## PATENT ASSIGNMENT COVER SHEET

## Electronic Version v1.1 Stylesheet Version v1.2

## EPAS ID: PAT2665281

Address Line 4:	WA	SHING	GTON, DISTRICT OF COLUMBIA 20037			
Address Line 2: SUITE 800						
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CORRESPONDENC	E DATA					
Application Number: 14146		3295				
Property Type			Number			
	RS Total: 1					
Postal Code:	443-742					
State/Country:						
City:	SUWON-SI					
Internal Address:	YEONGTONG-GU, GYEONGGI-DO					
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RECEIVING PARTY	_1			]		
YOUNG-KYU JIN				12/19/2013		
SU-JIN YEON				12/31/2013		
SANG-GON SONG				12/19/2013		
IL-KU CHANG SANG-HYUN PARK				12/19/2013		
			Name	Execution Date 12/19/2013		
CONVEYING PARTY	′ DATA					
NATURE OF CONVEYANCE:		ASSIGNMENT				
SUBMISSION TYPE:						

Signature:	/Jarvis Fisher/				
Date:	01/02/2014				
	This document serves as an Oath/Declaration (37 CFR 1.63).				
Total Attachments: 6 source=Q205961CombinedDecandAssignmentExecuted#page1.tif source=Q205961CombinedDecandAssignmentExecuted#page2.tif source=Q205961CombinedDecandAssignmentExecuted#page3.tif source=Q205961SubstituteStatementasfiled#page1.tif source=Q205961SubstituteStatementasfiled#page2.tif source=Q205961SubstituteStatementasfiled#page3.tif					

### ASSIGNMENT WITH DECLARATION FOR PATENT APPLICATION (37 CFR 1.63)

# 특허 출원 관련 양도 및 선언 (연방규칙집 제 37 조 1.63 항)

### Korean Language Assignment with Declaration

이하 양도인으로 지칭되는 하기 서명 발명자인 본인(들)은 아래에 명시된 출원에서 서술한 특정 개선을 발명하였으므로 그리고

\_\_\_\_\_에 소재한 \_\_\_\_\_(양수인)는(은) 출원 및 발명의 그리고 이에 따라 획득될 일체의 미국 특허에 대한 모든 권리, 소유권 및 이권을 획득하기를 원하므로,

이제대가를 위한 그 수령을 인정합니다.

상기 양도인인 본인(들)은 상기 명칭의 양수인, 그 승계자 및 그 양수인에게 모든 분할과 그 연속을 포함하여 미국 용으로 공개된 출원과 발명 그리고 그에 대해 승인될 수 있는 미국의 모든 특허증서와 미합증국 코드 제 35 장 제\$119 항에 의거한 우선권 청구권을 포함한 모든 그 재발행에 대한 전체 권리, 소유권 및 이권을 판매, 양도 및 이전하며 그리고 본인(들)은 출원서에 제시된 발명에 대해 승인된 일체의 특허증서를 양수인, 그 승계자 또는 그 양수인에게 발급하도록 미국 특허청장에게 요청하는 바이며 또한 본인(들)은 양수인의 요청할 경우 미국 출원과 관련하여 양수인이 필요하다고 생각하는 모든 서류를 더 이상의 보수 없이 서명날인할 것입니다.

(공인은 기록을 위해 요구되지는 않지만 미합중국 코드 제 35 장 제§261 항에 의거 서명날인에 대한 일응의 증거입니다)

본인은 아래 성명의 발명자로서 다음과 같이 선언합니다.

본 양도 및 선언서는 다음 사항을 위한 것입니다:

- 🔀 첨부출원또는
- 미합중국출원또는PCT

국제출원 번호:\_\_\_\_\_

출원일:\_\_\_\_\_

본 출원의 제목은 다음과 같습니다:

Whereas, I/We, the undersigned inventor(s) hereinafter called assignor(s), have invented certain improvements described in the application identified below; and

Whereas, <u>SAMSUNG ELECTRONICS CO., LTD. of 129</u>, <u>Samsung-ro, Yeongtong-gu, Suwon-si, Gyeonggi-do, 443-742</u>, <u>Republic of Korea</u>, (assignee), desires to acquire the entire right, title, and interest in the application and invention, and to any United States patents to be obtained therefor;

