---
10/967,636	October 19, 2004	7,271,382
11/182,465	July 15, 2007	7,271,897

WHEREAS, Carl Zeiss Microscopy GmbH ("Assignee"), a business entity organized and existing under the laws of the Country of Germany, and having its principal offices at Carl-Zeiss-Promenade 10, Jena, Germany D-07745, is desirous of acquiring the entire right, title and interest in and to said applications and in, to, and under any and all Letters Patents to be obtained therefor;



NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned and transferred, and by these presents do hereby sell, assign and transfer unto the said Assignee, its successors and assigns, our entire right, title and interest in said applications, all applications claiming priority to said applications including all divisions, continuations or renewals thereof, and the Letters Patents, that may or shall issue, herefrom including all reissues or extensions of such patents, and we do hereby authorize and request the Commissioner of Patents to issue said Letters Patents to the above mentioned Assignee in accordance herewith.

Upon said consideration we do hereby covenant and agree with the said Assignee, its successors and assigns, that we will not execute in writing or do any act whatsoever conflicting with these presents, and that we or our executors or administrators will at any time upon request, without further or additional consideration, but at the expense of the said Assignee, its successors and assigns, execute such additional writings and do such additional acts as said Assignee, its successors and assigns, may deem necessary or desirable to perfect the Assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisional, reissued or extended Letters Patent of the United States on said invention, and in enforcing any rights occurring as a result of such applications or patents, by giving testimony in any proceedings or transactions involving such applications or patents.

IN WITNESS WHEREOF, Assignor has hereunto set its hand and affixed its seal as dated below:

ASSIGNOR: Carl Zeiss Jena GmbH

Date: July 4, 2013



 J.V. Willi Muhsefeldt J.V. Dr. Marc-René Carlsohn
 Name Printed or Typed

1284-01

12-21-2001



R SHEET

101920702

To the Honorable Commissioner of Patents and Trademarks, please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
Rainer Heintzmann and Christoph Cremer

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

10.24.01

Execution Date: September 7, 2001 and September 22, 2001, respectively

2. Name and address of receiving party(ies):
Max-Planck-Gesellschaft zur Förderung der
Wissenschaften e.V., a corporation of Germany,
Hofgartenstraße 8, D-80539 München, Germany

Additional name(s) and address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) 09/914,515 filed August 28, 2001

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

IP Department
Schnader Harrison Segal & Lewis
1600 Market Street, 36th Floor
Philadelphia, PA 19103

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$40.00

- ☒ Enclosed
☐ Authorized to be charged to deposit account

8. Deposit Account No. 13-3405
(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

T. Daniel Christenbury

Name of Person Signing

Signature

Date

24 Oct 2001

Total number of pages including cover sheet, attachments and document: 2

PATENT
REEL: 012379 FRAME: 0874

PATENT
REEL: 031710 FRAME: 0723

ASSIGNMENT

WHEREAS, we, Rainer Heintzmann and Christoph Cremer, citizens of Germany, residing at Stumpfe Eiche 11, D-37077 Göttingen, Germany and Mombertplatz 23, D-69126 Heidelberg, Germany, respectively, (hereinafter referred to as "the undersigned"), having made an invention entitled PROCESS AND DEVICE FOR OBJECT IMAGING for which on the date set forth below, unless otherwise indicated here, _____, the undersigned executed an application for United States Letters Patent,

WHEREAS, Max-Planck-Gesellschaft zur Förderung der Wissenschaften e.V., a corporation of Germany, with offices at Hofgartenstraße 8, D-80539 München, Germany, (hereinafter referred to as "assignee"), is desirous of acquiring the entire right, title and interest in said invention, said application and all letters patent issuing for said invention,

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and of other good and valuable consideration, receipt of which is hereby acknowledged, the undersigned, intending to be legally bound, does hereby sell, assign and transfer to the assignee the entire right, title and interest, for the United States of America, its territories and possessions, and for all foreign countries, in said invention, including said patent application, all divisions and continuations thereof, all rights to claim priority based thereon, all rights to file foreign applications on said invention, and all letters patent and reissues thereof, issuing for said invention in the United States of America and in any and all foreign countries.

It is agreed that the undersigned shall be legally bound, upon request of the assignee, or its successors or assigns or a legal representative thereof, to supply all information and evidence of which the undersigned has knowledge or possession, relating to the making and practice of said invention, to testify in any legal proceeding relating thereto, to execute all instruments proper to patent the invention in the United States of America and foreign countries in the name of the assignee, and to execute all instruments proper to carry out the intent of this instrument. If the undersigned includes more than one individual, these obligations shall apply to all of the undersigned both individually and collectively.

The rights and property herein conveyed by the undersigned are free and clear of any encumbrance.

EXECUTED on 7th of September, 2001, at Göttingen, Germany.

Rainer Heintzmann
Rainer Heintzmann

Christoph Cremer 22nd of Sept 2001,
Christoph Cremer
Heidelberg

Witness

RECORDED: 10/24/2001

PATENT
REEL: 012379 FRAME: 0875

RECORDED: 11/22/2013

PATENT
REEL: 031710 FRAME: 0724