

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT2663396

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
ALLERGAN MEDICAL SARL	12/20/2012
RECEIVING PARTY DATA	
Name:	APOLLO ENDOSURGERY, INC.
Street Address:	1120 SOUTH CAPITAL OF TEXAS HIGHWAY
Internal Address:	BUILDING ONE, SUITE 300
City:	AUSTIN
State/Country:	TEXAS
Postal Code:	78746
PROPERTY NUMBERS Total: 8	
Property Type	Number
Patent Number:	6974445
Patent Number:	7128750
Patent Number:	8079974
Application Number:	13018322
Application Number:	13018270
Patent Number:	8506517
Application Number:	13184340
Patent Number:	7314443
CORRESPONDENCE DATA	
Fax Number:	(203)323-1803
Phone:	203-323-1800
Email:	pto@gordonjacobson.com
<i>Correspondence will be sent via US Mail when the email attempt is unsuccessful.</i>	
Correspondent Name:	GORDON AND JACOBSON, P.C.
Address Line 1:	60 LONG RIDGE ROAD

Address Line 2: SUITE 407
Address Line 4: STAMFORD, CONNECTICUT 06902

ATTORNEY DOCKET NUMBER: APO - ALLERGAN SARL

NAME OF SUBMITTER: DAVID S. JACOBSON

Signature: /David S. Jacobson/

Date: 12/31/2013

Total Attachments: 6

source=Apollo OUS Patent Assignment-Executed Version#page1.tif
source=Apollo OUS Patent Assignment-Executed Version#page2.tif
source=Apollo OUS Patent Assignment-Executed Version#page3.tif
source=Apollo OUS Patent Assignment-Executed Version#page4.tif
source=Apollo OUS Patent Assignment-Executed Version#page5.tif
source=Apollo OUS Patent Assignment-Executed Version#page6.tif

PATENT ASSIGNMENT

This PATENT ASSIGNMENT (the "Assignment") is effective as of December 30, 2013 and is by and between ALLERGAN MEDICAL SarL, a corporation organized under the laws of Switzerland with a place of business at PSE-B Ecublens EPFL, CH-1015, Lausanne, Switzerland ("ASSIGNOR"), and APOLLO ENDOSURGERY, INC., a Delaware corporation with a place of business at 1120 South Capital of Texas Highway, Building One, Suite 300, Austin, Texas USA 78746 ("ASSIGNEE").

WHEREAS, ASSIGNOR wishes to assign to ASSIGNEE the patents and patent applications listed on attached Exhibit A ("the Patents") and ASSIGNEE wishes to receive the assignment of the Patents from ASSIGNOR.

NOW THEREFORE, for good and valuable consideration, the receipt of which is acknowledged, ASSIGNOR hereby assigns, transfers and sells to ASSIGNEE all right, title and interest in and to the Patents owned by ASSIGNOR including in and to any and all divisionals, continuations, continuations-in-part, substitutes, reexaminations, renewals, reissues and patents which have or which may be filed thereon or may be granted therefor, including any and all counterparts worldwide, including all right, title and interest in and to all income, royalties, damages and payments now or hereafter due or payable with respect to the Patents, and all causes of action (whether in law or equity) and the right to sue, counterclaim, and recover for the past, present and future infringement of the Patents.

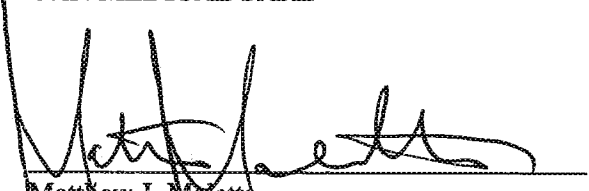
ASSIGNOR agrees that if requested by ASSIGNEE, without charge to ASSIGNOR but at the cost and expense of ASSIGNEE, ASSIGNOR will perform any reasonable action which may be necessary to secure and to vest in ASSIGNEE the full and entire right, title and interest in, to and under the Patents, including promptly communicating and providing any and all known and accessible facts, data or any other pertinent information thereof and promptly executing and delivering any and all papers, documents, forms, declarations, oaths, affidavits and other legal instruments.