Now therefore, for valuable consideration, receipt whereof is hereby acknowledged,

I/We, the above named assignor(s), hereby sell, assign and transfer to the above named assignee, its successors and assigns, the entire right, title and interest in the application and the invention disclosed therein for the United States of America, including all divisions, and continuations thereof, and all Letters Patent of the United States that may be granted thereon, and all reissues thereof, including the right to claim priority under 35 USC 119, and I/we request the Director of the U.S. Patent and Trademark Office to issue any Letters Patent granted upon the invention set forth in the application to the assignee, its successors and assigns; and I/we will execute without further consideration all papers deemed necessary by the assignee in connection with the United States application when called upon to do so by the assignee.

(Legalization not required for recording but is prima facie evidence of execution under 35 USC 261)

As the below named inventor, I hereby declare that:

This assignment with declaration is directed to:

- The attached application, or
- United States Application or PCT International

Application Number \_\_\_\_\_\_ filed on

The application is entitled:

MESSAGE TRANSFER SYSTEM INCLUDING DISPLAY

DEVICE, MOBILE DEVICE, AND MESSAGE TRANSFER

### METHOD THEREOF

PATENT REEL: 031877 FRAME: 0413

### Korean Language Assignment with Declaration

위에 표시된 출원은 본인이 신청하였거나 또는 신청하도록 허가를 받았습니다.

본인은, 본인이 본 출원에서 청구된 발명의 원 발명자 또는 원 공동 발명자라고 믿습니다.

본인은 본 양도 및 선언서 제출과 관련된 출원의 내용을 검토하였으며 아울러 이해합니다.

본인은 연방 규정 코드 제 37 장 제 1.56 항에 규정된 바에 따라, 특허성의 판단에 중요한 본인이 아는 모든 정보를 특허청에 공개할 의무가 있음을 알고 있습니다.

본 양도 및 선언서 내에 의도적 허위 진술이 있을 경우 미합중국 코드 제 18 장의 1001 항에 의거 벌금이나 5 년 이하의 징역 또는 두 가지 처벌을 모두 받을 수 있음을 인정합니다.

### 해당관청의 특허출원 공개 허용 권한

☑ 이 칸에 표시한 경우, 하기의 서명자는 상기 언급된 출원에 대해 우선권을 주장하는 외국 특허청구 사항이 출원되어 있는 유럽특허청(EPO), 일본특허청(IPO), 한국특허청(KPO), 세계지적재산권기구(WPO) 및 기타 특허청들에게 상기 언급된 특허 출원 정보를 공개할지 여부를 결정할 권한을 미국특허청(USPTO)에 허여합니다. 연방규정 코드 제 37 장 제 1.14(c)항과 (h)항을 참조하십시오, 만약 상기 출원자가 상기 언급된 출원에 대해 우선권을 주장하는 외국 특허청구 사항이 출원되어 있는 EPO, IPO, KIPO, 또는 기타 특허청들이 본 특허출원 내용을 열람하는 것을 원하지 않는다면 이 칸에 표시하지 않습니다.

연방규정 코드 제 37 장 제 1.14(h)(3)항에 따라, 다음의 경우에 한해 출원된 내용의 사본을 열람할 수 있습니다: 1) 상기 언급된 특허 출원, 2) 미합중국 코드 제 35 장 119(a)-(d)항에 따라 상기 언급된 특허출원이 우선권을 주장하는 대상인 기타 외국 특허출원으로, 그 외국 특허출원 사본이 연방규정 코드 제 37 장 제 1.55 항의 사본 증명 요건에 부합되며, 상기 언급된 특허출원에 이미 출원되어 있는 경우, 3) 상기 언급된 특허출원이 부수적인 이익을 주장하고자 하는 대상인 기타 미국 특허출원.

연방규정 코드 제 37 장 제 1.14(c) 항에 따라, "해당관청의 특허출원 공개 허용 권한" 서류 제출 일자에 관한 정보를 입수할 수 있습니다. The above identified application was made or was authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

I have reviewed and understand the contents of the application for which this assignment with declaration is being submitted.

