#### 502590188 12/04/2013

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2

EPAS ID: PAT2636369

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
JOHN M POLO	06/08/2000
THOMAS W DUBENSKY JR	06/21/2000
ILYA FROLOV	10/06/2000
JASON GARDNER	07/13/2000
GILLIS OTTEN	05/30/2000
SUSAN W BARNETT	05/30/2000
DAVID A DRIVER	04/11/2000

## **RECEIVING PARTY DATA**

Name:	CHRION CORPORATION
Street Address:	4560 HORTON STREET
City:	EMERYVILLE
State/Country:	CALIFORNIA
Postal Code:	94608-2916

#### PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	12825722

## **CORRESPONDENCE DATA**

Fax Number:

Email: christy.snyder@novartis.com

Correspondence will be sent via US Mail when the email attempt is unsuccessful. NOVARTIS VACCINES AND DIAGNOSTICS, INC. Correspondent Name:

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Address Line 2: IP SERVICES, BLDG. 433 257

EAST HANOVER, NEW JERSEY 07936-1080 Address Line 4:

ATTORNEY DOCKET NUMBER: PAT052447-US-CNT02

**REEL: 031714 FRAME: 0006** 

**PATENT** 

NAME OF SUBMITTER:	REGINA BAUTISTA
Signature:	/Regina Bautista/
Date:	12/04/2013
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PATENT REEL: 031714 FRAME: 0007

Atty. Docket No. 1593.002

## ASSIGNMENT

WHEREAS, We, John M. Polo, Thomas W. Dubensky, Jr., Ilya Frolov, Jason Gardner, Gillis Otten, Susan Barnett, and David A. Driver, hereinafter referred to as ASSIGNORS, have invented certain new and useful improvements as described and set forth in the below-identified application for United States Letters Patent:

Title of Invention:

COMPOSITIONS AND METHODS FOR GENERATING AN IMMUNE RESPONSE UTILIZING ALPHAVIRUS-BASED VECTOR SYSTEMS

Date(s) of Execution: 04/11/00, 05/30/00,

Filing Date: August 9, 1999

06/08/00, 06/21/00, and 07/13/00

Serial No:

60/148.086

WHEREAS, CHIRON CORPORATION, 4560 Horton Street, Emeryville, California 94608-2916, a corporation of the State of Delaware, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in the above invention and application and in any Letters Patent which may be granted on the same:

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that, for and in consideration of the sum of One Dollar (\$1.00) lawful money paid to ASSIGNORS by ASSIGNEE, receipt of which is hereby acknowledged, ASSIGNORS have sold, assigned and transferred, and by these presents do sell, assign and transfer unto said ASSIGNEE, and ASSIGNEE'S successors and assigns, all right, title and interest in and to the said invention, said application for United States Letters Patent, and any Letters Patent which may hereafter be granted on the same in the United States and all countries throughout the world including any divisions, renewals, continuations in whole or in part, substitutions, conversions (including conversions claiming priority under 35 U.S.C. §119(e)), reissues, prolongations or extensions thereof, the said interest to be held and enjoyed by said ASSIGNEE as fully and exclusively as it would have been held and enjoyed by said ASSIGNORS had this assignment and transfer not been made, to the full end and term of any Letters Patent.

ASSIGNORS also agree that the U.S. application number may be entered above by ASSIGNEE or its agents upon designation of the application number by the U.S. Patent and Trademark Office.

ASSIGNEE'S expense, cooperate with ASSIGNEE in the prosecution of said application and/or applications, execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof, and will perform such other acts as ASSIGNEE lawfully may request, to obtain or maintain Letters Patent for said invention and improvement in any and all countries, and to vest title thereto in said ASSIGNEE, or ASSIGNEE'S successors and assigns.

IN TESTIMONY WHEREOF, ASSIGNORS have hereunto signed their names to this assignment on the dates indicated below.