ASSIGNOR authorizes and requests any official of any country or countries, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

IN TESTIMONY WHEREOF, I hereunder set my hand this 20th day of December, 2013, as a duly authorized representative of ASSIGNOR.

ALLERGAN MEDICAL SARL

By:


Matthew J. Maletta

Signing Officer

State of CALIFORNIA

County of ORANGE

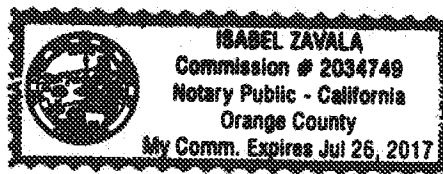
} ss:

On December 20, 2013, before me, Isabel Zavala, Notary Public, personally appeared Matthew J. Maletta, who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

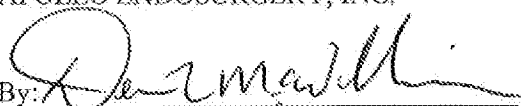
WITNESS my hand and official seal.


Isabel Zavala, Notary Public



ACKNOWLEDGED AND CONFIRMED, I hereunder set my hand this 30th day of December, 2013, as a duly authorized representative of ASSIGNEE.

APOLLO ENDOSURGERY, INC.

By: 

Name: Dennis McWilliams

Title: Chief Executive Officer

State of TEXAS)
) ss:
County of TRAVIS)

On 12/30/2013, before me, SHARON DSOUZA, Notary Public, personally appeared DENNIS MCWILLIAMS, who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of Texas that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.



Notary Public



EXHIBIT A

Docket Number	City	Sub Case	Case Type	Status	Application Number	Filing Date	Publication Number	Publication Date	Patent Number	Issue Date
18075	DE	EP	EPP	Granted	10975939	10/19/2001	1330276	7/30/2003	DE6013298 09T2	2/12/2009
18075	EP	EP	PCT	Granted	10975939	10/19/2001	1330276	7/30/2003	1330276	2/20/2008
18075	FR	EP	EPP	Granted	10975939	10/19/2001	1330276	7/30/2003	1330276	2/20/2008
18075	GB	EP	EPP	Granted	10975939	10/19/2001	1330276	7/30/2003	1330276	2/20/2008
18075	US		PCT	Granted	10/398,764	4/10/2003	20040044332 A1	3/4/2004	6,974,445	12/13/2005
18180	CH		EPC	Granted	949376.8	7/19/2000	1200152	5/2/2002	1200152	9/22/2004
18180	DE		EPC	Granted	949376.8	7/19/2000	1200152	5/2/2002	DE6001410 1T3	9/22/2004
18180	EP	PCT	ORD	Granted	949376.8	7/19/2000	1200152	5/2/2002	1200152	9/22/2004
18180	ES		EPC	Granted	949376.8	7/19/2000	1200152	5/2/2002	1200152	9/22/2004
18180	FR		EPC	Granted	949376.8	7/19/2000	1200152	5/2/2002	1200152	9/22/2004
