## 502593823 12/06/2013

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2

EPAS ID: PAT2640066

| SUBMISSION TYPE:   |   |  | NEW ASSIGNMENT   |   |    |                |          |
|--|---|--|--|---|----|----------------|----------|
| NATURE OF CONVEYANCE:  |   |  | ASSIGNMENT   |   |    |                |          |
| CONVEYING PARTY  | DATA  |  |  |   |    |                |          |
|  |   | N  | ame  |   |    | Execution Date | $\neg$   |
| ALEXANDRA SANTANA SORENSEN   |   |  | 03/29/200  |   |    | =              |          |
| PETERIS ALBERTS  |   |  |  |   |    | 25/2009        |          |
| RECEIVING PARTY  | DATA  |  |  |   |    |                |          |
| Name:  | me: EVOLVA SA   |  |  |   |    |                |          |
| Street Address:  | HAGMATTST   | RASSE  | 6  |   |    |                |          |
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| State/Country:   | SWITZERLAN  | ND   |  |   |    |                |          |
| Postal Code:   | CH-4123   |  | CH-4123  |   |    |                |          |
| PROPERTY NUMBE   | RS Total: 1   |  |  |   |    |                |          |
| Property   |   | 127970   | 90   | Number                                      |    |                | <u> </u> |
|  |   | 137872   | 89   | Number                                      |    |                |          |
| Property   | Туре  | 137872   | 89   | Number                                      |    |                |          |
| Property Application Number:   | Type  E DATA  | 137872   |  | Number                                      |    |                |          |
| Property Application Number: CORRESPONDENCI Fax Number: Phone:   | Type  E DATA  (312 312  | 2)913-00   | D2<br>1  | Number                                      |    |                |          |
| Property Application Number: CORRESPONDENCI Fax Number: Phone: Email:  | Type  E DATA  (312 312 allel                                  | 2)913-00<br>913 000  | 02<br>1<br>.com  |   |    |                |          |
| Property Application Number: CORRESPONDENCI Fax Number: Phone: Email:  | Type  E DATA  (312 312 aller be sent via US Ma                | 2)913-00<br>913 000<br>n@mbhb                              | D2<br>1  | nsuccessful.                                | LP |                |          |
| Property Application Number:  CORRESPONDENCE Fax Number: Phone: Email: Correspondence will Correspondent Name Address Line 1:                                | Type  E DATA  (312 312 aller be sent via US Ma :: MCI 300     | 2)913-00<br>913 000<br>n@mbhb<br><i>ail when</i><br>DONNEL | 02<br>1<br>.com<br><i>the email attempt is ur</i><br>L BOEHNEN HULBEF<br>WACKER DRIVE, SU                  | nsuccessful.<br>RT & BERGHOFF L             | LP |                |          |
| Property Application Number: CORRESPONDENCI Fax Number: Phone: Email: Correspondence will Correspondent Name   | Type  E DATA  (312 312 aller be sent via US Ma :: MCI 300     | 2)913-00<br>913 000<br>n@mbhb<br><i>ail when</i><br>DONNEL | 02<br>1<br>.com<br><i>the email attempt is ur</i><br>L BOEHNEN HULBER                                      | nsuccessful.<br>RT & BERGHOFF L             | LP |                |          |
| Property Application Number:  CORRESPONDENCE Fax Number: Phone: Email: Correspondence will Correspondent Name Address Line 1:                                | Type  E DATA  (312 312 aller be sent via US Ma E: MCI 300 CHI | 2)913-00<br>913 000<br>n@mbhb<br><i>ail when</i><br>DONNEL | 02<br>1<br>.com<br><i>the email attempt is ur</i><br>L BOEHNEN HULBEF<br>WACKER DRIVE, SU                  | nsuccessful.<br>RT & BERGHOFF L             | LP |                |          |
| Property Application Number: CORRESPONDENCI Fax Number: Phone: Email: Correspondence will Correspondent Name Address Line 1: Address Line 4:                 | Type  E DATA  (312 312 aller be sent via US Ma E: MCI 300 CHI | 2)913-00<br>913 000<br>n@mbhb<br><i>ail when</i><br>DONNEL | 02<br>1<br>.com<br><i>the email attempt is ur</i><br>L BOEHNEN HULBEI<br>WACKER DRIVE, SU<br>LLINOIS 60606 | nsuccessful.<br>RT & BERGHOFF L<br>ITE 3100 | LP |                |          |
| Property Application Number: CORRESPONDENCI Fax Number: Phone: Email: Correspondence will Correspondent Name Address Line 1: Address Line 4: ATTORNEY DOCKET | Type  E DATA  (312 312 aller be sent via US Ma E: MCI 300 CHI | 2)913-00<br>913 000<br>n@mbhb<br><i>ail when</i><br>DONNEL | 02<br>1<br>.com<br>the email attempt is ur<br>L BOEHNEN HULBER<br>WACKER DRIVE, SU<br>LLINOIS 60606        | nsuccessful.<br>RT & BERGHOFF L<br>ITE 3100 | LP |                |          |