DATE _	6/9/00	
DATE _	6-21.00	John M. Pole Thomas W. Dubensky, Jr.
DATE_		
DATE _	210/00	Ilya Frolov  ——————————————————————————————————
DATE _	5/30/00	Jason Gardner  Gillis, Otten
DATE_	5/30/60	Susan Barnett
DATE_	4/11/00	David A. Driver

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		he person(s) whose name(s) is/are subscribed to the instrument and acknowledged to me that he/she/they
JUSTIN A. DRYDEN COTTONIAN & 1117314		ted the same in his/her/their authorized capacity(ies), at by his/her/their signature(s) on the instrument the
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☐ Attorney-in-Fact		☐ Attorney-in-Fact
Trustee Guardian or Conservator		☐ Trustee ☐ Guardian or Conservator
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personally appearedGillis Otten	× × × × × × × × × × × × × × × × × × ×
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EJSSA M. NASH Commission # 1262878 Notary Rusic - California Alameda County My Comm. Bestes May 10, 2004	whose name(s) and subscribed to the within instrument and acknowledged to me that he same in higher/their authorized capacity (see), and that by higher their signature (see) in the instrument the person(see) or the entity upon behalf of which the person(see) executed the instrument.  WITNESS my hand and official seal.  OPTIONAL
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ELISSA M. NASH COMPRESON & 1242978 Notopy Passo - Coshorsia Akanasia County Ay Contra Esches Acy 10, 204	whose name(s)(is)are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his him their authorized capacity(ies), and that by his his rignature(s) on the instrument the person(s). Or the entity upon behalf of which the person(s) acted executed the instrument.  WITNESS my hand and official seal.
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County of Alameda	
On July 13, 2000	before me, Elissa M. Nash ————————————————————————————————————
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EISSA M. NASH Commission # 1242878 Notary Public - Collision My Correct Express May 10.2	proved to me on the basis of satisfactory evidence to be the person by whose name whose name whose subscribed to the within instrument and acknowledged to me that he same in his healther authorized capacity(ites), and that by the signature subscribed to the instrument the person subscribed the entity upon behalf of which the person executed the instrument.  WITNESS my hand and official seal.
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Title of Invention:

COMPOSITIONS AND METHODS FOR GENERATING AN IMMUNE RESPONSE UTILIZING ALPHAVIRUS-BASED VECTOR SYSTEMS

Date(s) of Execution: October 6, 2000

Filing Date: August 9, 1999

Serial No:

60/148,086

WHEKEAS, CHIRON CORPORATION, 4560 Horton Street, Emeryville, California 94608-2916, a corporation of the State of Delaware, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in the above invention and application and in any Letters Patent which may be granted on the same;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that, for and in consideration of the sum of One Dollar (\$1.00) lawful money paid to ASSIGNORS by ASSIGNEE, receipt of which is hereby acknowledged, ASSIGNORS have sold, assigned and transferred, and by these presents do sell, assign and transfer unto said ASSIGNEE, and ASSIGNEE'S successors and assigns, all right, title and interest in and to the said invention, said application for United States Letters Patent, and any Letters Patent which may hereafter be granted on the same in the United States and all countries throughout the world including any divisions, renewals, continuations in whole or in part, substitutions, conversions (including conversions claiming priority under 35 U.S.C. \$119(e)), reissues, prolongations or extensions thereof, the said interest to be held and enjoyed by said ASSIGNEE as fully and exclusively as it would have been held and enjoyed by said ASSIGNORS had this assignment and transfer not been made, to the full end and term of any Letters Patent.

1

**PATENT** REEL: 031714 FRAME: 0016 ASSIGNORS also agree that the U.S. application number may be entered above by ASSIGNEE or its agents upon designation of the application number by the U.S. Patent and Trademark Office.

ASSIGNORS further agree that they will, without charge to said ASSIGNEE, but at ASSIGNEE'S expense, cooperate with ASSIGNEE in the prosecution of said application and/or applications, execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof, and will perform such other acts as ASSIGNEE lawfully may request, to obtain or maintain Letters Patent for said invention and improvement in any and all countries, and to vest title thereto in said ASSIGNEE, or ASSIGNEE'S successors and assigns.

IN TESTIMONY WHEREOF, ASSIGNORS have hereunto signed their names to this assignment on the dates indicated below.

DATE	
***************************************	John M. Polo
DATE	
	Thomas W. Dubensky, Jr.
DATE	<u> </u>
	Llsa Frotov
	Harman Witness signature
DATE	
	Jason Gardner
DATE	
	Gillis Otten
DATE	
	Susan Barnett
DATE	
	David A. Driver

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