18180	GB		EPC	Granted	949376.8	7/19/2000	1200152	5/2/2002	1200152	9/22/2004
18180	IT		EPC	Granted	949376.8	7/19/2000	1200152	5/2/2002	1200152	9/22/2004
18180	US		PCT	Granted	10/031,469	5/29/2002			7,128,750	10/31/2006
18180	US	1	CON	Granted	11/586,886	10/26/2006	07/0055296	3/8/2007	8,079,974	12/20/2011
18180	US	CON 1DIV 1	DIV	Published	13/018,322	1/31/2011	11-0130702	6/2/2011		
18180	US	CON 2	CON	Published	13/018,270	1/31/2011	11-0130701	6/2/2011		
18180	US	CON 3	CON	Granted	13/036,358	2/28/2011	11-0144670	6/16/2011	8,506,517	8/13/2013
18180	US	CON 4	CON	Published	13/184,340	7/15/2011	11-0270288	11/3/2011		
18181	de	PCT	EPP	Granted	3714785.7	3/6/2003	1483730	9/10/2003	1483730	12/8/2004
18181	EP	PCT	PCT	Granted	3714785.7	3/6/2003	1483730	12/8/2004	1483730	10/19/2005
18181	ES	PCT	EPP	Granted	3714785.7	3/6/2003	1483730	9/10/2003	1483730	12/8/2004
18181	FR	PCT	EPP	Granted	3714785.7	3/6/2003	1483730	9/10/2003	1483730	12/8/2004
18181	GB	PCT	EPP	Granted	3714785.7	3/6/2003	1483730	9/10/2003	1483730	12/8/2004
18181	IT	PCT	EPP	Granted	3714785.7	3/6/2003	1483730	9/10/2003	1483730	12/8/2004
18181	JP		PCT	Granted	2003-575333	3/6/2003	2005-518914	6/30/2005	4512887	5/21/2010
18181	US		PCT	Granted	10/506,790	9/7/2004	05/0104457	5/19/2005	7,314,443	1/1/2008
18181	US	CON 1	PCT	Granted	11/968,012	12/31/2007	08-0108862	5/8/2008	7,959,552	6/14/2011
18182	DE		EPC	Granted	2019937.8	9/4/2002	1396243	3/10/2004	60221828T 2	8/15/2007
18182	DE	2	EPP	Granted	5800341.9	10/12/2005	1827325	9/5/2007	602005027 892.3-08	5/4/2011
18182	EP		PRI	Granted	2019937.8	9/4/2002	1396243	3/10/2004	1396243	8/15/2007
18182	EP	2	PCT	Granted	5800341.9	10/12/2005	1827325	9/5/2007	1827325	5/4/2011
18182	ES		EPC	Granted	2019937.8	9/4/2002	1396243	3/10/2004	1396243	8/15/2007
18182	ES	2	EPP	Granted	5800341.9	10/12/2005	1827325	9/5/2007	1827325	5/4/2011
18182	FR		EPC	Granted	2019937.8	9/4/2002	1396243	3/10/2004	1396243	8/15/2007
18182	FR	2	EPP	Granted	5800341.9	10/12/2005	1827325	9/5/2007	1827325	5/4/2011
18182	GB		EPC	Granted	2019937.8	9/4/2002	1396243	3/10/2004	1396243	8/15/2007

