

Form PTO-1595 (Rev. 06-12)  
OMB No. 0651-0027 (exp. 04/30/2015)

U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office

## RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

### 1. Name of conveying party(ies)

1. Geert Eneman

Additional name(s) of conveying party(ies) attached? ☒ Yes ☐ No

### 3. Nature of conveyance/Execution Date(s):

Execution Date(s) August 21, 2013

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

### 2. Name and address of receiving party(ies)

Name: IMEC

Internal Address: \_\_\_\_\_

Street Address: Kapeldreef 75

City: Leuven

State: \_\_\_\_\_

Country: Belgium Zip: 3001

Additional name(s) & address(es) attached? ☒ Yes ☐ No

### 4. Application or patent number(s):

☐ This document serves as an Oath/Declaration (37 CFR 1.63).

A. Patent Application No.(s)

B. Patent No.(s)

13/914,514

Additional numbers attached? ☐ Yes ☒ No

### 5. Name and address to whom correspondence concerning document should be mailed:

Name: Knobbe, Martens, Olson & Bear LLP

Internal Address: \_\_\_\_\_

Street Address: 2040 Main Street, 14th Floor

City: Irvine

State: CA Zip: 92614

Phone Number: 949-760-0404

Docket Number: IMEC816.001AUS

Email Address: efiling@knobbe.com

### 6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☒ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

### 8. Payment Information

Deposit Account Number 11-1410

Authorized User Name John M. Carson

### 9. Signature:

Signature

John M. Carson

Name of Person Signing

12/3/13  
Date

Total number of pages including cover sheet, attachments, and documents: 6

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1460, Alexandria, V.A. 22313-1460

CH \$40.00 111410 13914514

Form PTO-1595 Continuation  
Application No.: 13/914,514  
Filed: June 10, 2013

Continuation of Information in Item 1

**1. Name of conveying party(ies)**

- 2) David Brunco
- 3) Geert Hellings

**3. Execution Dates**

- 2) August 21, 2013
- 3) August 22, 2013

Continuation of Information in Item 2

**2. Name and address of receiving part(ies)**

**Name:** GLOBALFOUNDRIES Inc.  
**Street Address:** P.O. Box 309, Ugland House  
**City:** Grand Cayman  
**Country:** Cayman Islands  
**Zip:** KY1-1104

16104562  
082813

**COMBINED DECLARATION & ASSIGNMENT (37 CFR 1.63(e))***Application Data Sheet filed previously or concurrently*

Docket No.: IMEC816.001AUS

Page 1 of 1

**Title: DEVICE WITH STRAINED LAYER FOR QUANTUM WELL CONFINEMENT AND METHOD FOR MANUFACTURING THEREOF****Inventors: Geert Eneman, David Brunco, Geert Hellings*****Declaration***

This Declaration is directed to the application identified above that:

Was filed **June 10, 2013** as U.S. or International Application No. **13/914,514** and incorporating any amendments made thereto prior to the signature date of this Declaration.

As a named inventor, I declare that:

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor in the application.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 USC 1001 by fine or imprisonment of not more than five (5) years, or both.

I have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

***Assignment from Inventors***

WHEREAS, above-identified inventors (individual(s) hereinafter "ASSIGNOR") invented certain new and useful improvements, technology, inventions, developments, ideas, ornamental designs, or discoveries, and hereby assign or are under an obligation to assign to the below identified Assignee the above-titled application (collectively hereinafter referred to as the "Work") for which an application for Letters Patent in the United States (identified above) has been prepared for filing with the United States Patent and Trademark Office (hereinafter the "Application").

AND WHEREAS, IMEC, with its principal place of business at Kapeldreef 75, Leuven 3001 Belgium and GLOBALFOUNDRIES Inc., with its principal place of business at P.O. Box 309, Ugland House, Grand Cayman, KY1-1104, Grand Cayman, Cayman Islands (hereinafter the "ASSIGNEE"), desires to acquire the entire right, title, and interest in and to the Application and the Work.

NOW, THEREFORE, for good and valuable consideration of which receipt is hereby acknowledged, ASSIGNOR hereby acknowledges that ASSIGNOR has sold, assigned, transferred and set over, and by these presents does hereby sell, assign, transfer and set over, unto said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title, and interest throughout the world in the Application and the Work, including all patent properties filed or issued upon the Application and the Work; where "Patent Properties" include, but are not limited to:

all provisional applications relating thereto;

all nonprovisional applications claiming priority to aforementioned provisional(s) and/or the present Application, including, all divisions, continuations, continuations-in-part, and reissues, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof; and

all rights of priority under International Conventions and any related Letters Patent which may hereafter be granted or filed in any country or countries foreign to the United States, all extensions, renewals and reissues thereof.

**PATENT****REEL: 031864 FRAME: 0710**

**COMBINED DECLARATION & ASSIGNMENT (37 CFR 1.63(e))***Application Data Sheet filed previously or concurrently*

Docket No.: IMEC816.001AUS

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Title: DEVICE WITH STRAINED LAYER FOR QUANTUM WELL CONFINEMENT AND METHOD FOR MANUFACTURING THEREOF

Inventors: Geert Eneman, David Brunco, Geert Hellings

ASSIGNOR hereby acknowledges the ASSIGNEE as the Applicant for all aforementioned patent properties, and authorizes and requests the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all related Letters Patent to the ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

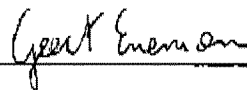
AND ASSIGNOR DOES HEREBY sell, assign, transfer, and convey to ASSIGNEE, its successors, legal representatives, and assigns all claims for damages and all remedies arising out of any violation of the rights assigned hereby that may have accrued prior to the date of assignment to ASSIGNEE, or may accrue hereafter, including, but not limited to, the right to sue for, collect, and retain damages for past infringements of said Letters Patent before or after issuance.

AND ASSIGNOR DOES HEREBY covenant and agree that ASSIGNOR will communicate to said ASSIGNEE, its successors, legal representatives and assigns, any facts known to ASSIGNOR respecting the Work, and testify in any legal proceeding, assist in the preparation of any other Patent Property relating to the Application and the Work or any Improvements made thereto, sign/execute all lawful papers, execute and make all rightful oaths and/or declarations in connection with the Application and the Work including any Improvements made thereto, any patent applications filed therefrom, and any continuing application filed from any of the aforementioned applications, and generally do everything possible to aid the ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for the Work in all countries.

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Legal Name of Inventor: Geert Eneman

Signature: \_\_\_\_\_



Date: \_\_\_\_\_

21st aug. 2013

**COMBINED DECLARATION & ASSIGNMENT (37 CFR 1.63(e))***Application Data Sheet filed previously or concurrently*

Docket No.: IMEC816.001AUS

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Title: DEVICE WITH STRAINED LAYER FOR QUANTUM WELL CONFINEMENT AND METHOD FOR  
MANUFACTURING THEREOF

Inventors: Geert Eneman, David Brunco, Geert Hellings

Legal Name of Inventor: David Brunco

Signature:



Date:

Aug. 21, 2013

**COMBINED DECLARATION & ASSIGNMENT (37 CFR 1.63(e))***Application Data Sheet filed previously or concurrently*

Docket No.: IMEC816.001AUS

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Title: DEVICE WITH STRAINED LAYER FOR QUANTUM WELL CONFINEMENT AND METHOD FOR  
MANUFACTURING THEREOF

Inventors: Geert Eneman, David Brunco, Geert Hellings

Legal Name of inventor: Geert Hellings

Signature: Date: 22/08/201315893676  
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