I am aware of the duty to disclose to the Office all information known to me to be material to patentability as defined in 37 CFR 1.56.

I hereby acknowledge that any willful false statement made in this assignment with declaration is punishable under 18 USC 1001 by fine or imprisonment of not more than five (5) years, or both.

# Authorization To Permit Access To Application by Participating Office

 $\boxtimes$  If checked, the undersigned hereby grants the USPTO authority to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), the World Intellectual Property Office (WIPO), and any other intellectual property offices in which a foreign application claiming priority to the above-identified application is filed access to the above-identified patent application. See 37 CFR 1.14(c) and (h). This box should not be checked if the applicant does not wish the EPO, JPO, KIPO, or other intellectual property office in which a foreign application claiming priority to the above-identified application claiming priority to the above-identified application is filed to have access to the application.

In accordance with 37 CFR 1.14(h)(3), access will be provided to a copy of the application-as-filed with respect to: 1) the above-identified patent application-as-filed, 2) any foreign application to which the above-identified application claims priority under 35 USC 119(a)-(d) if a copy of the foreign application that satisfies the certified copy requirement of 37 CFR 1.55 has been filed in the above-identified patent application, and 3) any U.S. application-as-filed from which benefit is sought in the above-identified patent application.

In accordance with 37 CFR 1.14(c), access may be provided to information concerning the date of filing the Authorization to Permit Access to Application by Participating Office.

## STATEMENT OF ACCURATE TRANSLATION IN ACCORDANCE WITH 37 CFR §1.69(b):

The assignment with declaration is an accurate translation of the corresponding English language assignment with declaration.

Signature

1 mg hy cale

Date 8/

NAME OF SOLE OR FIRST INVENTOR: 단독 혹은 최초 발명자의 성명	
Given Name (first and middle [if any]) 이름(성씨는 제외) Il-ku	Family Name or Surname 성(姓) CHANG
Inventor's signature 발명자의 서명 MC 네	Date 일자 20/3-12-19
Residence: 거주지: Seongnam-si, Republic of Korea	
Mailine Address	ian Apt., Unjung-dong, Bundang-gu, Seongnam-si, Gyeonggi-do,
NAME OF SECOND INVENTOR: 두번째 발명자의 성명	
Given Name (first and middle [if any]) 이름(성씨는 제외) Sang-hyun	Family Name or Surname 성(姓) PARK
inventor's signature 발명자의 서명 Park Sang hy	Date 일자 13 - 12 - 20
Residence: 거주지: Hwaseong-si, Republic of Korea	
Address: 오퍼 조스·	ng, Hwaseong-si, Gyeonggi-do, Republic of Korea
NAME OF THIRD INVENTOR: 세번째 발명자의 성명	
Given Name (first and middle [if any]) 이름(성씨는 제외)Sang-gon	Family Name or Surname 성(姓) SONG
nventor's signature 발명자의 서명	Date 일자 Э0(3 - (2 - 19
Residence: 거주지: Suwon-si, Republic of Korea	
Mailing Address: 으며 즈스·	2-dong, Yeongtong-gu, Suwon-si, Gyeonggi-do, Republic of Korea
NAME OF FOURTH INVENTOR: 네번째 발명자의 성명	
Given Name (first and middle [if any]) 이름(성씨는 제외)	Family Name or Surname 성(姓) XEON
nventor's signature	Date
활명자의 서명 	일자
Residence: 거주지: Seoul, Republic of Korea	
Mailing Address:	
우편 주소: 403,107-52 Nogosan-dong, Mapo-gu, Seoul, Rep	bublic of Korea
NAME OF FIFTH INVENTOR: 다섯번째 발명자의 성명	
Given Name (first and middle [if any])	Family Name or Surname
이름(성씨는 제외) Inventor's signature	성(姓)     JIN       Date        일자 20/3 - 12 - 19
발명자의 서명	일자 2013 - 12 - 11
거주지: Seoul, Republic of Korca	
Mailing Address: 우편 주소: 28-807, Miseong Apt., Apguied	ong 1-dong, Gangnam-gu, Seoul, Republic of Korea
20-007, Miscong Apt., Apgujet	ong 1-uong, Gangnam-gu, Seoui, Republic of Rolea
	PATENT
[Pag	REEL: 031877 FRAME: 0