EXHIBIT A

18182	GB	2	EPP	Granted	5800341.9	10/12/2005	1827325	9/5/2007	1827325	5/4/2011
18182	HK		REP	Granted	8102462.7	3/4/2003	1108354A	5/9/2003	1108354A	7/8/2011
18182	IE		EPC	Granted	2019937.8	9/4/2002	1396243	3/10/2004	1396243	8/15/2007
18182	IN	2	PCT	Published	3007DELNP20 07	10/12/2005		8/17/2007		
18182	IT		EPC	Granted	2019937.8	9/4/2002	1396243	3/10/2004	1396243	8/15/2007
18182	IT	2	EPP	Granted	5800341.9	10/12/2005	1827325	9/5/2007	1827325	5/4/2011
18182	JP	2	PCT	Granted	2007-536280	10/12/2005	08-515582A	5/15/2008	4712807	4/1/2011
18182	MX	2	PCT	Granted	MX/a/2007/0 04338	10/12/2005			287691	6/23/2011
18182	NZ	2	PCT	Granted	554472	10/12/2005	1578	12/24/2010	554472	4/5/2011
18182	NZ	DIV1	DIV	Granted	588823	10/12/2010	588823	5/25/2012	588823	9/3/2012
18182	US		ORD	Granted	10/653,808	9/3/2003	05/0251182	11/10/2005	7,238,191	7/3/2007
18182	US	1	CIP	Granted	10/962,852	10/12/2004	05/0143765	6/30/2005	7,901,419	3/8/2011
18182	US	2	CIP	Granted	10/962,939	10/12/2004	05/0143766	6/30/2005	7,972,346	7/5/2011
18182	US	3	CON	Granted	11/772,613	7/2/2007	2008- 0027469	1/31/2008	8,012,162	9/6/2011
18182	US	CIP1 CON 1	CON	Published	13/039,198	3/2/2011	11-0152608	6/23/2013		
18182	US	CP1C ON2	CON	Pending	14/049,052	10/8/2013				
18183	DE		EPC	Granted	2019936	9/4/2002	DE60223535	3/10/2004	1396242	11/14/2007
18183	EP		PRI	Granted	2019936	9/4/2002	1396242	3/10/2004	1396242	11/14/2007
18183	ES		EPC	Granted	2019936	9/4/2002	1396242	3/10/2004	1396242	11/14/2007
18183	FR		EPC	Granted	2019936	9/4/2002	1396242	3/10/2004	1396242	11/14/2007
18183	GB		EPC	Granted	2019936	9/4/2002	1396242	3/10/2004	1396242	11/14/2007
18183	IE		EPC	Granted	2019936	9/4/2002	1396242	3/10/2004	1396242	11/14/2007
18183	IT		EPC	Granted	2019936	9/4/2002	1396242	3/10/2004	1396242	11/14/2007
18183	US		ORD	Granted	10/653,807	9/3/2003	05/251181	11/10/2005	7,060,080	6/13/2006
18184	DE		EPP	Granted	5708952.6	3/5/2005	1725193	11/29/2006	602005016 901.6-08	9/30/2009
18184	EP		PCT	Granted	5708952.6	3/5/2005	1725193	11/29/2006	1725193	9/30/2009
18184	ES		EPP	Granted	5708952.6	3/5/2005	1725193	11/29/2006	1725193	9/30/2009
18184	FR		EPP	Granted	5708952.6	3/5/2005	1725193	11/29/2006	1725193	9/30/2009
18184	GB		EPP	Granted	5708952.6	3/5/2005	1725193	11/29/2006	1725193	9/30/2009
18184	IE		EPP	Granted	5708952.6	3/5/2005	1725193	11/29/2006	1725193	9/30/2009
18184	IT		EPP	Granted	5708952.6	3/5/2005	1725193	11/29/2006	1725193	9/30/2009
18184	JP		PCT	Granted	2007-502482	3/5/2005			4718539	4/8/2011
18184	MX		PCT	Granted	2006/010204	3/5/2005		12/17/2007	279116	9/20/2010
18184	US		PCT	Granted	10/591,869	6/27/2007	07/0250085	10/25/2007	7,811,299	10/12/2010
18184	US	con1	CON	Granted	12/874,147	9/1/2010	11-0040141	2/17/2011	8,377,081	2/19/2013
18460	EP	CIPP CT	PCT	Published	11726633.8	12/21/2012	2582334	4/24/2013		
18460	US		ORD	Granted	12/574,640	10/6/2009	10-0087843	4/8/2010	8,317,677	11/27/2012
18460	US	cip1	CIP	Published	12/816,310	6/15/2010	10-0305397	12/2/2010		

EXHIBIT A

18460	WO	CIPP CT	ORD	Published	PCT/US11/40 257	6/14/2011	WO11/15964 3	12/22/2011
18655	AU	PCT	PCT	Pending	2011246960	10/26/2012		
18655	CA	PCT	PCT	Published	2,797,894	10/26/2012	2797894	11/3/2011
18655	EP	DIV1	DIV	Published	13151145.3	1/3/2013	2604234	6/19/2013
18655	EP	PCT	PCT	Published	11724752.8	11/1/2012	2563298	3/6/2013
18655	US		ORD	Published	13/076,139	3/30/2011	11-0270025	11/3/2011
18655	WO	PCT	ORD	Published	PCT/IB11/000 911	4/28/2011	WO11/13544 3	11/3/2011
18656	US		PRI	Published	12/946,757	11/15/2010	12-0123196	5/17/2012
18745	US	CON 1	CON	Pending	13/923,056	6/20/2013		
18837	EP	PCT	PCT	Pending	12705279.3	9/12/2013		
18837	US	CON 1	CON	Pending	13/922,148	6/19/2013		
18837	WO	PCT	ORD	Published	PCT/EP12/05 2728	2/16/2012	WO/2012/11 0623	8/23/2012

///