

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT2666434

SUBMISSION TYPE:	NEW ASSIGNMENT												
NATURE OF CONVEYANCE:	ASSIGNMENT												
CONVEYING PARTY DATA													
<table border="1"> <thead> <tr> <th>Name</th> <th>Execution Date</th> </tr> </thead> <tbody> <tr> <td>MICHAEL STEFFEN II</td> <td>08/15/2013</td> </tr> <tr> <td>JEFFREY MICHAEL FRIES</td> <td>08/15/2013</td> </tr> <tr> <td>ARIC ALBERT WEINGARTNER</td> <td>08/15/2013</td> </tr> <tr> <td>SHERRI BOYD</td> <td>08/15/2013</td> </tr> <tr> <td>WILLIAM SHIELDS</td> <td>08/14/2013</td> </tr> </tbody> </table>		Name	Execution Date	MICHAEL STEFFEN II	08/15/2013	JEFFREY MICHAEL FRIES	08/15/2013	ARIC ALBERT WEINGARTNER	08/15/2013	SHERRI BOYD	08/15/2013	WILLIAM SHIELDS	08/14/2013
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WILLIAM SHIELDS	08/14/2013												
RECEIVING PARTY DATA													
Name:	GENERAL ELECTRIC COMPANY												
Street Address:	1 RIVER ROAD												
City:	SCHENECTADY												
State/Country:	NEW YORK												
Postal Code:	12345												
PROPERTY NUMBERS Total: 2													
<table border="1"> <thead> <tr> <th>Property Type</th> <th>Number</th> </tr> </thead> <tbody> <tr> <td>Application Number:</td> <td>14146873</td> </tr> <tr> <td>Application Number:</td> <td>61835854</td> </tr> </tbody> </table>		Property Type	Number	Application Number:	14146873	Application Number:	61835854						
Property Type	Number												
Application Number:	14146873												
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CORRESPONDENCE DATA													
Fax Number:	(203)944-6712												
Phone:	203-944-6710												
Email:	amy.harvey@ge.com												
<i>Correspondence will be sent via US Mail when the email attempt is unsuccessful.</i>													
Correspondent Name:	GE GPO- TRANSPORTATION- THE SMALL PATENT												
Address Line 1:	PO BOX 861												
Address Line 2:	2 CORPORATE DRIVE, SUITE 648												
Address Line 4:	SHELTON, CONNECTICUT 06484												
NAME OF SUBMITTER:	JOHN A. KRAMER												

Signature:	/John A. Kramer/
Date:	01/03/2014
	This document serves as an Oath/Declaration (37 CFR 1.63).
<b>Total Attachments: 7</b> source=267910_3_US_CombinedDeclarationAssignment_03JAN2014#page1.tif source=267910_3_US_CombinedDeclarationAssignment_03JAN2014#page2.tif source=267910_3_US_CombinedDeclarationAssignment_03JAN2014#page3.tif source=267910_3_US_CombinedDeclarationAssignment_03JAN2014#page4.tif source=267910_3_US_CombinedDeclarationAssignment_03JAN2014#page5.tif source=267910_3_US_CombinedDeclarationAssignment_03JAN2014#page6.tif source=267910_3_US_CombinedDeclarationAssignment_03JAN2014#page7.tif	

COMBINED DECLARATION AND GLOBAL ASSIGNMENT  
FOR UTILITY OR DESIGN PATENT APPLICATION

As the below named inventor(s), I/we hereby declare that:

This declaration and assignment are directed to:

**SYSTEMS AND METHODS FOR CONTROLLING WARNINGS AT VEHICLE CROSSINGS**

- the specification of which is attached hereto OR
- United States application or PCT international application number 14/146873 filed on 03JAN2014, as amended on [date] (if applicable).  
(We hereby authorize and request the Company or its delegated attorneys or agents to insert above the application number and filing date of the application when known.)

The above-identified application was made or authorized to be made by us.

We believe that we are original joint inventors of a claimed invention in the application.

We hereby acknowledge that any willful false statement made in this declaration is punishable under 18 USC § 1001 by fine or imprisonment of not more than five (5) years, or both.

We have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment referred to above.

We acknowledge the duty to disclose information which is material to the patentability of this application as defined in 37 CFR § 1.56, including, for continuation-in-part applications, material information which became available between the filing date of the prior application and the filing date of the continuation-in-part application.

In accordance with our obligation(s) under an Employee Innovation and Proprietary Information Agreement or (as applicable) arising out of other agreements (such as, but not limited to, the Services Agreement between General Electric Company, a New York corporation, and our employers), and/or for other good and valuable consideration of which we acknowledge receipt, I/we,

Inventor First Name	Inventor Middle Name	Inventor Last Name		Residence Town	Residence State	Residence Country
Michael		Steffen II	of	Melbourne	FL	US
Jeffrey	Michael	Fries	of	Lee's Summit	MO	US
Aric	Albert	Weingartner	of	Lee's Summit	MO	US
Sherri		Boyd	of	Melbourne	FL	US
William		Shields	of	Blue Springs	MO	US

hereby sell and assign to:

Name of Company: <i>General Electric Company</i>
Entity Type (optional): <i>New York corporation</i>
Address: <i>1 River Road, Schenectady, New York 12345 USA</i>

herein referred to as "Company", its successors and assigns our entire respective rights, titles and interests in and to the invention and improvements made or conceived by us described in the application(s) listed above and in the following table:

Country of Filing	Type of Filing	Application Number	Filing Date	Title
US	Provisional application	61/835,854	6/17/13	SYSTEMS AND METHODS FOR CONTROLLING WARNINGS AT VEHICLE CROSSINGS

(We hereby authorize and request the Company or its delegated attorneys or agents to insert above the application number and filing date of the application(s) when known.)

and any and all applications for patent and patents therefrom in any and all countries, including all divisions, continuations, continuations-in-part, reexaminations, renewals, and reissues thereof, and all rights of priority resulting from the filing of the application(s), and authorize and request any official whose duty it is to issue patents, to issue any patent on the inventions and improvements resulting therefrom to the Company, or its successors or assigns, and agree that on request and without further consideration, we will communicate to the Company or its representatives or nominees any facts known to us respecting the inventions and improvements and testify in any legal proceeding, make all rightful oaths, sign all lawful papers and execute all instruments or documents required or requested for the making and prosecution of any applications of any type for patent, utility model, or other similar rights in all countries including, but not limited to, any provisional, non-provisional, continuation, continuation-in-part, divisional, renewal or substitute thereof, for any derivation proceedings relating thereto, and, as to any patents that issue from such applications, for any supplemental examination, derivation proceeding, opposition, post grant review, reissue, re-examination, inter partes review, or extension thereof, and generally do everything possible to aid the Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for the invention and its improvements in all countries.

Remuneration claims of the inventor(s)/assignor(s) potentially arising from German Law on Employee Inventions, or any other respective country law, remain unaffected by this assignment.

INVENTOR 1

Signature: \_\_\_\_\_  
Michael Steffen II

Date: \_\_\_\_\_

Witnessed by: \_\_\_\_\_  
Signature

Date: \_\_\_\_\_

Printed Name of Witness \_\_\_\_\_

Witnessed by: \_\_\_\_\_  
Signature

Date: \_\_\_\_\_

Printed Name of Witness \_\_\_\_\_

**SECTION BELOW IS FOR USE ONLY IF SIGNING IN PRESENCE OF A NOTARY**

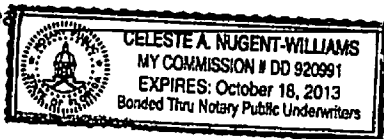
  
Michael Steffen II

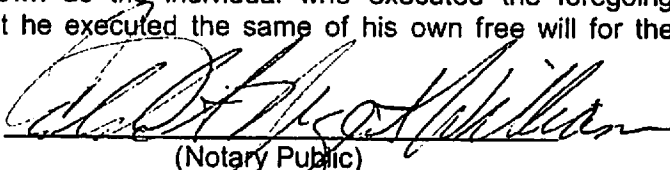
Date: 8/15/13

STATE OF Florida  
COUNTY OF Brevard ss.

This 15 day of August 2013 before me personally came the above-named **Michael Steffen II**, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

Seal



  
(Notary Public)

INVENTOR 2

Signature: Jeffrey Michael Fries

Date: \_\_\_\_\_

Witnessed by: \_\_\_\_\_  
Signature

Date: \_\_\_\_\_

Printed Name of Witness \_\_\_\_\_

Witnessed by: \_\_\_\_\_  
Signature

Date: \_\_\_\_\_

Printed Name of Witness \_\_\_\_\_

**SECTION BELOW IS FOR USE ONLY IF SIGNING IN PRESENCE OF A NOTARY**

Jeffrey Michael Fries  
Jeffrey Michael Fries

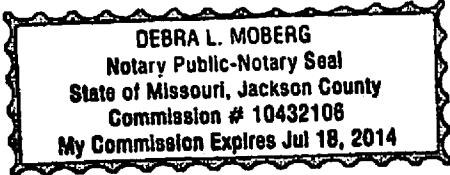
Date: 08/15/13

STATE OF Missouri  
COUNTY OF Jackson ss.

This 15 day of August, 2013 before me personally came the above-named Jeffrey Michael Fries, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

Seal \_\_\_\_\_

Debra R. Moberg  
(Notary Public)



INVENTOR 3

Signature: \_\_\_\_\_  
**Aric Albert Weingartner**

Date: \_\_\_\_\_

Witnessed by: \_\_\_\_\_  
Signature

Date: \_\_\_\_\_

Printed Name of Witness \_\_\_\_\_

Witnessed by: \_\_\_\_\_  
Signature

Date: \_\_\_\_\_

Printed Name of Witness \_\_\_\_\_

**SECTION BELOW IS FOR USE ONLY IF SIGNING IN PRESENCE OF A NOTARY**

*Aric Albert Weingartner*  
Aric Albert Weingartner

Date: 8/15/13

STATE OF Missouri  
ss.  
COUNTY OF JACKSON

This 15<sup>th</sup> day of August, 2013 before me personally came the above-named **Aric Albert Weingartner**, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

Seal \_\_\_\_\_

*Debra L. Moberg*  
\_\_\_\_\_  
(Notary Public)