PATENT REEL: 031733 FRAME: 0494

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Total Attachments: 2

## **ASSIGNMENT**

For good and valuable consideration, the receipt of which is hereby acknowledged, the person(s) named below (referred to as "INVENTOR" whether singular or plural) has sold, assigned, and transferred and does hereby sell, assign, and transfer to Evolva Sa, a Swiss corporation, having a place of business at Hagmattstrasse 6, CH-4123 Allschwil, CH ("ASSIGNEE"), for itself and its successors, transferees, and assignees, the following:

- 1. The entire worldwide right, title, and interest in all inventions and improvements ("SUBJECT MATTER") that are disclosed in the provisional application filed under 35 U.S.C. §111(b) or non-provisional application filed under 35 U.S.C. §111(a) and entitled <u>PRODRUGS OF SUBSTITUTED 1,3-DIOXANES AND THEIR USES</u> ("APPLICATION"), which:
  - was filed on January 18, 2008, and now bears PCT application serial number PCT/US2008/051525.
  - ☐ The APPLICATION claims priority from provisional application 60/989,805, filed on November 21, 2007; provisional application 60/989,806 filed on November 21, 2007; provisional application 60/989,808 filed on November 21, 2007; and international application PCT/US2007/060724 filed on January 18, 2007.
  - 2. The entire worldwide right, title, and interest in and to:
- (a) the APPLICATION; (b) all applications claiming priority from the APPLICATION; (c) all provisional, utility, divisional, continuation, substitute, renewal, reissue, and other applications related thereto which have been or may be filed in the United States or elsewhere in the world; (d) all patents (including reissues and re-examinations) which may be granted on the applications set forth in (a), (b), and (c) above; and (e) all right of priority in the APPLICATION and in any underlying provisional or foreign application, together with all rights to recover damages for infringement of provisional rights.

INVENTOR agrees that ASSIGNEE may apply for and receive patents for SUBJECT MATTER in ASSIGNEE's own name.

INVENTOR agrees to do the following, when requested, and without further consideration, in order to carry out the intent of this Assignment: (1) execute all oaths, assignments, powers of attorney, applications, and other papers necessary or desirable to fully secure to ASSIGNEE the rights, titles and interests herein conveyed; (2) communicate to ASSIGNEE all known facts relating to the SUBJECT MATTER; and (3) generally do all lawful acts that ASSIGNEE shall consider desirable for securing, maintaining, and enforcing worldwide patent protection relating to the SUBJECT MATTER and for vesting in ASSIGNEE the rights, titles, and interests herein conveyed. INVENTOR further agrees to provide any successor, assign, or legal representative of ASSIGNEE with the benefits and assistance provided to ASSIGNEE hereunder.

INVENTOR represents that INVENTOR has the rights, titles, and interests to convey as set forth herein, and covenants with ASSIGNEE that the INVENTOR has not made and will not hereafter make any assignment, grant, mortgage, license, or other agreement affecting the rights, titles, and interests herein conveyed.

Case Docket 13789 PCT 1 of 2 26356/13789/DOCS/2047981.1

Title:

Prodrugs of Substituted, 1,3-Dioxanes and Their Uses

Filed: Application No.: January 18, 2008 PCT/US2008/051525

INVENTOR grants the attorney of record the power to insert on this Assignment any further identification that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

This Assignment may be executed in one or more counterparts, each of which shall be deemed an original and all of which may be taken together as one and the same Assignment.

| Name and Signature         | Date of Signature |       |        |  |
|----------------------------|-------------------|-------|--------|--|
| Alexandra Santana Sorensen | _ 29              | Vanch | , 2009 |  |
| Name and Signature         | Date of Signature |       |        |  |
| Per Mit                    | 25                | Dark  | , 2009 |  |
| Peteris Alberts            |                   |       |        |  |

Case Docket 13789 PCT

**RECORDED: 12/06/2013** 

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PATENT REEL: 031733 FRAME: 0496