Doc code: Oath Document Description: Oath or declaration filed

PTO/AIA/02 (07-13)

Approved for use through 01/31/2014. OME 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

filed on

**Republic of Korea** 

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

### SUBSTITUTE STATEMENT IN LIEU OF AN OATH OR DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (35 U.S.C. 115(d) AND 37 CFR 1.64)

# Title of MESSAGE TRANSFER SYSTEM INCLUDING DISPLAY DEVICE, MOBILITY Invention DEVICE, AND MESSAGE TRANSFER METHOD THEREOF

This statement is directed to:

The attached application,

OR

United States application or PCT international application number

### LEGAL NAME of inventor to whom this substitute statement applies:

(E.g., Given Name (first and middle (if any)) and Family Name or Surname)

## SU-JIN YEON

Residence (except for a deceased or legally incapacitated inventor):

## Seoul

Mailing Address (except for a deceased or legally incapacitated inventor):

403, 107-52 Nogosan-dong, Mapo-gu,

State

I believe the above-named inventor or joint inventor to be the original inventor or an original joint inventor of a claimed invention in the application.

The above-identified application was made or authorized to be made by me.

I hereby acknowledge that any willful false statement made in this statement is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

Relationship to the inventor to whom this substitute statement applies:

Legal Representative (for deceased or legally incapacitated inventor only),

Assignee,

Person to whom the inventor is under an obligation to assign,

Person who otherwise shows a sufficient proprietary interest in the matter (petition under 37 CFR 1.46 is required), or

Joint Inventor.

#### [Page 1 of 2]

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1 minute to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-B00-PTO-9199 and select option 2.

PATENT REEL: 031877 FRAME: 0416

SUBSTITUTE STATEMENT									
Circumstances permitting execution of this substitute statement:									
Inventor is deceased,	Inventor is deceased,								
Inventor is under legal incapacity,									
<ul> <li>Inventor cannot be found or reached after diligent effort, or</li> </ul>									
Inventor has refused to execute the oath or declaration under 37 CFR 1.63.									
If there are joint inventors, please check the appropriate box below:									
An application data sheet under 37 CFR 1.76 (PTO/AIA/14 or equivalent) naming the entire inventive entity has been or is currently submitted.									
OR									
An application data sheet under 37 CFR 1.76 (PTO/AIA/14 or equivalent) has not been submitted. Thus, a Substitute Statement Supplemental Sheet (PTO/AIA/11 or equivalent) naming the entire inventive entity and providing inventor information is attached. See 37 CFR 1.64(b).									
	WARNING:								
Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.									
PERSON EXECUTING THIS SUBSTITUTE STA	TEMENT:								
<sub>Name:</sub> Se-jin KWAK		Date (Optional): 12/31/2013							
Signature: KWAK SE JI	N								
APPLICANT NAME AND TITLE OF PERSON E									
If the applicant is a juristic entity, list the applicar Samsung Electronics (	•	ner:							
Applicant Name: Title of Person Executing	K book 2								
This Substitute Statement: Senior Research	ch Engineer		noonnononnassoornaassonookarnanassaasaasaasaasaasaasaasaa						
The signer, whose title is supplied above, is authorized to act on behalf of the applicant. Residence of the signer (unless provided in an application data sheet, PTO/AIA/14 or equivalent):									
<sub>city</sub> Suwon		Country Korea							
Mailing Address of the signer (unless provided in an application data sheet, PTO/AIA/14 or equivalent) 129, Samsung-ro, Yeongtong-gu, Suwon-si, Gyeonggi-do, 443-742, Republic of Korea									
	State	Zip	<sub>Country</sub> Korea						
Note: Use an additional PTO/AIA/02 form for each inventor who is deceased, legally incapacitated, cannot be found or reached after diligent effort, or has refused to execute the oath or declaration under 37 CFR 1.63.									
[Page 2 of 2] PATENT									
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### Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- A record from this system of records may be disclosed, as a routine use, in the course of
  presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to
  opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

## PATENT REEL: 031877 FRAME: 0418

**RECORDED: 01/02/2014**