

## PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT										
NATURE OF CONVEYANCE:	ASSIGNMENT										
CONVEYING PARTY DATA											
<table border="1"> <thead> <tr> <th>Name</th> <th>Execution Date</th> </tr> </thead> <tbody> <tr> <td>LAWRENCE J. ANDERMANN</td> <td>12/09/2013</td> </tr> <tr> <td>JEFFREY H. PELTIER</td> <td>12/09/2013</td> </tr> <tr> <td>MICHAEL JAMES BLUEMLE</td> <td>12/09/2013</td> </tr> <tr> <td>MARKUS BROECHER</td> <td>12/09/2013</td> </tr> </tbody> </table>		Name	Execution Date	LAWRENCE J. ANDERMANN	12/09/2013	JEFFREY H. PELTIER	12/09/2013	MICHAEL JAMES BLUEMLE	12/09/2013	MARKUS BROECHER	12/09/2013
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RECEIVING PARTY DATA											
<table border="1"> <tr> <td>Name:</td> <td>HERCULES INCORPORATED</td> </tr> <tr> <td>Street Address:</td> <td>500 HERCULES ROAD</td> </tr> <tr> <td>City:</td> <td>WILMINGTON</td> </tr> <tr> <td>State/Country:</td> <td>DELAWARE</td> </tr> <tr> <td>Postal Code:</td> <td>19808</td> </tr> </table>		Name:	HERCULES INCORPORATED	Street Address:	500 HERCULES ROAD	City:	WILMINGTON	State/Country:	DELAWARE	Postal Code:	19808
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CORRESPONDENCE DATA											
<p>Fax Number: (614)790-4268</p> <p>Phone: 614-790-4684</p> <p>Email: mamontana@ashland.com</p> <p><i>Correspondence will be sent via US Mail when the email attempt is unsuccessful.</i></p> <p>Correspondent Name: MARK A. MONTANA</p> <p>Address Line 1: 5200 BLAZER PARKWAY</p> <p>Address Line 2: LAW DA5</p> <p>Address Line 4: DUBLIN, OHIO 43017</p>											
ATTORNEY DOCKET NUMBER:	HER 10970										
NAME OF SUBMITTER:	MARK A. MONTANA										

Signature:	/Mark A. Montana/
Date:	01/07/2014
	This document serves as an Oath/Declaration (37 CFR 1.63).
<b>Total Attachments: 3</b> source=20140107_10970_assign_s#page1.tif source=20140107_10970_assign_s#page2.tif source=20140107_10970_assign_s#page3.tif	

**COMBINED ASSIGNMENT & DECLARATION  
FOR UTILITY OR DESIGN PATENT APPLICATIONS**

**ASSIGNMENT**

THIS ASSIGNMENT, made this 9th day of December, 2013, by Lawrence J. Andermann, Jeffrey H. Peltier, Michael James Bluemle and Markus Broecker (hereinafter referred to as Assignors), residing at 7 Henry Ct., Wilmington, New Castle, DE 19808, 104 E. Thomas Ct., Kennett Square, Chester, PA 19348, 1225 Fann Road, Wilmington, New Castle, DE 19803 and Westminsterstr. 93, Muehlheim an der Ruhr, DE 45470 respectively;

WHEREAS, Assignors have invented certain new and useful improvements in Process for Improving the Rheological Properties of an Aqueous Dispersion, set forth in a Patent application 14/030,529; and

WHEREAS, Hercules Incorporated, a Corporation organized under and pursuant to the laws of Delaware having a place of business at 500 Hercules Road, Wilmington Delaware 19808 (hereinafter referred to as Assignee), is desirous of acquiring the entire right, title and interest in and to said inventions and said Application for Letters Patent, and in and to any Letters Patent to be obtained therefore and thereon.

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient consideration, the receipt of which is hereby acknowledged, Assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto Assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions and application for Letters Patent, and in and to any and all direct and indirect divisions, continuations and continuations-in-part of said application, and any and all Letters Patent in the United States and all foreign countries which may be granted therefore and thereon, and reissues, reexaminations and extensions of said Letters Patent, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by Assignee, for its own use and benefit and the use and benefit of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent may be granted and/or extended, as fully and entirely as the same would have been held and enjoyed by Assignors, had this sale and assignment not been made.

AND for the same consideration, Assignors hereby represent and warrant to Assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, except for any rights, titles and/or interests that have arisen to Assignee under law or that have already been transferred to Assignee, Assignors are the sole and lawful owners of the entire right, title and interest in and to the said inventions and application for Letters Patent above-mentioned, and that the same are unencumbered and that Assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, Assignors hereby covenant and agree to and with Assignee, its successors, legal representatives and assigns, that Assignors will sign all papers and documents, take all lawful oaths and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of any Letters Patent and applications for Letters Patent for said inventions, without charge to Assignee, its successors, legal representatives and assigns, whenever counsel of Assignee, or counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said inventions, or said Patent application for Letters Patent, or any proceeding in connection with any Letters Patent or applications for Letters Patent for said inventions in any country, including but not limited to interference proceedings, is lawful and desirable; or, that any division, continuation or continuation-in-part of any application for Letters Patent, or any reissue, reexamination or extension of any Letters Patent, to be obtained thereon, is lawful and desirable.

AND Assignors hereby request the Commissioner for Patents and Trademarks to issue said Letters Patent of the United States to Assignee, as Assignee of said inventions and the Letters Patent to be issued thereon, for the sole use and benefit of Assignee, its successors, legal representatives and assigns.

AND Assignors acknowledge an obligation of assignment of this invention to Assignee at the time the invention was made.

**DECLARATION**

Title of the Invention: **Process for Improving the Rheological Properties of an Aqueous Dispersion**

As a below named inventor, I hereby declare that:

This declaration is directed to United States application or PCT international application number **14/030,529** filed on **September 18, 2013**.

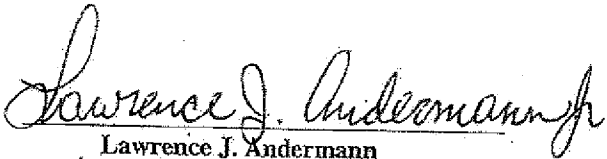
The above-identified application was made or authorized to be made by me.


I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

I have reviewed and understand the contents of the above-identified application.

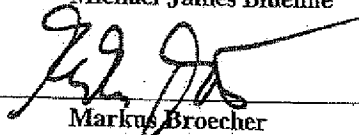
I am aware of the duty to disclose to the Office all information known to me to be material to patentability as defined in 37 C.F.R. 1.56.

I hereby acknowledge that any willful false statement made in this Declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

  
Lawrence J. Andermann

  
Jeffrey H. Peltier

  
Michael James Bluemle

  
Markus Broecher