Ase Dh P.C	ector and T), Boy	ent Recordation Services RECORDATION F	ORM COVER SHEET U.S. DEPARTMENT OF COMMERCE NTS ONLY Patent and Trademark Office Attorney Docket No. <u>159242</u>
		cord the attached document, nber of pages including cover sheet, attachments, and do	ocument: <u>3</u>
1.	А. В.	Name of conveying party: Tadashi GOINO Additional name(s) of conveying party(ics) attached? Yes 🖾 No	 2. A. Name and address of receiving party: ENVIRONMENT ENERGY NANO TECHNICAL RESEARCH INSTITUTE 5263-5, OAZA AISOME, IKEDA-CHO, KITAAZUMI-GUN, NAGANO 3998602, JAPAN B. Additional name(s) & address(es) attached? □Yes ⊠ No
3.	A.	Nature of conveyance: Assignment Image: Merger Security Agreement Image: Change of Name Other Execution Date: November 5, 2013	
4.		 A. Patent Application No. <u>14/111.389</u> B. Patent No.(s) Additional numbers attached? ☐ Yes ⊠ No C. Title of Application: <u>APPARATUS FOR PRODUCING CARBON NANOMATERIAL, AND USE</u> <u>THEREOF</u> 	
5.	con Na	ne and address of party to whom correspondence cerning document should be mailed: ime: James A. Oliff dress: OLIFF & BERRIDGE, PLC P.O. Box 320850 Alexandria, VA 22320-4850 Phone Number: 703-836-6400 Fax Number: 703-836-2787 Email: <u>email@oliff.com</u>	 Total number of applications and patents involved: 1 Please charge Deposit Account No. 15-0461 the total fee (37 CFR 3.41) in the amount of \$40.00. Credit any overpayment or charge any underpayment to deposit account number 15-0461.
9.	To j oris Jam	tement and signature. the best of my knowledge and belief, the threebing infart inal document. tes A. Ohn, Registration No. 27,075 ridson G. Lucas, Registration No. 68,251	mation is true and correct and any attached copy is a true copy of the Date: <u>November 22, 2013</u>

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1.

ASSIGNMENT FOR US PATENT APPLICATIONS AND US PATENTS

WHEREAS, the undersigned inventor, Tadashi GOINO of Azumino-shi, Naganoken, Japan (hereinafter referred to as "the Assignor"), has invented certain new and useful improvements in "APPARATUS FOR PRODUCING CARBON NANOMATERIAL, AND USE THEREOF", and is the owner of the entire right, title and interest in and to said improvements;

WHEREAS, Environment energy nano technical research institute, a corporation duly organized under and pursuant to the laws of Japan, and having a principal place of business at 5263-5, Oaza Aisome, Ikeda-cho, Kitaazumi-gun, Nagano 3998602 Japan (hereinafter referred to as "the Assignee"), is desirous of acquiring the entire right, title and interest of the Assignor in and to said improvements;

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, the Assignor has sold, assigned, transferred, and set over, does hereby sell, assign, transfer, and set over, unto the Assignce, its successors, and legal representatives, the entire right, title, and interest of the Assignor in and to the above-mentioned improvements, the above-mentioned entire right, title and interest of the Assignor includes the entire right, title and interest in and to any Japanese patent applications based on said improvements, in and to any and all Japanese Patents that may be granted therefor and thereon, in and to any patent applications based on said improvements that may be filed to the United States of America (hereinafter "US"), in and to any and all US Patents that may be granted therefor and thereon, in and to any and all applications claiming priority to said applications, in and to any divisions, continuations, and continuations-in-part of said applications, and reissues and extensions of said Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by the Assignce, for its own use and behalf and the use and behalf of its successors, and legal representatives to the full end of the term or terms for which Patents may be granted as fully and entirely as the same would have been held and enjoyed by the Assignor had this sale and assignment not been made;

The Assignor hereby confirms that the Assignee has filed Japanese patent application based on said improvements on April 15, 2011 to which serial No. 2011-091502 has been assigned, and is entitled to file any US patent applications based on said improvements;

AND for the same consideration, the Assignor hereby covenants to and agrees with the Assignee, its successors and legal representatives, that, at the time of execution and delivery of these presents, the Assignor is the sole and lawful owner of their entire right, title, and interest in and to the improvements set forth in said above-mentioned application, and that the same right, title, and interest are unencumbered, and that the Assignor has good and full right and lawful authority to sell and convey the same in the manner herein set forth;

AND for the same consideration, the Assignor hereby covenants to and agrees with the Assignee, its successors, and legal representatives that the Assignor will, whenever counsel of the Assignee, or the counsel of its successors, and legal representatives, shall advise that any proceeding in connection with said improvements or said applications for Patents, or any proceeding in connection with US Patents for said improvements in the United States of America, including interference proceedings, is lawful and desirable, or that any application claiming priority to said application, division, continuation, or continuationin-part of any applications for Patents, or any reissue or extension of any Patents to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement, and defense of Patents for said improvements, without charge to the Assignee, its successors, and legal representatives; but at the cost and expense of the Assignee, their successors, and legal representatives;

AND Assignor hereby authorizes the Assignee, its successors and legal representatives, or anyone it may properly designate, to insert below the filing date and serial number of said US patent application, when said filing date and serial number become available:

US Patent Application Serial No. 14/111.389 Filing Date October 11, 2013 ;

AND the Assignor hereby requests the Commissioner of Patents and Trademarks to issue any and all said US Patents that may be granted upon any of the above-mentioned applications, in the name of the Assignee, for the sole use and behalf of the Assignee, its successors, and legal representatives.

10venber, 5,2013 Date: _____ Name of Assignor _____ Ta dashi GOINO Tadashi GOINO

> PATENT REEL: 031784 FRAME: 0669

RECORDED: 11/22/2013